

**RULES OF RACING OF  
THE BARBADOS TURF CLUB**

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## PREAMBLE

**1. General** - These Rules (and the powers hereby conferred on the Directors, the Stewards of the Club and the Racecourse Stewards) shall apply to all persons in any manner involved in horseracing in Barbados including but not limited to Owners, Trainers, Riders of every description, Grooms and Officials (whether licenced or unlicenced); and to all agents or representatives (legal or otherwise) of any such persons. All such persons who are deemed as aforesaid to be bound by these Rules of Racing and the overseas Owners, Riders, Trainers and their employees of horses trained outside Barbados and which are entered to run under these Rules are subject to these Rules and are deemed to have knowledge of them howsoever amended.

A person who is deemed to be bound by these Rules of Racing shall have no right to enforce any provision of these Rules of Racing against any other person from time to time bound by the Rules of Racing.

**2.** Except where they otherwise provide these Rules apply to all meetings and races held under the authority of the Barbados Turf Club and to the stabling, exercise training and racing of horses at the Garrison Savannah, and any premises directly (or by these Rules, indirectly) under the control of the Barbados Turf Club.

**3.** The acceptance by the Barbados Turf Club of entries, nominations or subscriptions (or part thereof) for any race and any agreement thereby entered into, shall be subject to and conditional upon these Rules, and the exercise by the Directors, the Stewards of the Club and the Racecourse Stewards of any powers hereby conferred on them.

**4.** Neither the Barbados Turf Club nor its officers, employees or agents shall be liable to any person for any act done or omission made in the bona fide discharge or purported discharge of any duties on the part of any such officer, employee or agent under or pursuant to these Rules.

## **PART I**

### **1. Definitions**

“Amateur Rider” means person who holds a permit from the Stewards of the Club to ride as an amateur (i.e. without receiving any remuneration), which may be restricted to certain types of race. The Stewards of the Club may refuse to issue such a licence or permit to a person who in their opinion is not a fit and proper person to hold such a licence.

“Amateur Riders Race” means a race in which the only riders permitted to take part are Amateur Riders.

“Analysis” means all analyses carried out under these Rules unless waived or excluded by the order of the Stewards of the Club, and for the avoidance of doubt those undertaken on stored samples.

“Appeals” means any right of appeal of an aggrieved person as provided for in these Rules.

“Appellate Body” means Five or more Stewards of the Club appointed from time to time by the Board, Three (3) of whom shall sit on each appeal.

“Apprentice” or “Apprentice Jockey” means a licensed jockey who has attained the age of Fifteen (15) years and not yet attained the age of Twenty five (25) years and who has not won Sixty (60) races and who, until he has attained the age of Twenty five (25) years or won Sixty (60) races, whichever be the earlier, will be entitled to claim the following allowances:

Seven pounds (7 lbs) until he has won  
Twenty (20) races; thereafter  
Five pounds (5 lbs) until he has won  
Forty (40) races; thereafter  
Three pounds (3 lbs) until he has won  
Sixty (60) races.

Provided that an apprentice jockey shall be allowed to claim Ten pounds (10 lbs) for his first Five (5) rides but during those rides shall not be allowed to ride with a whip.

“Arrears” means sums due from any person by virtue of these Rules.

“Authorised Agent” is an agent duly appointed as such and registered in accordance with these Rules, except in the case of a Recognised Company when it shall be the “registered agent” duly appointed by the company as required by Rule 63C.

“Authorised Jockey’s Agent” is an agent duly appointed as such and registered in accordance with these Rules. The appointment of an authorised jockey’s agent shall be made by a document signed by the jockey and the agent and lodged at the Registry Office. In cases of urgency such agent may be appointed by electronic mail, facsimilie transmission, telegram, telex or other electronic means and in such cases the appointment will be automatically cancelled unless an original document signed by the jockey and the agent confirming such appointment is lodged at the Registry Office within ten days of receipt of the electronic message.

“Barbados Stud Book” means the official Register (consisting of one or more volumes of the breeding of thoroughbred horses in Barbados established at the Registry Office kept and maintained by the Secretary wherein is entered and

recorded the pedigree ownership and identity of all thoroughbred horses in Barbados subject to certain pedigree requirements and conditions of admission which are contained in the Barbados Stud Book Rules and Requirements annexed as Appendix 10 to these Rules.

**Note:** “Stud Book” is the official register of the breeding of thoroughbred horses in their country of origin.

“Stud Book Authority” is the entity with the vested official capacity to administer and regulate the Stud Book in a particular country.

“Bleeder” means a horse which as a result of exercise induced pulmonary haemorrhage during or following a race or training is observed by a Steward or Veterinary Officer to be shedding blood from one or both nostrils, and includes a horse which has been found by endoscopic examination to have bled internally, evidenced by haemorrhage in the trachea, to a significant degree.

“Blinkers” means a garment fitted over a horse's head with holes for the eyes and ears, one or both of the eye holes being fitted with cowls preventing all vision to the rear, but permitting full forward vision.

“Board” means the Board of Directors of the Club.

“Breeder” means the owner of the dam at the time of foaling and recorded as such in the Barbados

Stud Book (or by the Stud Book authority in the country of foaling).

- “Caribbean” means Barbados, Trinidad & Tobago, those islands comprising the Windward and Leeward Islands, Martinique, Guadeloupe, Guyana and Jamaica.
- “Certificate of Analysis” means a written Statement from the forensic or other laboratory from time to time approved or selected under these Rules for the testing of samples, of the result of an Analysis of a sample.
- “Cheek Pieces” means Two (2) strips of sheepskin or any other similar material or device which is attached to the cheek pieces of the bridle, or otherwise fitted to the bridle.
- “Claiming Race” means a race in which any horse starting may be claimed (purchased for a designated amount) in accordance with the rules and regulations for claiming hereinafter contained.
- “Classifier” means a person appointed by the Board to classify horses in accordance with these rules.
- “Club” means the Barbados Turf Club.
- “Condition Race” is the general description for any race which may be designated a Condition Race and for which eligibility for entry is governed by the conditions thereof.
- “Creole” means a horse sired and/or foaled in the Caribbean.

“Cup”	means any prize not given in money.
“Day”	means a period of Twenty four (24) hours finishing at midnight.
“Defaulter”	means a person in arrears, and whose name may be published in the forfeit list.
“Directors”	means the Directors from time to time of the Board of the Club or any one of more of such Directors who may from time to time be authorised by the Board to exercise any function which these Rules provide shall be performed by the Directors.
“Disqualified Person”	means a person who has been warned-off by the Stewards of the Club for a breach of these Rules, or those of a Recognised Turf Authority.
“Eye Cover”	means a garment similar to blinkers except that in the place of the eye cowls one eye only is completely covered by an opaque cover.
“Eye Shield”	means a garment similar to blinkers except that in the place of the eye cowls both eyes are covered with a mesh or other transparent material.
“Forfeit List”	means a list published at the Registry Office pursuant to Rule 128 of these Rules.
“Handicap”	means a race in which the weights to be carried by the horses are allotted by the handicapper for the purpose so far as is

attainable of equalising their chances of winning.

“Handicapper” means a person appointed by the Directors to be a handicapper for race meetings.

“Hood” means a garment similar to blinkers incorporating ear covers but without eye cowls.

“Horse” includes mare, gelding, colt and filly; and where the context so permits or requires means the male of the species 5 years old or over in racing which is not a gelding.

“Horserace” means a race in which any horse runs with any other horse in competition for any prize of any kind or nature whatsoever or for the purposes of deciding the result of any bet or wager and at which more than Twenty (20) persons (not including participants in the race) are present save that events at horse shows or gymkhanas which involve a substantial element of chance or skill unconnected with horse-riding, shall not be considered horseraces.

“Jockey” means a person who holds a licence from the Stewards of the Barbados Turf Club or any Recognised Turf Authority to ride for hire and except where the context otherwise requires, also includes an apprentice jockey.

“Licence” means a licence issued by the Stewards for a particular purpose or discipline pursuant to these rules and “Licenced” shall have a corresponding meaning.

“Maiden”	means a horse which has never won a race (other than a match) at any recognised meeting in any country on the flat.
“Match”	means a race between horses, the property of two different owners, on terms agreed by them, and to which no money or other prize is added.
“Match at Catchweights”	means a match for which the jockeys need not weigh before or after the race.
“Medical Officer”	means any person registered under the Medical Registration Act Cap. 371 engaged by the Club to give medical treatment, advice or services take samples for analysis or to attend at a race meeting at the request of the Stewards for medical purposes.
“Meeting”	means a day or any number of days of racing as determined by the Board.
“Month”	means a calendar month.
“Nominator”	means the person in whose name a subscription and/or entry is made for a horse in any race.
“Notice”	shall be such notice as the Secretary deems expedient. Where notice is given only by way of publication on the Club’s notice board all persons connected with horse racing shall be deemed to have been duly notified concerning any matter or thing contained in such notice which shall be deemed to have been given Twenty-four (24) hours after the time of publication on the Club’s notice board

(exclusive of Saturdays, Sundays and Public Holidays).

“Offence” save as otherwise specified in these Rules, means a breach of these Rules.

“Owner” includes “part owner”, “Recognised Company” and “lessee” but not “lessor”.

“Photograph” means the photograph or other electronic reproduction taken by the race finish camera or other electronic device when each horse passes the winning post installed under the authority of the Stewards of the Club and operated by the Judge.

“Placing” means any placing given to a horse by the Judge from and including first place to last place, unless expressly stated otherwise.

“Positive result of Sample” a result of an Analysis of any Sample is positive if:-

a Certificate of Analysis reports the presence in the Sample of a substance which is, in the opinion of the Veterinary Officer, unless the contrary be proved to the satisfaction of the Stewards of the Club, a Prohibited Substance and the concentration of such substance is at or above the threshold level for that substance established from time to time by the Stewards of the Club;

unless it is shown that there has been a material departure from the procedures set out in the regulations relating to the taking or

analysis of samples, in which event the analysis will be invalidated.

"Prescribed Fee" means such fee as may from time to time be prescribed by the Board. Where any Rule makes reference to a prescribed fee, the prescribed fee shall be such sum as is contained in Appendix 1A to these Rules (as amended from time to time).

"Prescribed Fine" means such fine as may from time to time be prescribed by the Stewards or the Stewards of the Club as the case may be. Where any Rule makes reference to a prescribed fine the prescribed fine shall be such sum as is contained in Appendix 1B to these Rules (as amended from time to time).

"Prize Money" means the money actually contributed towards the stakes by the Club and any money added to the stakes from other sources, as distinct from money contributed by the owners of the horses engaged.

"Prohibited Substance" means any substance originating externally of the horse, whether or not it is endogenous to the horse which falls within any of the categories contained in the Classification of Prohibited Substances in Appendix 2 to these Rules and intended to form an integral part of these Rules as amended from time to time and published at the Registry Office.

"Substance" includes but is not limited to:-

- (i) substances capable at any time of acting on one or more the mammalian

body systems, viz:- the nervous system, the cardiovascular system, the respiratory system, the digestive system, the urinary system, the reproductive system, the musculoskeletal system, the blood system, the immune system (except for licensed vaccines against infectious agents) the endocrine system;

- (ii) endocrine secretions and their synthetic counterparts
- (iii) the substance itself or the metabolites or analogues of the substance or the isomers of the substance or the isomers of the metabolite;
- (iv) masking agents or any substance foreign to a horse which can cause interference with testing procedures.

**Note:** The finding of any scientific indicator of administration or other exposure to a Prohibited Substance is also equivalent to the finding of the substance.

“Publish” means published for the purpose of notice pursuant to these rules and “Published” and “Publication” shall have corresponding meanings.

“Punishment” includes but not limited to the suspension of any licence, disqualification and/or the imposition of a fine, and "Punish" has a corresponding meaning.

- “Race” means any race on the flat (and includes each division of a divided or split race), run under these Rules or any race run under the rules of a Recognised Turf Authority.
- “Race Day” means a day on which races are held.
- “Racing Calendar” “Racing Calendar” is a work published under that name having the authority of the Club.
- “Racing Performance” shall include but not be limited to the speed, stamina courage or conduct of a horse in a race.
- “Recognised Company” means a company incorporated or registered in Barbados under the Companies Act Cap. 308 of Barbados or any amendment of same and whether with limited or unlimited liability which has been approved and registered as a recognised company by the Board and registered at the Registry Office.
- “Recognised Meeting” means a meeting held under the sanction of a Recognised Turf Authority.
- “Recognised Turf Authority” means an authority recognised by the Club as the authority responsible for controlling horse-racing in a particular country or state.
- “Registered” means registered at the Registry Office and “Registration” where the context permits has a corresponding meaning.

- “Registered Agent” means a person who is appointed as such by a Recognised Company in writing and under its seal and whose appointment has been approved and registered by the Board at the Registry Office. A “registered agent” may be an “authorised agent”.
- “Registry Office” means the administration office for the time being of the Club.
- “Regulations” when used in these Rules shall mean regulations made and instructions issued by the Board or the Stewards of the Club as the case may be through the Secretary or Racing Operations Manager of the Club. All existing regulations, instructions and conditions made and issued by the Board or the Stewards of the Club shall be adopted as regulations under these Rules.
- “Sample” in relation to a horse means a quantity of any body fluid, blood, excreta, hair, skin scrapings or any tissue or of items in contact with any part of that horse taken at the discretion of the person conducting the examination and may involve the removal of implants.
- “Scratching” means the withdrawing of a horse from a race and a horse, once scratched, cannot be reinstated.
- “Secretary” means the Secretary of the Club appointed by the Board or his authorised substitute.

- “Selling Race” means a race in which the winner must be offered for sale by auction in accordance with the Rules and regulations for selling races hereinafter contained.
- “Started” means having come under Starter's orders. No horse which has been withdrawn by the Starter for whatever reason will be considered as having started in the race.
- “Starter” means the person officially appointed by the Board to start the horses and includes Assistant Starter or their authorised substitutes.
- “Starter's Orders” every horse shall be considered as having come under Starter's Orders which has not been withdrawn from the race when the appropriate “off” signal or declaration has been given except where (i) the Starter subsequently declares a false start under Rule 26, in which event Starter's Orders is negated; or (ii) the Stewards in their absolute discretion after consultation with the Starter determine that an unusual occurrence has happened whereby it is not fair and reasonable for a horse to have been considered under Starter's Orders.
- “Stewards” unless the contrary intention appears, the word “Stewards” used in these Rules means the Racecourse Stewards presiding at a race-meeting or their duly appointed deputy or deputies.

“Stewards of the Club”	includes a quorum of not less than Three (3) Stewards of the Club duly appointed in accordance with the Articles of Incorporation /Continuance and By-Laws of the Club, or such other quorum as the Board shall determine.
“Suspension”	means the withdrawal of any licence, right, or privilege granted under these Rules.
“Sweepstake”	means a race in which the entrance fee, forfeit, subscription or other contribution of three or more owners go to the winner or placed horses, and any such race is still a sweepstake when money or other prize is added.
“Time of Entry”	means the time fixed for the closing of entries.
“Tongue Strap”	means a strap of any material fitted over the horse's tongue by which the tongue is tied or fastened to the lower jaw.
“Trainer”	means a person who holds a licence to train horses from the Stewards of the Barbados Turf Club.
“Veterinary Surgeon”	means a person registered under the Veterinary Surgeons (Registration) Act 1995-20 of the Laws of Barbados as amended from time to time.

“Veterinary Officer”	means any Veterinary Surgeon or Veterinarian engaged by the Club to provide veterinary treatment, advice or services take samples for analysis or to attend at a race meeting at the request of the Stewards for any veterinary purpose.
“Visor”	means a garment or equipment similar to blinkers in which one or both of the cowls have holes cut in them permitting limited side or rear vision.
“Weight for Age Race”	means any race which is not a handicap and remains a weight for age race even if it includes penalties and allowances.
“West Indian Bred”	shall have the same meaning as “Creole”.
“Winner”	means a horse which has won a race on the flat.
“Warned off”	in relation to a person or horse means one who or which is not permitted to enter a racecourse under the control of the Club or of any Recognised Turf Authority warning him or it off.

## **PART II**

### **2. Interpretation of Rules, Calculation of Time**

- (a) In these Rules where the context so permits or requires:-

- (i) words denoting the male gender include the female and neuter genders and singular includes the plural and vice versa;
  - (ii) reference to “Rules” or “Rules of Racing” include instructions, regulations or conditions.
  - (iii) the expression “person” shall include a body corporate.
- (b) When the last day of doing anything under these Rules falls on a Sunday or Public Holiday, it may be done on the following day, unless a race to which such act relates is scheduled for that day, in which case it must be done on the previous business day of the Registry Office.

### **PART III**

#### **3. Directors of the Club and Powers of the Directors**

- (a) The Directors have power at their discretion:
- (i) - To fix the dates on which all meetings shall be held (including postponed meetings),
  - To cancel such fixtures (including meetings or any part thereof postponed by either the

Racecourse Stewards or the Stewards of the Club),

- To make any alteration in the date of any such meeting (and includes the power to change the date fixed for any race to be run),
- To supervise and make such alterations as they may think advisable in the programme of any race at any meeting,
- To change the conditions of any race at any meeting,

For the avoidance of any doubt the above powers may be exercised by the Directors notwithstanding that dates may have been fixed by the conditions of any race, and notwithstanding the payment of any nomination fee, subscription entry fee or the like by any person or the acceptance by the Club of nominations, subscriptions, entries or otherwise for any race.

- (ii) To establish and publish a Racing Calendar, if thought fit, wherein may be contained official and provisional programmes for race meetings and information and advertisements of races and race meetings and such other information as may be considered necessary.

**Note:** Until the establishment and publication of a Racing Calendar, notification of any matter or thing required to be published in the Racing Calendar shall be effected by the giving of Notice as defined by these Rules.

- (iii) To prohibit the advertisement of any race or meeting in the Racing Calendar, or call upon the Secretary or Racing Operations Manager to alter or expunge any conditions, after advertisement, or receipt of subscriptions, entries or otherwise.
- (iv) To authorise the publication in the Racing Calendar and in any newspaper circulating in Barbados and to and through any news agency operating in Barbados (as the Directors shall in their absolute discretion think fit) of their decisions respecting any matter and any person.
- (v) To make, enact, adopt, amend from time to time, such regulations, appendices to these Rules, orders or instructions (whether made in collaboration with the Stewards of the Club or not) as they may think fit, and publish in the Racing Calendar or other racing publication or otherwise give notice of such new or amended regulations, appendices, orders or instructions.
- (vi) To exercise any other powers conferred upon them by these Rules of Racing or regulations and to take such action as they consider necessary for the purpose of carrying out or giving effect to these Rules of Racing or regulations. Without prejudice to the generality of this sub-rule and save where any Rule or regulation expressly provides otherwise, to make such arrangements as they think fit for any one or more of their powers or other functions under these Rules or regulations to be exercised on their behalf and in their name by any employee of the

Club where they are satisfied that it is in the interest of the efficient administration of horseracing and the operation of the Rules and regulations to do so. Further the Directors have power at any time to ratify the exercise of any power or function on their behalf by any employee of the Club where they think fit notwithstanding that the individual may not have been duly authorised by the Directors at the relevant time.

- (vii) In cases of emergency or expediency, to modify any regulations orders or instructions or any part thereof, or to suspend any regulations orders or instructions or part thereof, for such period or periods as they think fit, without giving previous notice, but should they do so they shall report the fact in the two subsequent consecutive issues of the Racing Calendar or other racing publication and by notice on the Club's notice board.
- (viii) To authorise the level of fees as they shall from time to time determine in relation to these Rules of Racing or regulations.
- (ix) To charge fees in addition to those otherwise provided for in the Rules of Racing or regulations if the Directors agree to make exceptional arrangements in respect of the conduct of any race meeting.
- (x) To determine the policy in respect of the division of races or elimination of entries.
- (xi) To accept or to refuse to accept or to cancel any registration made under these Rules of Racing or regulations.

- (xii) To determine and decide upon any question or matter referred to the Directors by the Racecourse Stewards;
  - (xiii) To authorise the use of the racetrack for an equine event involving the use of the track, or to refuse or cancel such authorisation.
  - (xiv) To make any and all arrangements for the preparation of the racetrack and the management and supervision thereof.
- (b) Neither the Directors nor anyone acting on their behalf shall be under any liability to any person by reason of the elimination of a horse notwithstanding that the horse was exempt from elimination under these Rules, regulations or conditions of any race.

### **Racecourse Stewards**

4. There must be at least three Racecourse Stewards (in these Rules sometimes referred to as “Stewards”) presiding over a race meeting. All questions to be decided by the Stewards shall be determined by a majority vote.
5. Should there be less than three Stewards at a meeting, the Stewards or Steward present shall appoint one or two additional persons to act as Stewards. If none of the Stewards is present, the Secretary shall appoint three persons to act as Stewards during their absence.
6. Powers of the Stewards begin at 8:00 a.m. on the day of the closing of entries and shall end when all matters concerning the meeting have been concluded.

The Stewards shall have full power:-

- (a) to make and if necessary, to vary all or any of the arrangements for the conduct of the meeting;
- (b) to postpone or abandon the whole or any part of a meeting or any race or races at a meeting, (in the event of a postponement the Directors may fix a new date for the postponed meeting or race);
- (c)
  - (i) to regulate, take control over and gain free access with or without their duly appointed representatives to the premises under the ownership occupancy or other control of the Club and to all places thereon including but not limited to all stands, enclosures, rooms, stables and to all horse-trailers, vans and vehicles used for any purpose connected with racing or training.
  - (ii) to enquire into regulate, control, take cognizance of, and adjudicate upon the conduct of all officials, and of all owners, authorised agents, nominators, trainers, jockeys, grooms, persons attendant on horses, and all persons whether lawfully or unlawfully gaining entry and access to the stands and other places used for the purposes of the meeting;
- (d) to exclude or eject at their discretion any person from all or any places under their control;

- (e) to punish at their discretion any person subject to their control with a fine not exceeding the maximum prescribed fine and/or with suspension from acting or riding for a period not exceeding thirty days and to report the matter to the Stewards of the Club if they deem it expedient. A stay of the punishment imposed may be granted by the Appellate Body pending the hearing of an appeal;
- (f) to determine all questions arising with reference to racing at the meeting whether during the course of or subsequent to the meeting and to report the conduct of any person or any matter relating to racing to the Stewards of the Club regardless of whether it involves or might involve a breach of these Rules.;
- (g)(i) to accept, cancel or refuse to accept at any time any entry, nomination or subscription or part thereof and in the case of expediency to refuse to allow a horse duly entered to run in any race. On the cancellation of any entry, the entrance fees, and other fees pertaining thereto, shall be repaid to the owner;
- (ii) to accept or to refuse to accept confirmations, declarations or withdrawals to run in any race;
- (iii) to accept or to refuse to accept the declaration withdrawal or alteration of jockeys to horses;
- (iv) at their discretion to refer to the Stewards of the Club any question or matter arising out of

(i), (ii) or (iii) above of this sub-rule for decision by the Stewards of the Club;

(h)(i) to call for proof that a horse is neither itself disqualified in any respect, nor nominated by, nor the property, wholly or in part of a disqualified person; and where no such proof is given to their satisfaction, to declare such horse disqualified;

(ii) to prevent any horse from running which cannot be shown to be qualified under these Rules or under the conditions of entry;

(i) at any time to search or caused to be searched or to order an examination by such person or persons as they think fit of:

(i) any horse which is either entered for a race, declared to run or which is on the racecourse whether before or after the race;

(ii) any trainer, jockey or groom;

(iii) any item of tackle, gear or equipment used or about or intended to be used by such trainer, jockey or groom and/or with a horse whether before during or after a race; and

(iv) any other equipment, machinery or premises under the control of the Stewards;

and whether a race meeting is being, or is about to be, or after the same has been

conducted, or whether only at exercise or training;

- (j) to make or cause to be made before or after a race any test in their opinion desirable in order to determine whether any Prohibited Substance has been administered to any horse, whether that horse has or has not raced;
- (k) to make or cause to be made a visual and/or endoscopic examination of any horse that, in their opinion, may be a bleeder whether before or after a race;
- (l) to prohibit a rider from using a whip or particular kind of whip whether or not such whip complies with the specifications approved by the Stewards of the Club, but, in the event of their so doing, they shall without delay submit a report to the Stewards of the Club;
- (m) to determine the result from the Photograph in the event that neither the Judge nor his substitute authorised under Rule 14(b) is able to carry out his duties.
- (n) to order the withdrawal from a race of any horse that has been declared to run under Rule 86(a) where they have reason to be concerned about the medical or physical condition of the horse having regard to the fitness and safety of such horse to run in such race provided that they shall have first sought and obtained the opinion of a Veterinary Officer at the meeting who shall

have recommended a withdrawal on such grounds;

- (o) to order at once, should an objection be lodged or an enquiry be called under Rule 114(d) or Rule 117, the appropriate announcement to be made over the public address system and the appropriate signal to be given on the number board or screen. Such signals must be displayed continuously until the Stewards' decision on the enquiry or objection is announced. The appropriate signal shall be:

Race declared official	-	Blue light
Objection to winner or any other placed horse	-	Red light
Stewards' Enquiry	-	Red light

Following the adjudication of the objection and/or enquiry, the official order as instructed by the Stewards shall be displayed, the result of the objection and/or enquiry announced over the public address system, and the blue light will then signify that the result is official;

- (p) at their discretion to prevent a horse from racing whose owner, trainer, nominator, authorised agent or registered agent is in arrears or on the forfeit list.
- (q) to hold and make an enquiry into any accident occurring during a meeting.
- (r) at their discretion, after the holding of an enquiry, to punish the owner, trainer and jockey or any of them for what the Stewards

consider to be the inconsistent form of a horse.

- (s) to suspend a horse from running in any race or particular races for such period or periods as they think fit to provide for suspensions to take effect automatically and to make the lifting of any suspension subject to such conditions as they think fit.

**Note:** Without prejudice to the generality of this Rule, it should be invoked where horses have failed to meet conduct, performance or other criteria set by the Racecourse Stewards or the Stewards of the Club.

- (t) to prohibit any equipment for use on a horse in a race which, in their opinion, is unsuitable, unsafe or ineffective.

- (u)(i) at any time with probable cause to order a trainer, jockey, exercise lad, amateur rider, groom or starting gate personnel (attendants) to submit himself to testing for the presence of any Banned Substances, or Notifiable Medications as required by the measures from time to time approved by the Stewards of the Club or the Board for testing for Banned Substances and Notifiable Medications set out and contained in Appendix 4. Where such person fails to comply with such an order made by the Stewards without good reason such person shall have committed an offence under these Rules.

**Note:** Where this Rule is invoked the Independent Sampling Officer (see Appendix 4) must be notified in confidence immediately in order for him to arrange “off-course” testing in accordance with the regulations contained in Appendix 4. This testing would normally take place within Twenty-four (24) to Forty-eight (48) hours of such notification.

- (ii) to grant exemption to a trainer, jockey, exercise lad, amateur rider, groom or starting gate personnel (attendants) selected for testing for Banned Substances and Notifiable Medications from providing a sample of urine in accordance with the provisions contained in Appendix 4.
- (iii) in exceptional circumstances to cancel a parade or to cancel the requirement that horses should be ridden in front of the stand before going to the post.
- (v) Under exceptional circumstances to give permission for a race to be started in front of the Starting Post or within such distance of it as the Stewards may from time to time direct. The exercise by the Stewards of this power shall override any contrary provision concerning the distance of the race in the conditions of the race itself or of general application in these Rules.
- (w) To declare a race void in the following circumstances
  - when a start has been affected due to a faulty action of the Starting Stalls, and

- the Starter has not declared a false start, and
  - the faulty action of the Starting Stalls has in their opinion materially prejudiced the chances of a sufficient number of horses running in the race to justify declaring the race void.
- (x) To withdraw a horse when they consider that through any cause the horse is or will be unable to start without there being an unacceptable delay to the start of the race and shall at once so notify the Starter and the Clerk of the Scales.

In addition to, and in order to fully effectuate their foregoing powers the Stewards have the powers of suspension and caution to be exercised as and when required in their absolute discretion.

7. (a) The search or examination pursuant to Rule 6(i) hereof may be made by the Medical Officer or Veterinary Officer as the case may be and may include the taking and storage of samples for subsequent analysis at the discretion of the person or persons so conducting the search or examination. Any subsequent analysis required may be undertaken at such times and in such manner as the Stewards or the Stewards of the Club may from time to time instruct.
- (b) Pursuant to Rule 6(i) hereof the person or persons so conducting the examination and search may in his or their discretion take

possession of any article or thing found which could afford evidence of an offence.

- 8.** (a) The Stewards shall exclude from all places under their control:
- (i) every person who is disqualified or warned off by any Recognised Turf Authority; and
  - (ii) every person who has been declared by any Recognised Turf Authority or by the Stewards of any Recognised meeting in this or any other country, to have been guilty of any corrupt or fraudulent practice in relation to horse racing; and
  - (iii) any person whom they in their discretion shall deem it expedient to exclude.
- (b) The Stewards shall not entertain any disputes or claims relating to bets.
- 9.** (a) When in the opinion of the Stewards, a trainer or any person entitled to give any instruction to a jockey under Rule 102, or a jockey is found to have committed a breach of or been guilty of any offence under Rule 103 concerning the running or riding of a particular horse, the Stewards, in addition to their foregoing powers, have power at their discretion to suspend the horse from running for a period of Thirty (30) days. All such suspensions shall commence on the third day after the day of the Stewards' decision unless a Saturday, Sunday, Public Holiday, Good Friday or Christmas Day intervenes when it shall commence on the second day

thereafter that the Registry Office is open for business.

- (b) When in the opinion of the Stewards:
- (i) there is a reasonable suspicion that any person has committed any breach of these Rules which in their opinion ought to be considered by the Stewards of the Club, or
  - (ii) any person has committed any breach of these Rules and in their opinion some fine or punishment in excess of the maximum prescribed fine ought to be imposed upon such person, or
  - (iii) any person present at the meeting whether or not subject to these Rules has misconducted himself, or
  - (iv) any jockey has committed a breach of these Rules and in their opinion the jockey should either be suspended for a longer period than 30 days, or the breach should be considered by the Stewards of the Club

they have power at their discretion to report the matter to the Stewards of the Club.

Upon consideration of the matter the Stewards of the Club shall not be bound by any finding of the Stewards.

### **Powers of the Stewards of the Club**

- 10.** The Stewards of the Club shall have full power at their discretion:

- (a) to impose any fine not exceeding the maximum prescribed fine;
- (b)(i) to grant, to suspend and to withdraw and to refuse to grant licences or permits for trainers, jockeys, exercise lads, grooms and such others as they may licence; every application for renewal of any licence or permit may be treated and regarded in all respects and for all purposes as if it were the first application by the applicant for such a licence or permit; and
- (iii) to make the reinstatement of such licence or permit at the expiry of any period of suspension subject to the licensee having complied with and/or continuing to comply with such requirements or conditions as they think fit; and
- (iii) to provide for any part of the suspension to take effect only if a further suspension is imposed by the Racecourse Stewards or the Stewards of the Club under these Rules in respect of a matter occurring within such subsequent period not exceeding six months as they shall think fit. Where such part of the suspension is to take effect it shall follow consecutively the further period of suspension.
- (iv) to prohibit at their discretion a jockey who is the holder of a current licence or permit to ride issued by a Recognised Turf Authority from riding in races for such period or periods as they think fit.

- (c) to grant, to suspend and to withdraw and to refuse to grant permits for officials;
- (d) to accept, cancel, or refuse to accept at any time any entry or subscription or part thereof and in the case of expediency to refuse to allow a horse duly entered to run in any race. On the cancellation of any entry, the entrance fees, and other fees pertaining thereto, shall be repaid to the owner;
- (e) to refuse to allow any person to act or continue to act as an authorised or a registered agent;
- (f) in cases of emergency or expediency to order the abandonment of any race, races or race meeting after the Racecourse Stewards have postponed the race, races or race meeting;
- (g) to make enquiry into, review at their discretion, adjudicate upon, or otherwise deal with any matters relating to racing, including exercise, or training whether such matter or matters arise in Barbados or elsewhere. For the avoidance of doubt it is hereby declared that this power includes the power to enquire into and adjudicate upon conduct that has already been considered by Racecourse Stewards no matter what has been the decision or action of such Racecourse Stewards and notwithstanding that the matter has not been reported by the Racecourse Stewards under these Rules.
- (h) to warn any person off the premises of the Club or to declare any person disqualified;

- (l) (i) in cases of emergency or expediency to modify or suspend any rule or regulation for such period or periods as they shall think fit without giving previous notice, but should they do so they shall report the fact in the two subsequent consecutive issues of the Racing Calendar and by notice on the Club's notice board;
- (ii) to make such regulations from time to time as they may think fit.
- (j) to appoint a Steward of the Club or other person to supervise the exercise and training of horses on the premises of the Club;
- (k) to consider any decision of the Judge under Rule 29(c) and where in their opinion the Judge has made a mistake in determining and announcing the winner or placed horses in a race, which has not been corrected within the time limit specified in Rule 29(c), themselves to correct such mistake and to declare the winner and placed horses. Such decision of the Stewards of the Club shall not be made earlier than the sixth day nor later than the fourteenth day after the race and shall supersede that of the Judge.
- (l) to require at anytime a trainer, jockey, exercise lad, amateur rider, groom or starting gate personnel (attendants) to consent to the taking of a medical examination by the Medical Officer or such person or persons as they think fit and to give any sample for analysis as may be required by these Rules, and upon refusal may revoke or suspend the

licence of such trainer, jockey, exercise lad, amateur rider, groom or starting gate personnel (attendants).

- (m) to accept or refuse to accept or to cancel any registration under these Rules notwithstanding any implication to the contrary contained elsewhere in these Rules.
- (n) to entertain and determine through its Appellate Body, appeals from the decisions of the Racecourse Stewards.
- (o) to suspend a horse from running in any race or particular races for such period or periods as they think fit to provide for suspensions to take effect automatically and to make the lifting of any suspension subject to such conditions as they think fit.

**Note:** Without prejudice to the generality of this Rule, it should be invoked where horses have failed to meet conduct, performance or other criteria set by the Racecourse Stewards or the Stewards of the Club.

- (p) to prohibit any equipment for use on a horse in a race which, in their opinion, is unsuitable unsafe or ineffective.
- (q) to make, establish, administer, manage, regulate and control all rules and requirements of the Barbados Stud Book and appoint such person or persons as they deem expedient to manage and oversee the functions thereof and to enforce the carrying out and observance of its rules requirements and procedures.

- (r) to exercise any other powers conferred upon them by these Rules or by any instructions or regulations thereunder and to take such action as they consider necessary for the purpose of carrying out or putting into effect these Rules or those instructions or regulations. Without prejudice to the generality of the provisions of this sub-rule, and save where any rule expressly provides otherwise, to make such arrangements as they think fit for any one or more of their powers or other functions under these Rules or regulations to be exercised on their behalf and in their name by any employee of the Club where they are satisfied that it is in the efficient administration of horseracing and the operation of these Rules and regulations to do so. Further the Stewards of the Club have power at any time to ratify the exercise or purported exercise of any power or function on their behalf by any employee of the Club where they think fit notwithstanding that the individual may not have been duly authorised by the Stewards of the Club at the relevant time.
- (s) where the same or similar powers are given to Racecourse Stewards as well as Stewards of the Club in cases of emergency or urgency any decision made or action taken by the Stewards of the Club shall prevail.
- (t) to make arrangements for any person who they may have approved for that purpose to be authorised:-

- (i) to enter premises owned, controlled or occupied by a Trainer for stabling or training horses, and/or
- (ii) to examine horses under the care of a Trainer, and/or
- (iii) to request any information or record from any person subject to these Rules
- (iv) to inspect any vehicle used or to be used for the transportation of any horse or licensed persons to or from a racecourse and to take samples or custody of any feedstuffs, feed additives and of any other substances or materials as deemed necessary.
- (v) to conduct a search of any person present on licensed premises and to take samples or custody of any article or materials found on such persons as deemed necessary.

in each case for the purpose of determining whether or not the provisions of these Rules, regulations, orders or instructions are being complied with or in relation to an investigation concerning a breach of the said Rules, regulations orders or instructions.

- 11.** (a) The decision of the Stewards of the Club as to the meaning and effect of any of these Rules, instructions or regulations made hereunder, or of any amendments or additions thereto, or of any of the conditions of any programme or race or in any other matter whatsoever relating to racing in the island shall be final.

- (b) In the case of any conflict between these Rules and the By-Laws of the Club, the By-Laws shall prevail.
  - (c) The Stewards of the Club shall not entertain any disputes or claims relating to bets.
- 12.**
- (a) When, following an enquiry, the Stewards of the Club have determined that any person has committed any breach of these Rules, they shall have the power, at their discretion, to order that person to pay such reasonable costs and expenses relating to the enquiry and such reasonable compensation for outlay incurred in connection therewith as they may determine.
  - (b) On the hearing of an appeal brought by any person under Rules 134 through 137 inclusive, the Appellate Body shall have the power, at its discretion, except where it has reversed the decision of the Stewards, to order that person to pay such reasonable costs and expenses relating to the Appeal and such reasonable compensation for outlay in connection therewith as it may determine.
  - (c) When any person (or horse as the case may be) subject to these Rules, has in the opinion of the Stewards of the Club, committed any breach thereof or is guilty of an offence, or is otherwise liable to a penalty under these Rules; the Stewards of the Club have power in their absolute discretion as and when they think fit to impose upon such person (or horse as the case may be) any one or more of the following penalties:-

- (i) they may impose a fine not exceeding the maximum prescribed fine specified in Appendix 1B,
- (ii) they may declare him warned off or a disqualified person,
- (iv) they may suspend him,
- (v) they may caution him.

Save that where any Rule prescribes a maximum penalty they may not impose any greater penalty or any penalty of some other kind and save that where any Rule prescribes a mandatory penalty they shall impose that penalty. Save as aforesaid the powers given by this Rule are not and are not to be construed as being in any way or in any instance limited or excluded by reason of the fact that some Rules do while others do not prescribe penalties for their breach.

13. The Stewards of the Club or their duly appointed representatives shall have free access to the premises under the ownership, occupancy or other control of the Club and to all places thereon including but not limited to all stands, enclosures, rooms, stables and to all horse trailers, vans and vehicles used for any purpose connected with racing or training.

## **PART IV**

### **Officials**

14. (a) The appointment of the Stewards of the Club, Secretary, Handicappers, Classifiers, Clerk of the Scales, Starter, Judge or Judges, Timekeeper, and all other racing officials

shall be made by the Board. Other racing officials shall include but not be limited to Clerk of the Saddling Enclosure, Clerk of the Parade Ring, Patrol Judge, Horse Identifier, Starting Gate Personnel (Attendants), Jockeys' Room Custodian, Medical and Veterinary Officers, Paddock Judges, Course Marshals, Paddock Security Officers and in each case their duly appointed assistants or substitutes, if appropriate.

- (b) In cases of emergency or expediency, the Stewards may, during a meeting, appoint a substitute to fill any of the above named offices for that meeting only.

- 15. The decisions of the Handicappers and Classifiers shall be final.
- 16. Every complaint against an official shall be made to the Stewards of the Club in writing, signed by the complainant.

### **Secretary**

- 17. The Secretary or his authorised substitute is the sole person responsible to the Board for the general arrangements of the meeting.
- 18. The Secretary shall arrange for the publication, under the sanction of the Board, of a daily official programme of the races, containing the conditions of each race, as published at the Registry Office, the names and/or other descriptions of the horses engaged, with the starting positions assigned to each, and such further particulars as the Board may require.

**19.** The Secretary or his authorised substitute shall retain at the Registry Office for the information of the Stewards of the Club the following in respect of each meeting:

- (a) the names of all horses that started in each race and the names of the jockeys/amateur riders, the weights carried and overweights, if any;
- (b) the official positions of all horses placed by the Judge, and the distances between each of them as declared by the Judge;
- (c) the reports of the Starter as provided by Rules 25B, 27 and 28;
- (d) a record of all enquiries by and decisions of the Stewards and any punishment imposed; and
- (e) a record of any accident occurring during a meeting into which the Stewards must hold an enquiry and the result of any such enquiry.

**20.** The Secretary shall have in his possession for the information of the Stewards a currently maintained list of:

- (a) persons disqualified or warned off any course or place under the control of the Stewards of the Club;
- (b) suspended jockeys and grooms;
- (c) persons in arrears;

and it shall be the responsibility of the Secretary to bring to the attention of the Stewards information pertaining to the name or names of:

- (i) horses which are under disqualification; and
- (ii) the owner, nominator, authorised or registered agent of horses which are under disqualification,

so as to ensure that no horse or any person which or who is disqualified, warned off or suspended be permitted to take part, start or otherwise participate in any race during such disqualification, warning off or suspension.

- 21.** The Secretary shall not pay over the stakes and/or added money to the persons entitled until authorised to do so by the Board.

### **Handicapper**

- 22.** The handicapper, in making a handicap, shall allot the weights to be carried in accordance with the definition of a handicap in Part I of these Rules. The weights for a handicap race will be published as soon after the close of entries as is practicable. No alteration shall be made to a weight after publication, except that by express permission of the Stewards a weight may be affixed for a horse duly entered, but whose name or weight has been omitted from the handicap, or a correction may be made when, through an error an incorrect weight has been published. Except where otherwise prescribed by the Stewards such alterations or additions may only be made up to 10:00 a.m. Two (2) days prior to the scheduled day of the race.

## Clerk of the Saddling Enclosure

**23A.** The Clerk of the Saddling Enclosure shall:

- (a) verify that all horses running at the meeting are at the premises One (1) hour before the scheduled starting time for the race in which the horse is declared to run;
- (b) verify that all horses running at the meeting are brought into the Saddling Enclosure at the following appointed times for the race in which the horse is declared to run, viz:-
  - (i) no later than Twenty five (25) minutes before the scheduled starting-time for the first race or other appointed time by the Stewards; and
  - (ii) unless the Stewards shall otherwise determine for all subsequent races at such meeting no later than Five (5) minutes after the previous race has finished.
- (c) ensure that all horses are saddled (as far as is practicable) in the saddling stalls;
- (d) obtain from the Secretary or Racing Operations Manager a list of all equipment declared for each horse saddled, check and maintain a written record thereof, inspect all such equipment and report any change thereof to the Stewards;
- (e) unless the Stewards otherwise grant permission, prohibit any change of equipment from that declared;

- (f) ensure that no persons without special leave of the Stewards shall be allowed access to the Saddling Enclosure except officials of the meeting, handicappers, owners, trainers, grooms and jockeys of horses about to run in the ensuing race and any person refusing to leave shall be reported to the Stewards.
- (g) report to the Stewards the name of any horse and the trainer thereof which has not:
  - (i) arrived at the premises One (1) hour before the scheduled starting time for the race in which the horse is declared to run; or
  - (ii) been brought into the Saddling Enclosure at the appointed time; or
  - (iii) been saddled in the saddling stalls.
- (h) report to the Stewards the name of any person or horse in the Saddling Enclosure, whose badge (if required) is not exhibited;

### **Clerk of the Parade Ring**

**23B.** The Clerk of the Parade Ring shall:

- (a) verify compliance with the provisions of Rule 92(b) and report to the Stewards the name of any horse and the trainer thereof not complying with such Rule;
- (b) ensure that no persons without special leave of the Stewards shall be allowed access to the Parade Ring except officials of the

meeting, handicappers, owners, trainers, grooms and jockeys of horses about to run in the ensuing race and any person refusing to leave shall be reported to the Stewards.

## **Clerk of the Scales**

**24.** The Clerk of the Scales shall:

- (a) verify the presence of all jockeys in the jockeys' room at the appointed time (as specified in Appendix 1D);
- (b) oversee and regulate the security of the weighing room and the jockeys' room including the conduct of all persons therein;
- (c) ensure that a clean numbercloth, of a type and pattern approved by the Stewards of the Club is provided for every horse for which a jockey presents himself to be weighed out;
- (d) weigh the jockeys in accordance with Parts XIII and XVIII of these Rules;
- (e) cause to be exhibited the number (as allotted on the official race programme) of each horse for which a jockey has been declared, together with the name of the jockey so declared the horses' weights, overweights and allowances, tongue straps, nasal dilators or such other equipment as the Stewards of the Club shall from time to time determine;
- (f) furnish the Starter with a list of runners and the starting positions as drawn in accordance with Rule 98;

- (g) withdraw at once the number of any horse which has been withdrawn by order of the Stewards or of any horse reported to him under Rule 25A(c) as not coming under Starter's orders;
- (h) cause to be exhibited any extra weight or variation from the weight which is declared at the scale for any horse and also any alteration of colours from those appearing in the official programme;
- (i) make a return to the Secretary of the weight carried in each race and the names of the jockeys, specifying allowances or overweights, if any;
- (j) in all cases, except under the provision in Rule 112(b), weigh in the jockeys of all the horses for which prize money has been allocated as well as such other jockeys as may be required by the Stewards, and report to the Stewards any jockey not presenting himself to be weighed in;
- (k) report to the Stewards any jockey weighing in at more than Two pounds (2 lbs) over his proper weight or over the weight at which he weighed out or more than One pound (1 lb) under the weight at which he weighed out.

### **The Starter**

**25A.** The horses must be started by the official Starter who shall:

- (a) obtain from the Clerk of the Scales, in the weighing room, a list of runners and their starting positions;
- (b) in a start from the starting stalls (gates), have full power to remove or withdraw an unruly or stubborn horse but no horse shall be permitted to start from outside the stalls. A horse which refuses to enter the starting stalls, or a horse which enters and through its unruly behaviour damages its stall shall be withdrawn by the Starter;
- (c) carry out such action sufficient to cause a race to be declared "off". A race shall be declared "off":-
  - (i) for races started from the starting stalls or starting gate, when the Starter has released the starting stalls or starting gate as the case may be,
  - (ii) for races started by a flag, when the Starter has dropped his flag;unless the Starter declares a false start under Rule 26;
- (d) give all orders necessary for securing a fair start including an order permitting a horse to be held in the stalls;
- (e) have the authority, under exceptional circumstances, to start a race in front of the starting point within such distance of it as the Stewards may direct. Such direction of the Stewards and exercise of authority by the Starter shall override any contrary provision

concerning the distance of the race in the conditions of the race itself or of general application in these Rules;

- (f) at once notify the Stewards should he consider that through any cause a horse is unable to start and inform them that the horse is withdrawn;
- (g) in his discretion, order that an unruly or stubborn horse, which is causing or has caused undue delay, be left; and
- (h) in a case of emergency and with the permission of the Stewards cause a race to be started by a flag start. In such case the horses will be deemed to have come under Starter's orders when the Starter has dropped the flag. In the event of a flag start:
  - (i) the horses must be started as far as possible in a line but they may be started at such reasonable distance behind the starting post as the Starter may think necessary; and
  - (ii) the Starter shall have full power to remove an unruly or stubborn horse from its allotted place, and should he do so, he must place it at such distance to one side of or behind the other runners that it cannot gain any advantage itself or cause danger to or prejudice the chances of other horses and jockeys engaged in the race. Permission may be given by the Starter for a horse to be held, or the Starter may himself order an unruly or stubborn horse to be held, but in all such cases the horse must be

held 'at a stand' behind the other runners. Should an unruly horse cause undue delay it may be "left".

**25B.** When a horse is reported to the Stewards by the Starter for:

- (a) failing to enter the starting stalls, or
- (b) unnecessarily delaying a start, or
- (c) any unruly or stubborn behaviour at the starting stalls unacceptable to the Starter

it may not start for a race from the starting stalls until such time as the Starter has passed it satisfactorily through a starting stalls test.

- 26.**
- (a) Should the Starter consider that through any faulty action of the starting stalls a fair start has not been effected, he shall declare it a "false start". The Starter may also declare a false start when a horse(s) has broken away before the Starter has released the starting stalls. When a false start has been declared the Starter shall order the jockeys by means of a recall flag or signal, to pull up and return to the starting point. The Starter's decision shall be final. In the event of the Starter declaring a false start, Starter's orders is negated for that occasion and any horse withdrawn before the race has been correctly started is deemed to be withdrawn not under Starter's orders.
  - (b) Where the recall flag is raised or signal given without the orders of the Starter, he shall nevertheless declare it a false start.

- (c) Except in accordance with Rules 6(v) or 25A(e) a start in front of the starting post or a race run over the wrong distance is void.
  - (d) A start before the appointed time may be declared void by the Stewards. In the event of a void start under (c) or (d) of this Rule, subject to Rule 6(b), the horses must except otherwise ordered by the Stewards, be started again as soon as is practicable. In the event of any horse running the course through a false start or from a void start, the owner may, with the consent of the Stewards, withdraw his horse from the race, the horse shall be considered as not having come under Starter's orders.
- 27.** Misconduct by a jockey at the start is an offence and the Starter shall report to the Stewards any jockey whom he considers to have been guilty of such misconduct.
- 28.** The Starter shall report to the Stewards for transmission to the Registry Office:
- (a) all cases when he has dispensed with the starting stalls, or made any notification under Rule 25A(f) and his reason for doing so;
  - (b) the time at which each race was started; and
  - (c) by whom or by what cause any delay was occasioned.

## The Judge

29. (a) The Judge, or a substitute authorised by the Stewards under Rule 14(b), must occupy the place designated by the Stewards as the Judge's box at the time when the first horse passes the winning post or the race will be void unless, in exercise of their powers under Rule 6(m), the Stewards are able to determine the result from the photograph.
- (b) The Judge, or the authorised substitute, must remain in that place until such time as all the horses which are in a position to complete the course have passed the winning post and his decision in this matter shall, save as hereinafter provided, be final. No horse which passes the winning post after the Judge or the authorised substitute has left the Judge's box shall be placed.
- (c) The Judge must announce his decision immediately, or after consulting the photograph, and shall determine the winner according to that part of the horse's head, excluding the ears, which is first past the winning post, the remaining placings being determined in a similar manner, and subject to the powers of the Stewards, the Stewards of the Club and the Appellate Body under these Rules to disqualify horses and to alter placings, such decision shall be final, provided that this Rule shall not prevent the Judge at any time within five days of the race from correcting any mistake, and shall be subject to the powers of the Stewards of the Club under Rule 10(k).

- (d) A correction by the Judge shall be subject to confirmation by the Stewards but no such correction shall appear in the numbers of the winner or placed horses on the board after the "race declared official" has been signalled in accordance with Rule 113(c).
- (e) When the Judge has consulted the photograph the degree of enlargement of the print upon which his decision is made is a matter for his discretion. The Judge shall certify the print concerned and in the event of the Stewards of the Club exercising their powers under Rule 10(k) that print shall be the main evidence of the result.
- (f) The Judge shall, at the end of each race, sign and send a report of such race to the Stewards and the Secretary.

### **The Timekeeper**

- 30.** (a) The Timekeeper shall ensure that each race is timed and shall determine the official time of each race;
- (b) When an electronic timing device is used, the races may on the instructions of the Stewards, also be timed with stopwatches;
- (c) The time of each race shall be announced and prominently displayed.

## **PART V**

### **Handicaps**

31. Except as provided in the conditions of a race, the minimum top weights to be allotted in a handicap shall be as stated in Appendix 1E.1 hereinafter referred to as the “the minimum allotted top weights”.
32. Where in a handicap race no horse declared to start is handicapped to carry its minimum allotted top weight, then all weights in the race shall be proportionately increased by the amount necessary so as to take the horse weighted nearest to its minimum allotted top weight to its minimum allotted top weight thereby maintaining the weight differentials allotted by the handicapper.

## **PART VI**

### **Racehorses**

#### **Age**

33. The age of a horse shall be reckoned as beginning on the first day of January in the year in which it was foaled.
34. Yearlings shall not run in any race.
35. Two-year-olds shall not run in a race before the first day of April nor shall they run more than Six (6) furlongs (1,200 metres) or in handicaps with older horses before the first day of August.

36. No horse shall run in more than one race on the same day.

### **Registration of Names**

37. (a) Where, for a horse foaled before January 1<sup>st</sup>, 2000 a name for a horse has not already been claimed with the Barbados Stud Book or is not otherwise protected, the name can be claimed by application at the Registry Office, on the prescribed form signed by or on behalf of the owner or owners, and on payment of the prescribed fee. If there is no other horse of the same name, and provided that the name claimed is not that of a celebrated horse or mare, the name will be registered. The ruling of the Board in this respect shall be final.
- (b) Horses foaled on or after January 1<sup>st</sup>, 2000 shall make a name claim application to the Registry Office in accordance with the Barbados Stud Book Rules and Requirements (Appendix 10).
- (c)(i) All names must be claimed not later than the 1<sup>st</sup> day of February in the year in which the horse is a Two-year-old, failing which the prescribed fine shall be payable.
- (ii) Once claimed, the availability of the name shall be determined and the assignment thereof shall be made in accordance with the naming rules set out in the Barbados Stud Book Rules and Requirements (Appendix 10).
- (d)(i) In the case of a horse which has already been registered elsewhere than in Barbados, under the same name as one already registered in

Barbados, a letter code suffix will be added to denote the country of foaling and this code will form part of the registered name.

- (ii) When a name is registered of a horse foaled outside Barbados a letter code suffix will be added to denote the country of origin.
- (e) If the same name be simultaneously claimed for two or more horses, the order of priority shall be determined by lot by the Secretary at the Registry Office.
- (f) No horse shall be entered or race un-named. However the Registry Office may in relation to an unnamed horse receive instalments of subscriptions for subscription races but shall not receive the final instalment unless the horse has been named.
- (g) A horse's name may be changed on payment of the prescribed fee provided:-
  - (i) the horse has not been entered in a race; or
  - (ii) in the case of an imported horse, the name is not already registered by the Recognised Turf Authority of the country in which it was foaled, except with the permission of that Turf Authority.
- (h) Whenever the name under which a horse has run at any recognised meeting in any country is changed, or abandoned, his old name as well as his newly registered name or description must be given on the first entry form after the change of name has been registered, and the new name and

the old name must be included in the race programme for that meeting.

- (l) These provisions for registration and change of name are subject always to the powers of the Stewards of the Club. For the avoidance of doubt it is hereby declared that the Stewards of the Club will refuse to register the name of any horse unless it and its sire and dam are each the product of a natural service of covering, and unless a natural gestation took place in and delivery was from the body of the mare in which the foal was conceived, and if a name is registered for a horse produced by other means the registration will be void.

### **Ineligibility of Horses**

- 38.** A horse is not qualified to be entered for, or to run in any race:
- (a) if it has not been duly registered at the Registry Office in accordance with the rules governing Registration of Horses herein contained;
  - (b) if it has run at any unrecognised meeting;
  - (c) save as provided under Rule 42 if and so long as it is in the ownership or part ownership of a disqualified person or so long as any disqualified person has any interest in such horse's winnings in such race;

- (d) if and so long as it is in the stable of, or under the care and management of a disqualified person;
- (e) in any case in which it is by these Rules or by the conditions of the race not qualified;
- (f) if and so long as it is in the forfeit list;
- (g) if it has been declared disqualified or suspended by the Stewards or the Stewards of the Club or any Recognised Turf Authority, except that entries may be accepted for such horses during the period of disqualification or suspension for races due to be run outside such period. Where a horse has been suspended by a Recognised Turf Authority such suspension is effective unless the Stewards of the Club declare that the suspension shall not have effect under these Rules;
- (h) if, except in the case of a horse trained outside Barbados coming to Barbados for the purpose of a particular race(s), it is the property of an owner whose name is not duly registered under Rule 47;
- (i) if it is the property of a Recognised Company and that Company has no registered agent;
- (j) unless it and its sire and dam are each the produce of a natural service or covering, and unless a natural gestation took place in and delivery was from the body of the mare in which the horse was conceived.

**39.** No horse shall be qualified to run in any race:

- (a) unless it is duly registered at the Registry Office;
- (b) unless it is trained by a trainer;
- (c) unless it is duly entered for the same;
- (d) unless it has been declared to run in the same at the appropriate time or unless its jockey has been declared at the appropriate time;
- (e) if it has been tubed on the date of the race;
- (f) if it has received an injection for vaccination against Equine Influenza within the previous seven days (including the day of the race);
- (g) if it has not been correctly vaccinated against such illnesses or diseases as are set out and contained in the Barbados Stud Book Rules and Requirements (Appendix 10 – Vaccination Requirements Section) or against such illnesses and diseases as the Board shall from time to time prescribe;
- (h) if it has been the subject of a neurectomy operation;
- (i) if its jockey has not weighed out in accordance with these Rules;
- (j) if it has received a blood transfusion for the purpose of enhancing its performance in that race;
- (k) if it is trained outside Barbados and has been in Barbados, either:

- (i) for more than seven days unless it is under the personal supervision of the trainer, or
  - (ii) for more than thirty days unless it is in the care of a trainer licenced or permitted under these Rules except that the Stewards of the Club may waive this requirement upon application by the person who trains the horse outside Barbados and who must be a duly qualified trainer by the relevant Recognised Turf Authority;
- (l) if it is more than One hundred and twenty (120) days pregnant;
  - (m) if it has been suspended from running;
  - (n) if it has already run in a race on the same day.
  - (o) if it has an implant other than one which is pharmacologically inactive;
  - (p) if, in the opinion of the Veterinary Officer, its identity has not been correctly verified;
  - (q) if it has been suspended from running under the provisions of Rule 9(a).

## **Disqualification of Horses**

### **40.**

- (a)(i) A horse which has been the subject of any fraudulent practice may be disqualified by the

Stewards for any race at the meeting over which they are presiding and the Stewards may report the matter to the Stewards of the Club for such further action as they may deem fit;

(ii) A horse which has been the subject of any fraudulent practice may, at the discretion of the Stewards of the Club, be disqualified for such time and for such races as they shall determine and the trainer, groom and jockey of the horse or any of them shall be liable to have their licences cancelled or be warned off or be otherwise penalised by the Stewards of the Club.

(b) Where a horse has been the subject of an examination under Rule 6(i) or 6(j) and the result of an Analysis of any Sample is positive the horse shall be disqualified for the race in question and may at the discretion of the Stewards of the Club be disqualified for such time and for such races subsequent to the race in question as they shall determine. For the purpose of this sub-rule see definition of Positive result of Sample.

(c) Save where permitted by the Stewards of the Club under Rule 49(d) when a Positive result of Sample of a horse has been received by the Club such horse shall be declared suspended until the conclusion of a hearing by the Stewards of the Club on the matter;

**41.** If a horse which is not qualified according to Rules 38, 39 and 40 be entered for or runs in any race, it shall, on objection under either Rules 115(a) or 117, be disqualified by the Stewards, and the person or

persons responsible shall be reported to the Stewards of the Club.

42. No horse shall be disqualified for a race merely because any bonus or premiums payable under the conditions of the race may become payable to a breeder or nominator who are or may be disqualified persons, and in the event of such horse winning or being placed, any such bonus or premiums shall be withheld and paid over to the owner.

### **Bleeders**

43. (a) Any horse which has been withdrawn from its engagement based on a certificate issued by a Veterinary Officer or observed by a Steward and confirmed by a Veterinary Officer that it bled after exercise, and horses reported by a Veterinary Officer or observed by the Stewards and confirmed by a Veterinary Officer to have bled after racing on any race day, will be penalised as follows:
- (i) First report/observation -  
trainer issued with warning.
  - (ii) Second report/observation -  
debarred from taking entry or racing for six weeks from date of report /observation.
  - (iii) Third report/observation -  
debarred from taking entry or racing for twelve weeks from date of report/observation.
  - (iv) Fourth report/observation -  
indefinite ban and removal from classification list.

- (b) When a horse has been observed by a Steward or a Veterinary Officer to have bled after racing on any race day or after exercise, the trainer must produce to the Stewards a certificate of a Veterinarian stating the reason why the horse bled;
- (c) If a report is received or observation made after one year from the date of the last report/observation, such will be treated for the purposes of this Rule as a first report, notwithstanding the previous record of the horse.
- (d) A list of all bleeders shall be established and maintained at the Registry Office by the Club's Veterinary Officer appointed for the purpose ("bleeders list").
- (e) The Stewards of the Club may from time to time make such regulations as they deem fit with respect to the treatment and management of horses on the bleeders list.

## **Registration of Horses**

- 44.**
- (a) All horses whether foaled in Barbados or imported into Barbados must be registered with the Barbados Stud Book in accordance with the Rules and Requirements thereof (Appendix 10).
  - (b) Save as is set out in Rule 45(a) until a horse is so registered or has obtained a "30 Day" Racing Permit in accordance with the Rules

and Requirements of the Barbados Stud Book (Appendix 10), it will not be eligible to take entry in any race in Barbados;

- (c) Horses foaled in a country in which there is no Recognised Turf Authority or Stud Book Authority as the case may be shall not be eligible for registration under these Rules.

**45.** (a) Horses registered in a country in which there is a Recognised Turf Authority or Stud Book Authority as the case may be, may upon being imported into Barbados, be provisionally registered by depositing with the Secretary at the Registry Office a duly certified copy of such registration and upon payment of the prescribed fee;

- (b) A horse which has obtained provisional registration may not race in Barbados until such time as it has obtained a Certificate of Foreign Registration in accordance with the Rules and Requirements of the Barbados Stud Book (Appendix 10).

#### **46. Passports, Identification and Vaccination**

##### **(a) Passports**

- (i) Passports may be issued for horses foaled in Barbados upon request subject to the Rules and Requirements of the Barbados Stud Book (Appendix 10);
- (ii) Passports are returnable on demand to the Club and are issued subject to the Rules and

Requirements contained in the Barbados Stud Book (Appendix 10);

- (iii) All fees as prescribed by the Board in connection with Passports are payable in accordance with the Fee Schedule attached to the Rules and Requirements of the Barbados Stud Book (Appendix 10);
- (iv) When a Passport is submitted for endorsement when a horse is to travel abroad, upon compliance with the requirements for Foreign Travel set out in the Rules and Requirements of the Barbados Stud Book (Appendix 10 - Passport Section), the Passport will be endorsed signed and dated.

**Note:** The endorsement will be valid for one month from the date of endorsement within which one trip can be made to one or more countries.

- (v) Before the return of the horse from travel abroad the Passport should be endorsed by the Horseracing Authority of the country where the horse has visited.

(b) **Identity Checks**

- (i) When a horse has been declared to start the horse will not be qualified to run unless the identity of the horse is correctly verified in accordance with the regulations prescribed with respect to identity checks;
- (ii) This sub-rule shall come into effect on the date prescribed in Appendix 1D.

(c) **Vaccination Requirements**

- (i) All horses that enter property owned used or controlled by the Club must be correctly vaccinated against such illnesses and diseases as are set out and contained in the Stud Book Rules and Requirements (Appendix 10 – Vaccination Requirements Section) or such other illnesses or diseases as the Board shall from time to time prescribe;
- (ii) A horse which in the opinion of the Veterinary Officer has not been correctly vaccinated according to the Vaccinations Requirements set out and contained as aforesaid in Appendix 10, is not qualified to run;
- (iii) This sub-rule shall come into effect on the date prescribed in Appendix 1D.

**47. Registration of Owners**

- (a) The Secretary shall maintain a register of the names of owners of horses. The name of an owner or assumed name, partnership or company may only be registered by application to the Registry Office on the prescribed form signed by the owner, partners and director of the company as the case may be unless otherwise ordered by the Board. The prescribed fee is payable for each application. No company other than a Recognised Company may be registered as an owner;
- (b) Unless the Board otherwise directs registration will be effective the day after approval of the application by the

Board (or committee of Directors thereof appointed for the purpose).

**Note:** Approval of an application will normally take a minimum of Twenty-four (24) hours from receipt of the application. This means that, for example, an application received on a Monday would normally be effective on a Wednesday.

- (c) if any registered owner;
  - (i) shall become a disqualified person, or
  - (ii) shall have a Bankruptcy Order made against him,his registration shall thereupon become void and if the Secretary shall register the name of a person currently disqualified or against whom there is a Bankruptcy Order in force or who is an undischarged bankrupt the registration shall be void;
- (d) the registration of the name of a Recognised Company shall become void if the company ceases to be a Recognised Company within the meaning of these Rules;
- (e) in any case where the registration of an owner has become void and either the disqualification or the Bankruptcy Order has been withdrawn a new application for Registration as an owner will not be registered until Three (3) months have elapsed from the time of withdrawal unless the Board is satisfied that reasons for avoidance, disqualification or the issue of a Bankruptcy Order were due to circumstances outside the reasonable control of the owner;

- (f) screen, stage or pen names may be accepted for registration at the discretion of the Board which will normally require to be satisfied that the person concerned is better known publicly by the name in question than by his real name;
- (g) nothing in these Rules shall prevent the personal representative of a deceased owner running a horse solely under the description "Executor(s) (or Administrator(s) or Personal Representative(s) of ....., deceased". Unless and until called upon by the Board to do so, a personal representative need not apply to be put on the register of owners provided that the deceased owner was registered at the time of his death;
- (h) the registration of ownership shall lapse if a registered owner shall not have a horse returned as in training under these Rules for Twenty four (24) months;
- (i) these Rules governing Registration of Owners shall come into effect on the date prescribed in Appendix 1D.

## **PART VII**

### **Classification, Entries, Subscriptions, Declarations for Races**

#### **Classifications**

- 48. (a) No horse shall be qualified to be entered in any race unless such horse is classified in Barbados at the time of entry;

- (b) The Secretary shall keep and maintain a Register for the purposes of classification of horses and all applications for classification must be received by the Secretary at least Three (3) days prior to the date fixed for the closing of entries in respect of any meeting and unless so received within such time shall not be eligible for entry at such meeting. Where the horse has raced overseas prior to being imported into Barbados a certified copy of the horse's complete racing record must accompany the application.

## **Entries**

- 49. (a) A horse is not qualified to run for any race unless he is duly entered for the same, and in the case of a race for which subscriptions are required, the same have been paid at the appointed times;
- (b) Entries shall be closed at the Registry Office at the advertised time, and no entry shall be admitted after that time;
- (c) An entry or subscription may, before the time of closing, be altered or withdrawn;
- (d) Notwithstanding that a Positive result of Sample of a horse has been received by the Club, the Stewards of the Club may unless the horse is disqualified, permit such horse to take entry or to permit such horse to start in a race which is scheduled to be run six weeks after the sample leading to the positive result was taken.

- 50.** (a)(i) In every race there shall be a declaration of runners made at the Registry Office prior to the scheduled day of the race on such day and at such time as the Directors shall decide such declaration to run shall be made by either the owner, trainer, authorised agent or registered agent.,
- (ii) Except in exceptional circumstances the Stewards otherwise decide, no horse may run in any race unless both
- a declaration to run has been made to the Registry Office by the time prescribed in the regulations governing declarations to run, or as the Stewards shall decide, and
  - acceptance by the Stewards of such declaration has occurred.
- (b) When a horse has been declared to run or not to run, such declaration shall not be changed without the prior permission of the Stewards and then only before the time appointed for the closing of such declaration.
- (c)(i) In every race for each declared horse there shall be a declaration of jockey made at the Registry Office by the time prescribed in the regulations governing declarations to run, or as the Stewards shall decide. Such declaration of jockey shall be made by either the owner, trainer, authorised agent or registered agent. The declaration of Jockey shall be accompanied by an Engagement/Retainer form which must be signed by the jockey or his agent and the

trainer, owner, authorised agent or registered agent and shall state the body weight at which the jockey contracts to ride. In the event that the Jockey is not declared and the Engagement/Retainer form not submitted by the prescribed time, the horse shall be deemed a non-starter;

- (ii) The responsibility for compliance with this sub-rule shall lie with the trainer of the horse where he is the authorised agent but will otherwise lie with the Owner of the horse.
- (d) Declaration of jockeys and the Engagement/Retainer form may be withdrawn or altered prior to expiry of the time for making such declarations. The most recent declaration of jockey and Engagement/Retainer form will automatically replace any previous declaration.
- (e)(i) In every race for each declared horse there shall be a declaration of weight by the trainer of the horse stating the weight that the horse will actually carry, to be made to the Registry Office by the time prescribed in the regulations governing declarations to run, or as the Stewards shall decide.
- (ii) The trainer shall ensure that the horse carries at least the weight so declared except where the Stewards have permitted a change of jockey in which case a new weight must be declared by the trainer.
- (f) The regulations governing declarations to run may also prescribe the penalties to be imposed on jockeys and trainers respectively

for failing to accurately state the body weight at which the jockey contracts to ride and the weight that the horse will actually carry respectively where such weights are different from those determined at the time of weighing out.

- (g) The Registry Office shall provide all forms or sheets required for any declaration required by this Rule.

- 51. All entry fees and other fees pertaining thereto must be paid to the Registry Office at the time of entry. In cases of entries made by electronic mail, facsimile transmission, telegram, telex, or other means of electronic transmission, the entry fees and other fees pertaining thereto shall be paid and received at the Registry Office by the advertised time for the closing of entries.
- 52. Entry fees shall go to the race fund of the Club unless otherwise specified in the conditions of the race.

### **Form of Entry or Nomination**

- 53. Entries shall be made in writing and signed by the owner, or the trainer or the authorised agent or the registered agent. Entries made by electronic mail, facsimile transmission, telegram, telex, or other means of electronic transmission must provide all information required under Rule 56 and shall be as binding as if made in writing, but must be confirmed in writing posted at the time of the dispatch of the electronic mail, facsimile transmission, telegram, telex, or other means of electronic transmission. If the Stewards consider

that there has been any unnecessary delay in forwarding such information, they may refuse the entries.

- 54.** A horse may be entered in the real or assumed name of any person as his owner, in the name of any part owner, or in the name of a Recognised Company.
- 55.** Subject to Rule 53, entries shall be made in writing on the prescribed form in any one of the names permitted by Rule 54.
- 56.** On entering a horse, the form of entry shall state:
- (i) the name of the horse,
  - (ii) the name of the trainer,
  - (iii) the date of the meeting,
  - (iv) the name(s) of the race(s),
  - (v) the claiming price (if any) in a claiming race,
  - (vi) the name and valid licence number of the groom, and
  - (vii) the expiry date of the vaccination certificate [issued pursuant to the Rules and Requirements of the Barbados Stud Book (Appendix 10 – Vaccination Requirements Section) and Rule 40].

and all other information as may from time to time be required by the Stewards of the Club;

**Note:** This Rule shall come into effect on the date prescribed in Appendix 1D.

- 57.** No alteration or addition shall be made in any entry after the time fixed for closing, except in cases expressly provided for by these Rules.
- 58.** (a) In cases hereinafter mentioned any errors or omissions affecting the provisions for entries

may be corrected on payment of the prescribed fine provided the Stewards are satisfied that there has been no fraud, namely:-

- (i) errors made when completing the particulars on the entry form; or
  - (ii) failure or omission to complete any of the particulars on the entry form.
- (b) Under no circumstances shall an entry be accepted if the entry form is not signed by the owner, the trainer, the authorised agent, and/or the registered agent.
- 59.** Entries and/or subscriptions shall not be invalidated by the death of the person in whose name they have been made, and all rights, privileges and liabilities that could have attached to the deceased shall attach to his personal representative.

## **PART VIII**

### **Assumed (Stable) Names**

- 60.** (a) An assumed name cannot be used unless registered at the Registry Office in the Register of Owners. The relevant prescribed fees shall be payable upon the registration of an assumed name for one year or for life as the case may be.

- (b) Upon request to the Secretary at the Registry Office the real names of persons who race under assumed names shall be disclosed and the Club shall cause a list of all assumed names currently registered at the Registry Office and the persons who race under such assumed names to be kept up to date.
- 61.**
- (a) A person cannot register more than one assumed name at the same time, nor can he use his real name so long as he has a registered assumed name.
  - (b) An assumed name may be changed at any time by registering a new assumed name.
  - (c) No person shall register as his assumed name one which has been already registered by any other person, or the real name of any other owner of racehorses, nor one which is the real or assumed name of any prominent person not owning racehorses.
- 62.** Any person who has registered an assumed name may at any time abandon it by notice in writing to the Secretary at the Registry Office after which all entries which have been made in the assumed name shall be altered to the real name of the owner.

## **PART IX**

### **Partnerships, Companies, Leases, Contingencies, etc.**

#### **Partnerships**

- 63A.** All partnerships and the name and address of every person having any interest in a horse, and the relative

proportions of such interest, must be signed by all the parties or their authorised or registered agent or agents and lodged at the Registry Office before a horse which is their property can be entered or run for any race and the document shall state with whom the power of entry rests. No part owner shall assign or transfer his share or any interest thereof in a horse without the consent in writing of those of his partners who own at least 75% of the share or any interest in such horse. The Registry Office must be immediately notified in writing, of any change termination or severance of partnerships.

## **Companies**

- 63B.**
- (a) A Recognised Company shall be entitled to apply to the Registry Office on the prescribed form for its name to be entered in the Register of Owners;
  - (b) A horse may only be entered and run in the name of a Recognised Company if it is in the sole legal ownership of that company;
  - (c) The Board shall have absolute discretion whether or not to approve and register any company as a Recognised Company and it shall not consider any request or application for such registration until it has been provided with:
    - (i) a list of the names and addresses of the directors and the company secretary (if any) and are satisfied that no such person is a disqualified person;
    - (ii) copies certified by the Chairman of the company as being true copies of all of its corporate instruments including:

- The Certificate of Incorporation
  - The Charter of the Company whether being Memorandum and Articles of Association or Articles of Incorporation and By-Laws
  - The Register of Members/Shareholders provided that the Board may in its discretion waive the production of any of the aforesaid corporate instruments;
- (d) Before giving its approval of any company as a Recognised Company, the Board may impose such conditions or further requirements as it thinks fit, including the production of documents verifying the financial condition and status of the company and the provision of guarantees or other security by the company's directors or officers;
- (e) The prescribed fee shall be payable upon the registration of a Recognised Company;
- (f) The Board may in its absolute discretion at any time and without assigning any reason therefor, withdraw its approval of a company and cancel its registration as a Recognised Company. Without prejudice to the foregoing, the Board shall cancel the registration of a Recognised Company if:
- (i) any change is made to the directors or members of the company and notification of same is not given to the Board within Seven (7) days of such change;

- (ii) any director of such company is or becomes a disqualified person;
- (iii) any officer of such company fails, within a reasonable time, to furnish to the Board by delivery to the Registry Office, such information as may be required by the Board;
- (iv) such company is being wound up or a receiver and/or manager has been appointed in or pursuant to such winding up or receivership; and
- (v) any registered agent of such company is or becomes a disqualified person;

## **Registered Agent of Companies**

### **63C.**

- (a) A Recognised Company shall only be entitled to exercise the power of an owner through its registered agent;
- (b) The Board shall have complete discretion whether to approve and register any person as a registered agent save that it shall not approve and register any such person unless it is satisfied that such person:
  - (i) is not a disqualified person; and
  - (ii) has been duly appointed to act as a registered agent by the Recognised Company;
- (c) No horse which is owned by a Recognised Company may be entered for or fulfill any

engagement unless there is in the register at least one registered agent of that company;

- (d) The Board may in its absolute discretion at any time and without assigning any reason therefor, withdraw its approval of any registered agent and cancel the registration of such agent. Without prejudice to the foregoing, the registration of a registered agent will automatically be cancelled if:
  - (i) such agent is or becomes a disqualified person;
  - (ii) such agent is or becomes bankrupt;
  - (iii) the Recognised Company ceases for any reason to be registered as such;
- (e) The registration of a registered agent will be cancelled at the request of the Recognised Company if, but only if, the request is made in writing under the company's seal and is accompanied by a copy of the minute recording the company's resolution to cancel the said registration;
- (f) The prescribed fee shall be paid annually in respect of each registered agent.

### **Leases, Contingencies, etc.**

#### **63D.**

- (a) Unless the Stewards of the Club otherwise direct, where a horse is sold with contingencies, leased, or is the subject of any other joint arrangement, a document stating the names and addresses of all the

parties interested shall be signed and lodged at the Registry Office, and shall state fully the terms of such sale with contingencies, lease, or other arrangement whereupon the sale with contingencies, lease or other arrangement shall be deemed to be Registered unless thereafter the Stewards of the Club refuse to accept the registration. No party to a lease shall assign his interest in the same without the consent of those persons who own or control at least 75% of the interest in the said lease;

- (b) Where a horse is the property of a partnership, or where it is the subject of any sale with contingencies, lease or arrangement requiring registration under this Rule, registration of the partnership, sale with contingencies, lease or arrangement must have been effected before the horse may be entered for, or run in, any race;
- (c) Subject to the provisions of Part VIII a Recognised Company name shall be considered as an assumed name for the purposes of these Rules.

## PART X

### **Jockeys, Grooms, Trainers, etc.**

#### **Jockeys**

64. (a)(i) No person, whether or not licensed as a licensed jockey by a Recognised Turf Authority, shall ride at exercise, in training or in any race until he shall have obtained a

licence from the Stewards of the Club. The Stewards of the Club may issue such licence subject to such restrictions and conditions as they consider necessary. The Stewards of the Club may refuse to grant a licence without assigning a reason therefor and may with cause revoke or suspend a licence so granted on such conditions and for such period as they may think fit. A revoked or suspended licence may be reinstated at the absolute discretion of the Stewards of the Club. The Stewards of the Club may refuse to issue a licence to a person who in their opinion is not a fit and proper person to hold such a licence.

(ii) Subject to (i) above a licence may be issued to an apprentice jockey who is under the age of Eighteen (18) years (but not under the age of Fifteen (15) years) provided a joint application for such licence is made by the apprentice and by the parents or guardians of the apprentice who by signing the application thereby indicate their consent to the licence being granted to the apprentice.

(b) Licences expire on December 31<sup>st</sup> in each year, and must be applied for and granted annually at the Registry Office. Such application shall state the full name and address of the applicant. Licences for jockeys will only be granted on the condition that the jockey is not an owner, trainer, authorised agent, or registered agent of any race horse being entered or run under these Rules or the rules of any Recognised Turf Authority;

- (c) Should any horse be ridden at exercise, in training or in any race in contravention of paragraph (a) of this Rule the jockey of such horse and the persons responsible for his riding shall be reported to the Stewards and where the offence relates to riding in a race, such horse may be disqualified from the race in question by the Stewards;
- (d) A jockey and an apprentice jockey shall pay an annual prescribed fee which amount shall be credited to the Racing Benevolent Fund;
- (e) It shall also be a breach of these Rules for a jockey during the term of his licence to:
  - (i) be the owner (whether directly or indirectly) of any horse being entered or run under these Rules or the rules of any Recognised Turf Authority;
  - (ii) bet or instruct any person on his behalf to bet on races run under these Rules;
  - (iii) receive knowingly from any person the proceeds, or any part thereof, of any bet on races run under these Rules; or
  - (iv) receive any gifts or gratuity in connection with a race wherever run from persons other than the owner of the horse he rides in that race.

The provisions of (ii), (iii) and (iv) of this sub-clause shall also apply to an amateur rider;

- (f) A licence shall not be granted to a jockey (or be liable for reinstatement) unless the jockey

submits to the Registry Office a certificate issued by a Registered Medical Practitioner of this Island certifying that the jockey is in good health;

- (g) Any jockey leaving Barbados to ride at a meeting of a Recognised Turf Authority abroad must obtain a clearance from the Club in the form annexed as Appendix 8 duly signed by the Secretary;
- (h) Any jockey returning to Barbados must obtain a clearance from the Recognised Turf Authority of the country in which he had been riding, before he is permitted to ride again in Barbados;
- (i) Any apprentice jockey leaving Barbados to ride abroad must, in addition to fulfilling the requirements at (g) and (h) of this Rule, obtain a certificate of performance from the Recognised Turf Authority of the country in which he had been riding, indicating his total number of rides and wins, before he is permitted to ride again in Barbados;
- (j)(i) It shall be a condition of a jockey's licence or an amateur rider's licence or permit that the jockey or amateur rider shall submit to a medical examination ordered by the Stewards under Rule 6(i) and shall supply or allow to be taken such samples of blood, urine or other body fluid as are required by the Medical Officer or his designee in the course of such examination.
- (ii) Every jockey shall comply with the testing for Banned Substances and Notifiable

Medications measures from time to time approved by the Board contained in Part I of Appendix 4 to these Rules including without limitation providing samples of urine as required by such measures and attending any meeting of the Stewards of the Club. A departure from the procedures set out in any such approved measures on the part of an independent sampling officer or any official of the Club or such like persons shall not invalidate the result of an analysis of any sample unless it casts reasonable doubt on the reliability of the findings or the identity of the donor of the sample.

**Note:** For the avoidance of doubt this requirement applies to any person who has ceased to be subject to the Rules of Racing provided that such person was selected to provide samples whilst he was subject to the Rules of Racing;

- (k)(i) It shall be a breach of the Rules of Racing for a jockey or an amateur rider without reasonable cause to refuse to submit to such medical examination or to refuse to supply or allow to be taken samples of blood, urine or other body fluid requested by the Medical Officer under sub-rule (j)(i) above.
- (ii) Jockeys will be deemed to have committed an offence under these Rules in any of the circumstances set out in Part 2 of Appendix 4 to these Rules.

**65.** If a jockey or an amateur rider becomes a disqualified person, his licence is thereby revoked.

- 66.** (a) For the purposes of this rule and in respect of fees payable to jockeys and apprentice jockeys:

“basic fee” shall mean the fee payable by an employer to a jockey riding an unplaced horse, unless a fee has otherwise been agreed between the employer and the jockey.

“basic apprentice jockey fee” shall mean the fee payable by an employer to an apprentice jockey riding an unplaced horse unless a fee has otherwise been agreed between the employer and the apprentice jockey.

“employer” shall mean an owner, trainer, authorised agent or registered agent any of whom may engage or retain a jockey or apprentice jockey to ride.

- (b) The basic fee shall be such sum as is prescribed in Appendix 1A.1.
- (c) The basic apprentice jockey fee shall be such sum as is prescribed in Appendix 1A.2.
- (d) The fee payable to be a jockey or apprentice jockey riding the winner or any placed horse shall, where no fee has been agreed between the employer and the jockey or apprentice jockey, be such sum as is prescribed in Appendix 1A.2.
- (e) The agreed fee, basic fee or basic apprentice jockey fee for riding payable to jockeys must be deposited at the Registry Office at or before the time specified in Appendix 1D.

The Stewards shall take no cognisance of any agreement made between a jockey and an employer unless the agreement or a true copy thereof is lodged at the Registry Office.

- (f) It shall be a breach of these Rules if the agreed fee, basic fee or basic apprentice jockey fee is not deposited at the Registry Office at or before the specified time, and the Stewards shall fine the employer the amount stated in Appendix 1B being not less than the agreed fee, basic or basic apprentice jockey fee as the case may be.

**67A.** A copy of all agreements in writing between a jockey or an apprentice jockey and an employer as well as a copy of all agency documents between a jockey and an agent granting the agent authority to act shall be lodged at the Registry Office upon payment of the relevant prescribed fee. The Stewards may adjudicate between persons claiming the service of any jockey and on disputes between jockeys and their employers and between jockeys and their agents, and have power to cancel any agreement between them. However, the Stewards (except where the jockey or apprentice jockey (or their agent) has signed an Engagement/Retainer form and refuses without good cause to ride) shall take no cognisance of any agreements made between an employer and a jockey or an apprentice jockey or between a jockey and an agent unless the agreement or agency document as the case may be or a true copy thereof is so lodged at the Registry Office.

**67B.** The Secretary shall keep and maintain a register of authorised jockey's agents. Such agents may only be registered by annual application to the Registry Office

on the prescribed form, signed by the agent. The prescribed fee shall accompany each application but the fee will be waived where the application is made by:

- (a) a person who is either a spouse, parent, son or daughter of the jockey in question; and
- (b) such person is only acting as an authorised jockey's agent for a jockey(s) to whom he is so related as stated in (a) above.

**68.** Any owner who fails, within twenty-one days:

- (i) after a meeting to pay the basic fee or basic apprentice jockey fee; or
- (ii) of receipt of the prize money from the Club to pay any amount which is due by him to any jockey or apprentice jockey for riding at such meeting,

may be declared by the Stewards of the Club to be in default and shall thereupon become a disqualified person.

**69A.** The following persons are not eligible to hold amateur riders' permits:-

- (i) A person who has ever held a professional rider's licence from any Recognised Turf Authority and been paid for riding in a race except an apprentice jockey where such apprentice jockey has not held an apprentice licence for Five (5) years or more;
- (ii) A person whose principal paid occupation is or at anytime within the last Five (5) years

has been to ride at exercise for a licenced trainer;

- (iii) A person who has otherwise ever been paid directly or indirectly for riding in a race, with the exception of a trophy expenses and such other reward as may be approved by the Stewards advertised in the conditions of the race to be given to a rider.

**69B.** (a) Amateur riders shall pay the prescribed fee towards the Racing Benevolent Fund before riding in any race.

- (b) Owners shall pay in respect of each entry such fee as the Board may from time to time specify which shall be applied towards the Racing Benevolent Fund, jockeys' insurance and such other Fund or Funds as the Board may from time to time determine.

## **General**

**70.** (a) When in any year an apprentice jockey attains the age of Twenty five (25) years before December 31<sup>st</sup>, he shall ipso facto be eligible for a jockey's licence;

- (b) The Stewards of the Club shall be entitled to grant licences for persons to ride at exercise only (exercise lads). Exercise lads shall pay the annual prescribed fee.

- (c) Any horse ridden in a race in contravention of these Rules shall on objection be liable to be disqualified by the Stewards of the Club.

- (d) An amateur rider or jockey whose permit or licence has been suspended by the Stewards or the Stewards of the Club shall not ride in any race during the period of the suspension.
- (e) A jockey who has been declared unfit to ride by a Medical Officer may not ride in a race (or at exercise) until he is passed fit to ride by a Medical Officer. Where a period of time is specified in the declaration a jockey may only be passed fit to ride after this time has elapsed.

## **Grooms**

- 71.**
- (a) All grooms shall be licensed, and shall pay such annual prescribed fee as may from time to time be prescribed by the Board, which shall be credited to the Racing Benevolent Fund. All licences expire on December 31<sup>st</sup> in each year;
  - (b) No groom's licence will be issued to any person until he produces:-
    - (i) evidence certifying that he is Sixteen (16) years or older;
    - (ii) a letter from his employer certifying that he is so employed; and
    - (iii) a police certificate of character;
  - (c) Every groom, when on the Club's premises, must carry such identification as issued by the Club to show that he is a groom and shall produce same on demand by any official of the Club. Where the regulations call for the

wearing of a special badge, he shall carry such badge conspicuously displayed on his person at all times. Unless he can produce the abovementioned identification and his badge (where a badge is also required) when demanded, he shall be liable to be ejected from the Club's premises;

- (d) A groom shall be entitled to obtain a licence under Rule 70(b) provided he has been passed as competent to ride at exercise or in training by the Stewards of the Club or a person or persons delegated by them for such purpose;
- (e) Unless otherwise agreed between a trainer and his groom, the fee for the groom of a horse winning a race shall be such fee calculated as a percentage of the prize money awarded for first place as may from time to time be prescribed by the Board and that of a placed horse shall be such fee calculated as a percentage of the placed prize money as may from time to time be prescribed by the Board;
- (f) Any owner/trainer who fails within ten days of receipt of any prize money or any trainer who fails, within ten days of receipt of any prize money from the owner, to pay any amount which is due by him to a groom for being the groom of a winning or a placed horse, may be declared by the Stewards of the Club to be in default and shall thereupon become a disqualified person;
- (g) Every groom shall comply with the testing for Banned Substances and Notifiable

Medications measures from time to time approved by the Board contained in Part I of Appendix 4 to these Rules including without limitation providing samples of urine as required by such measures and attending any meeting of the Stewards of the Club. A departure from the procedures set out in any such approved measures on the part of an independent sampling officer or any official of the Club or such like persons shall not invalidate the result of an analysis of any sample unless it casts reasonable doubt on the reliability of the findings or the identity of the donor of the sample.

**Note:** For the avoidance of doubt this requirement applies to any person who has ceased to be subject to the Rules of Racing provided that such person was selected to provide samples whilst he was subject to the Rules of Racing.

- (h) Grooms will be deemed to have committed an offence under these Rules in any of the circumstances set out in Part 2 of Appendix 4 to these Rules.

## **Trainers**

- 72. Every trainer whether or not licensed as a trainer by a Recognised Turf Authority must obtain annually from January 1<sup>st</sup> a licence from the Stewards of the Club, upon payment of such annual prescribed fee which shall be credited to the Racing Benevolent Fund;
- 73. (a) Every trainer shall always be responsible for:-

- (i) the feeding, management, protection and security of horses in his care;
  - (ii) the taking of all reasonable precautions with the horses in his care to avoid their exposure to Prohibited Substances contrary to these Rules;
  - (iii) being kept informed of the possible consequences of treatment given to horses in his care;
- (b) Every trainer shall conduct his business properly and with due regard to these Rules, the interest of his owners, and to regulations of the Club concerning its paddocks, tracks and operations in connection with racing. Any negligent or improper conduct shall constitute an offence punishable by the Stewards of the Club by virtue of their powers under these Rules;
- (c) Every trainer shall comply with the testing for Banned Substances and Notifiable Medications measures from time to time approved by the Board contained in Part I of Appendix 4 to these Rules including without limitation providing samples of urine as required by such measures and attending any meeting of the Stewards of the Club. A departure from the procedures set out in any such approved measures on the part of an independent sampling officer or any official of the Club or such like persons shall not invalidate the result of an analysis of any sample unless it casts reasonable doubt on

the reliability of the findings or the identity of the donor of the sample;

**Note:** For the avoidance of doubt this requirement applies to any person who has ceased to be subject to the Rules of Racing provided that such person was selected to provide samples whilst he was subject to the Rules of Racing.

- (d) Trainers will be deemed to have committed an offence under these Rules in any of the circumstances set out in Part 2 of Appendix 4 to these Rules;
- (e) When any horse has been the subject of an examination under Rule 6(i) and there has been a finding of a Positive result of Sample the Stewards of the Club shall impose a fine upon the trainer of the horse in question and may, at their discretion, withdraw his licence. However, the Stewards of the Club may waive the fine if the trainer can prove to their satisfaction that the substance was not intentionally administered by him or by any other person whatsoever, whether connected with the trainer or not, or under his control or supervision and that he had taken all reasonable precautions to avoid a breach of this Rule.

### **Notes for interpretation of Rule 73(e)**

1. The Rule imposes, and is intended to impose, an absolute and strict liability on the trainer to ensure that Prohibited Substances are not administered by anyone whether in

any way connected with the trainer or not. Thus the Rule imposes a mandatory fine on the simple basis of a positive analysis for a Prohibited Substance.

2. The second sentence of Rule 73(e) provides for circumstances where the administration of a Prohibited Substance is accidental (e.g. theobromine in feed) and where the trainer has taken all reasonable care. This part of the Rule is to be construed as giving a discretion to the Stewards of the Club to waive the fine where they are absolutely satisfied the substance was not administered intentionally by the trainer or by any other person whatsoever whether connected with the trainer or under his control or supervision.

**74A.** (a) Unless otherwise agreed between owner and trainer, the fee for the trainer of a horse winning a race shall be 10% of the prize money awarded for first place and that of a placed horse shall be 10% of the placed prize money;

- (b) Any owner who fails, within Twenty one (21) days of the receipt of the prize money from the Club, to pay any amount which is due by him to a trainer for being the trainer of a winning horse or a placed horse may be declared by the Stewards of the Club to be in default and shall thereupon become a disqualified person.

#### **Authorised Agent**

- 74B.** (a) The appointment of an authorised agent shall be made by a document signed by the

appointor and the appointee and lodged at the Registry Office, and when made by an owner the authorised agent may, so far as is consistent with these Rules, perform any act deed matter or thing associated with the ownership of a horse, unless specifically reserved by the terms of the document;

- (b) Any change in such appointment shall be in writing and signed by the appointor and the appointee, any revocation of such appointment shall be in writing and signed by the appointor; and in each case shall not be effective until lodged at the Registry Office;
- (c) The appointment of an authorised agent shall remain in full force and effect until notice in writing of its revocation has been lodged at the Registry Office;
- (d) In cases of urgency an authorised agent may be appointed by electronic mail, facsimile transmission, telegram, telex or other electronic means of transmission, and in such cases such appointment will be automatically cancelled unless an original document signed by the appointor and the appointee confirming such appointment is lodged at the Registry Office within ten days of receipt of the electronic message;
- (e) Authorised agent includes sub-agent if authority to appoint a sub-agent is provided by the document.

## **PART XI**

### **Racing Colours**

- 75.** (a) Racing colours registered before the prescribed date mentioned in Appendix 1D will continue to be registered colours of its owner;
- (b) Racing colours required to be registered after said prescribed date shall conform to the standard schemes for owners colours and jacket design shown in Appendix 9 to these Rules unless otherwise approved by the Stewards of the Club.
- (c) Every owner in whose name a horse is to run is required to register colours by application to the Secretary at the Registry Office. Such application may be made annually upon payment of the prescribed fee or once in every Five (5) years, upon payment of the prescribed fee;
- (d) Applications for registration of racing colours must be made on a form prescribed by the Stewards of the Club and comply with the instructions contained therein.
- (e) The Secretary is authorised to entertain the following applications, namely:-
- (i) for registration of racing colours for One (1) year,
  - (ii) for registration of racing colours for Five (5) years, and

- (iii) for registration of racing colours for life.

and upon payment of the prescribed fee the colours shall be deemed to have been registered for the period as stated in the application.

- (f) All disputes as to the rights to particular colours shall be settled by the Stewards of the Club who may, from time to time, in their discretion, determine:
  - (i) which colours or shades thereof and in which combinations are available for registration as racing colours; and
  - (ii) what feature may sufficiently distinguish one set of racing colours from a similar set as to allow both to be registered.
- (g) In the case of overseas owners whose racing colours are registered with a Recognised Turf Authority and who do not have racing colours registered in Barbados, the Stewards of the Club shall waive the aforesaid requirements for registration and subject as hereinafter mentioned such overseas owners may race in the registered colours of such Recognised Racing Authority where the horse is trained provided:-
  - (i) a declaration of racing colours is made to the Secretary for each race by the time fixed for the closing of entries, and
  - (ii) such racing colours do not conflict with colours already registered with the Club in which event the Stewards of the Club shall in their discretion determine what

feature may be used by the overseas owner to sufficiently distinguish his racing colours from those already so registered.

- (h) A registration under these provisions is subject always to the power of cancellation by the Stewards of the Club exercised under their powers contained in Rule 10.

- 76.**
- (a) If any owner runs a horse when colours are not registered for that owner the Stewards of the Club shall impose the prescribed fine unless the circumstances under which this occurred are acceptable to them;
  - (b) Colours, once registered, may not be taken by any other person;
  - (c) When an owner has more than one runner in the same race, alternative colours, or an alteration to such colours deemed appropriate by the Stewards to distinguish such horses shall be declared at scale. Except for these circumstances, the trainer of any horse which runs in colours other than as registered shall be fined the prescribed fine unless the trainer or owner satisfies the Stewards that the failure to comply with this Rule was due to circumstances outside their reasonable control. The prescribed fine may be waived by the Stewards, or it may be imposed on the owner if the trainer satisfies the Stewards that such failure was the fault of the owner.

## **PART XII**

### **Weights and Penalties**

77. (a) In handicaps, no horse shall carry less than the weight stated in Appendix 1E.2 in any race unless an apprentice allowance is claimed, or in races restricted to apprentice jockeys.
- (b) No horse shall carry more than One hundred and forty five pounds (145 lbs) in any race.
- (c) The provisions of (a) above shall not apply to condition races.
78. Penalties shall not be incurred in respect of a match.
79. When any race is in dispute, both the horse that came first and any horse claiming the race shall be liable to all the penalties attached to the winner of that race until the matter is decided.
80. (a) The handicapper shall not exceed the minimum allotted top weights unless at least One (1) horse in the race is handicapped to carry the weight stated in Appendix 1E.3 or less.
- (b) In all handicap races the Handicapper may frame his handicap down to weights below the permitted bottom weight.

### **Allowances**

81. (a) Where an allowance is wrongly claimed, a horse may become liable to disqualification provided:-

- (i) it has raced with the wrong allowance; and
    - (ii) an objection in proper form has been made under Rule 115 and/or Rule 117.
  - (b) An objection based on an incorrect claim to an allowance may also be made to the Clerk of the Scales or the Secretary in writing within the time prescribed by Rule 115(a) or under Rule 117 and in such case shall be adjudicated upon by the Stewards of the Club.
- 82.** Unless specifically provided in the conditions of a race, in weight for age races, mares and fillies shall be allowed Five pounds (5 lbs) when meeting colts and geldings. Maidens shall be allowed Seven pounds (7 lbs) when meeting winners.
- 83.** Unless specifically provided in the conditions of a race:-
- (a) In weight for age races, horses when meeting others of a higher class or sub-class, shall have allowances at the rate of 5 lbs. for each sub-class but such classification allowances shall not be cumulative to the extent of more than Twenty (20 lbs). A maiden shall always be entitled to Seven pounds (7 lbs) allowance when meeting winners and a mare or filly to Five (5 lbs) allowance when meeting colts and geldings.
  - (b) In weight for age races, there must be at least One (1) horse that carries the full

weight for age except in the case of mares and fillies, which shall always be entitled to Five pounds (5 lbs) when meeting colts and geldings, and a maiden which shall always be entitled to Seven pounds (7 lbs) when meeting winners.

**84.** (a) (i) Unless specifically provided in the conditions of a race, apprentice jockeys will be entitled to claim the allowances specified in Rule 1 in weight for age races and in handicaps, except in races confined to apprentice jockeys.

(ii) In races confined to apprentice jockeys a Three pound (3 lb) apprentice shall claim no weight, a Five pound (5 lb) apprentice shall claim Two pounds (2 lbs) and a Seven pound (7lb) apprentice shall claim Four pounds (4 lbs) (provided that an apprentice in his first Five (5) starts shall claim Seven pounds (7 lbs).

(b) An apprentice jockey shall be responsible for claiming the correct apprentice allowance and failure to claim the correct apprentice allowance shall constitute a breach of these Rules.

### **PART XIII**

#### **The Weighing Room**

**85A.** No person shall, without special leave from the Stewards, be admitted to the weighing room except the owner or authorised agent or registered agent, trainer and jockey or other person having the care of a horse engaged in the race. Any other person refusing

to leave when asked to do so shall be reported to the Stewards.

- 85B.** No jockey or his agent or assistant shall use or cause to be used any mobile telephone (which term includes any other appliance, apparatus, instrument or equipment capable of receiving or transmitting information) within the Jockey's Room or changing room or elsewhere on the Club's property from half an hour before the advertised time of the first race until after the last race has finished. This restriction does not apply to equipment whose only function is to receive public broadcast services. A mobile telephone shall be deemed to be in use if it is switched on. It shall be a breach of the Rules of Racing to act in contravention of this Rule or any regulation made pursuant thereto.

**Note:** This rule shall come into effect on the date specified in Appendix 1D.

## **Weighing Out**

- 86.** (a) No jockey shall be weighed out (except as provided below) for any race unless the name of the horse, the jockey and the weight that the horse will carry have been declared in writing on the prescribed form by the owner, trainer, authorised agent, or registered agent to the Secretary at the times and on the days fixed by the Club, or such other period of time as may be determined by the Stewards. The numbers of the runners, the names of the jockeys, the horses' weights, overweightes and allowances, any tongue strap, nasal dilator, cheek pieces or such equipment as the Stewards of the Club

shall from time to time determine will be exhibited on the number board and/or screen for the first race as soon as practicable, and for subsequent races immediately after the preceding race has been run;

- (b) After the time or the day fixed for declaration of jockey a substitution of jockey shall only be permitted if the Stewards are satisfied that one of the below circumstances applies unless the Stewards otherwise direct:-
- (i) illness, or jockey declared unfit to ride;
  - (ii) the jockey was declared to ride another horse in the same race but the horse is unable to run;
  - (iii) some other circumstances acceptable to the Stewards.

In all cases the substitution is subject to the jockey being weighed within the time prescribed in sub-rule (c) of this Rule. Where the Stewards are not satisfied that any of the above circumstances have been fulfilled but have nevertheless permitted the substitution to take place, because at the instruction of the trainer or owner the declared jockey is not present to ride; the trainer or Owner as the case may be, shall be guilty of an offence.

- (c) Every jockey must present himself to be weighed for a specified horse by the Clerk of the Scales, at the weighing room, not less than twenty minutes before the time fixed for the race in which he is to ride, or where this is not possible due to the late running of the

preceding race not more than Five (5) minutes after the Clerk of the Scales has weighed in the winner of that race. Any breach of this rule must be reported by the Clerk of the Scales to the Stewards. In exceptional cases, the Stewards may extend this time;

- (d) If a jockey, after he has been weighed for a specified horse, and before he has reached the starting point, is prevented by an accident or illness from riding in the race, another jockey may be substituted provided there is no unreasonable delay. If he fails to ride for any reason other than (i) accident, (ii) illness or (iii) withdrawal of the horse by the Starter (except when the jockey refuses to ride); it shall be an offence unless the jockey satisfies the Stewards that his failure to ride was due to circumstances acceptable to them.
- (e) When a jockey has been declared to ride under Rule 50 it shall be an offence if he does not weigh out unless the Stewards are satisfied that this was due to any of the circumstances detailed in sub-rule (b) of this Rule. Another jockey may however, with the permission of the Stewards, be substituted provided always that he can be weighed within the time specified in sub-rule (c) of this Rule.
- (f) A horse that has been declared a runner may not be withdrawn except with the permission of the Stewards, such permission may be granted where the trainer submits to them a satisfactory veterinary certificate or otherwise

provides a reason acceptable to the Stewards. However if after enquiry, unless the Stewards are satisfied that the withdrawal of the horse was due to unavoidable circumstances the Stewards shall report the matter to the Stewards of the Club.

- 87.** When the numbers of the runners in a race have been exhibited on the number board and/or screen, no alteration or addition can be made without the leave of the Stewards. Should any horse whose number has been exhibited not come under the Starter's orders, in circumstances attributable to some act or omission of the owner, trainer or other person having charge or care of such horse, such person may be fined, or otherwise dealt with at the discretion of the Stewards.
- 88.** If a jockey intends to carry overweight, he must declare the amount thereof at the time of weighing out. Fractions up to and including Six tenths ( $\frac{6}{10}$ <sup>ths</sup>) of a pound need not be declared. The Clerk of the Scales shall exhibit all overweights and allowances on the number board and/or screen as soon as is practicable.
- 89.** (a) When weighing out or weighing in the jockey must put into the Scale and include in his weight, everything that the horse is to carry or has carried except the skull cap (safety helmet), whip, bridle, rings, plates, hood, blinkers, visors, eye cover, eye shield, martingale, breastgirth, muzzle, neck strap, tongue strap or tie, cheek pieces, number cloth or anything worn on the horse's legs. If the jockey is to wear spurs during the course of the race they must be correctly worn by the jockey when weighing out.

- (b) To compensate for their being required to wear body protectors the weight of all jockeys will be calculated at Two pounds (2 lbs) less than the weight which is registered on the Scale.
  
- (c)(i) The use of any hood, blinkers, visor, eye cover, eye shield, tongue strap, nasal dilator, cheek pieces or such other equipment as the Stewards of the Club shall from time to time determine or any combination thereof must be declared at the time of declaration of the horse to run, and once declared the horse must run in the manner declared; failure to run in the manner so declared such horse shall on objection lodged under Rule 115 be disqualified from its final position in the race and placed last and the Stewards of the Club may impose such fine as they in their discretion may determine, except that in relation to a nasal dilator or tongue strap the Stewards of the Club may exercise their discretion not to disqualify a horse if they are satisfied that the nasal dilator or tongue strap was accidentally unfastened;
  
- (ii) When a horse has been declared to run with any of the equipment referred to in sub-rule (c)(i) above it must be worn by the horse on the way to the Start and if it is not worn on the way to the Start the horse is to be withdrawn by the Starter and the horse shall not run.
  
- (iii) When no declaration of any equipment referred to in sub-rule (c)(i) above has been made none of such equipment shall be worn

by the horse on the way to the Start or during the race and if worn on the way to the Start the horse is to be withdrawn by the Starter and the horse shall not run.

- (iv) Sub-rules (ii) and (iii) above shall apply except where the Stewards otherwise direct.
- (d) All jockeys shall whilst riding in races wear body protectors and safety helmets of a pattern and type approved by the Stewards of the Club. The jockey is responsible for the serviceable condition of the body protector and safety helmet. It shall be an offence to alter the body protector so as to change its weight;
- (e) Spurs which are sharp, fitted with rowels or are angled upward or inward are not permitted in any race.

**90A.** The trainer is responsible for ensuring that his horse carries at least the correct weight. The trainer is required to declare the weight his horse will carry or the colours to be worn by the jockey if either is different from those appearing on the racecard or program, to the Clerk of the Scales before the jockey weighs out. If such declaration is not made the trainer shall be fined not less than the prescribed fine.

**90B.** Notwithstanding anything to the contrary contained in these Rules the withdrawal of a horse from a race for any reason within Thirty (30) minutes of the declared time of the race shall not affect the weights of horses as already declared for such race.

## Equipment

91. (a)(i) The saddle comprises the saddle itself, and the tackle which includes the girth, the surcingle, the stirrup irons and the leathers or webs. The jockey shall be responsible for the fit condition of whatever equipment he provides and uses, otherwise the responsibility for the fitness and safety of the equipment which is provided and used shall be that of the trainer;
- (ii) Trainers are also responsible for ensuring that their horses are properly saddled (which shall include attachment of the weight cloth) when they leave the Parade Ring.
- (iii) If there is any failure of equipment be it the responsibility of the jockey or the trainer between leaving the Parade Ring and the start of the race, the jockey himself must assume responsibility for carrying out any repair that may be possible with the assistance of such spare tack as may be available at the Start. The jockey must inspect the spare tack and judge for himself the safety of any repair. If he is in doubt, he should not start.
- (b) No jockey or amateur rider shall ride in any race, in exercise or in training (and in the case of the latter shall include an exercise lad) unless he is wearing a correctly fitted and fastened body protector and safety helmet, both of a pattern and type approved by the Stewards of the Club;

- (c) Spurs are not permitted in races confined to apprentice jockeys;
- (d) The use of blinding hoods or blindfolds (except when entering Starting Stalls under the supervision of the Starter) or any form or shutter hood is prohibited nor may any horse run in a race wearing a garment fitted over its head or ears except as stated in Rule 89(c)(i). For the avoidance of doubt a visor must not be modified in any way by temporarily covering the holes cut in the cowls. The wearing of any form of attachment to a hood, blinkers, visor, eyeshield, eyecover, cheek pieces or any combination thereof is also prohibited.
- (e) No jockey shall ride in any race carrying a whip, unless that whip complies with the specifications approved by the Stewards of the Club and no substitute for a whip shall be carried in any race. Failure to comply with these requirements will be deemed to be a breach of these Rules and an offence by both the jockey and the trainer who employs him;
- (f)(i) When a horse has been declared to run with a tongue strap the trainer shall be required to show the tongue strap to the Veterinary Officer and the method used to tie or fasten same to the horse's lower jaw for approval by the Veterinary Officer before it is allowed to proceed to the Start. A Veterinary Officer shall not approve a tongue strap or similar device which encircles the horse's tongue

and is attached whether directly or indirectly to the bridle.

- (ii) When no declaration of tongue strap has been made a tongue strap must not be worn by the horse in the Parade Ring, on the way to the Start or during the race. Should a horse run in breach of this sub-rule the Stewards shall fine the trainer not less than the prescribed fine and may on an objection lodged under Rule 115 disqualify the horse and place it last;
- (g)(i) No horse shall enter the parade ring or run in shoes which have protrusions on the ground surface other than calkins or studs on the hind, limited to Three eighths ( $\frac{3}{8}$ <sup>th</sup>) of an inch in height. The use of American-type toe-grab plates or those with a sharp flange is forbidden. The trainer is responsible for ensuring that his horses are shod in accordance with the requirements of this Rule.
- (ii) No horse shall run without shoes or plates on its forelegs, save in the event of One (1) (or both) of its shoes or plates having to be removed at the Start or having been shed on the way to or at the Start, and then only with the permission of the Stewards.
- (h) A horse shall run with all of the bandages which he is wearing when he enters the saddling enclosure. Under no circumstances will bandages be allowed to be put on to or removed from a horse's foot or feet after he has entered the saddling enclosure. Failure

to race with the bandages being worn when the horse enters the Saddling Enclosure such horse shall on objection lodged under Rule 115 be disqualified from its final position in the race and placed last and the Stewards of the Club may impose such fine as they in their discretion may determine.

- (i) Every horse running in a race shall carry a number (saddle) cloth and the Trainer is responsible for ensuring that the correct number is worn and that such number is clearly visible.
- (j) The trainer shall be responsible for ensuring that the horse as named in accordance with Rule 56 at the time of entry is the horse that runs in the race.

## **PART XIV**

### **Parade**

- 92.** (a) All horses declared to start in a race must, except where the Stewards otherwise permit, be on the premises of the Club one hour before the advertised starting time for the race in which they are declared to start. Any horse not complying with this Rule, may be deemed to be a non-starter and his name may be withdrawn from the list of starters. Notwithstanding the provisions of Rule 23A(g) it is the duty of the trainer of any horse not complying with this Rule to notify the Clerk of the Saddling Enclosure and the Stewards that the horse is not on the

premises of the Club by the stipulated time and any reason for its absence. The failure of a trainer to notify the Clerk of the Saddling Enclosure and the Stewards accordingly will be deemed to be an offence under these Rules;

- (b) Except as otherwise permitted by the Stewards, all horses starting in a race shall be brought into the Parade Ring fifteen (15) minutes before the appointed time for the race in which it is intended to start the horse or such other time as may be prescribed by the Stewards, and any trainer not complying with this Rule shall be reported by the Clerk of the Parade Ring to the Stewards. During the parade of horses before the start of a race, the horses shall be paraded as far as possible in Race Programme order.

**93.** (a) Every horse must be ridden in front of the Grand Stand (and any other Stand(s) which the Stewards may direct) before going to the starting-gates. Should the Stewards be satisfied that, after reasonable endeavour a jockey is unable to comply with this Rule, they may exempt him from doing so;

- (b) In special circumstances, and with the permission of the Stewards, a horse or horses may be led to the start and in such case may be excused from complying with Rule 93(a).

## **PART XV**

### **Starting**

- 94.** Subject to these Rules, the Starter shall have power to use his discretion in effecting an equitable start. The race must be started by the Starter from the starting stalls (gates), but in cases of emergency, and with the permission of the Stewards, a race may be started without the gates.
- 95.** Every horse shall be at the starting point, ready to start, at the appointed time.
- 96.** All jockeys, on arrival at the starting point, must immediately place themselves under the Starter's control.
- 97.** The starting positions of horses shall be drawn for in the presence of one of the Stewards (or any Steward of the Club delegated by them) and the Secretary as soon as possible after the closing of the entries. The horses shall, so far as is practicable, take their positions at the start in accordance with the draw. The horse which has drawn No. 1 should, wherever possible, be placed nearest the inner rail and the others in numerical order outwards.
- 98.** No person except the Starter, the Starter's assistant and his duly authorised attendants will be allowed upon the course at or near the starting point without the permission of the Starter or the Stewards;
- 99.** Under no circumstances shall any person except the jockey use a whip on any horse after it has been loaded in the starting gates and before the start of the race;

**100.** When starting gates are used, the following rules in addition to any related Rules herein contained will apply, and in the event of any inconsistency the provisions of this Rule shall prevail:-

- (a) Any horse refusing to go into the stall of the starting gate allotted to it within a reasonable time, shall not be permitted to start;
- (b) If the door on any stall fails to open, the Starter shall declare a false start;
- (c) After the race has started, each jockey must keep a straight course, and shall not use a whip within a certain distance from the start prescribed by the Stewards of the Club and indicated by a suitably marked pole on the track rail. Any horse that is ridden in violation of this provision may be disqualified and/or the jockey punished by the Stewards.

**101.** In any case of emergency and the Starter exercising his authority under Rule 25A(e) the Starter may start a race without using the approved starting gates and if, in doing so, the race is run over a shorter distance, it shall not by reason thereof be void.

## **PART XVI**

### **Running**

#### **Failure to Obtain the Best Possible Placing**

**102.** (a) Every horse which runs in a race shall run and be seen to run such race on its merits. For a horse to run on its merits the jockey

must take and be seen to take all reasonable and permissible measures throughout the race, however it develops, to ensure the horse is given a full opportunity to achieve the best possible placing and must comply with all regulations relating to taking such reasonable and permissible measures.

- (b) It shall be the duty of the trainer to give or cause to be given to the jockey of any horse in his care such instructions as are necessary to ensure the horse runs on its merits.
- (c) Where any jockey is found to be in breach of Rule 103(b) the trainer of the horse in question shall be deemed to be in breach of sub-rule (b) and guilty of an offence unless the trainer satisfies the Stewards or the Stewards of the Club that the jockey was given by or on behalf of the trainer instructions which complied with sub-rule (b), and that the jockey failed to comply with them.
- (d) Where, in the opinion of the Stewards or the Stewards of the Club, a trainer has sent any horse in his care to race with a view to schooling or conditioning the trainer shall be guilty of an offence. Where a jockey is found in breach of Rule 103(b) because he was found to have been schooling and conditioning the horse, the trainer shall be deemed guilty of an offence under sub-rule (b) above unless he satisfies the Stewards or the Stewards the Club that the jockey was given by him or on his behalf instructions

which complied with sub-rule (b) and that the jockey failed to comply with them.

- (e) No owner, registered agent, authorised agent or trainer shall give any instructions which if obeyed could or would prevent the horse from obtaining the best possible placing, neither shall they, its jockey or any other person prevent or try to prevent in any way any horse from obtaining the best possible placing.

- 103.** (a) Where, in the opinion of the Stewards or the Stewards of the Club, a horse has not achieved its best possible placing because one of the circumstances detailed below arose but the Stewards or the Stewards of the Club are satisfied that there was no intentional disregard of the requirement that the horse be run on its merits, the jockey shall be deemed in breach of this Rule and guilty of an offence.

The said circumstances are:-

- (i) failing to ride out approaching the finish on a horse that would have been placed first, second, third, fourth or any other placing for which there is prize money;
- (ii) riding a finish too early or failing to ride a finish;
- (iii) asking for an effort or making some other permissible manoeuvre too late as a result of serious misjudgement or inattention.

**Note:** For the purposes of Rule 103(a) if a dead-heat occurs as a result of any of the above circumstances it will be regarded as the equivalent of not achieving the best possible placing.

- (b) Where, in the opinion of the Stewards or the Stewards of the Club, a jockey has intentionally failed to ensure that his horse is run on its merits the jockey shall be deemed in breach of this sub-rule and guilty of an offence.
- (c) Where, in the opinion of the Stewards or the Stewards of the Club, a jockey has failed to ensure his horse is run on its merits the jockey shall be deemed in breach of this sub-rule and guilty of any offence, provided that no jockey guilty of an offence under either Rule 103(a) or 103(b) shall also be found guilty of an offence under this sub-rule.

## **Riding Offences (Interference Rules)**

**104.** When a horse or its jockey has caused interference

- (a)
  - (i) By foul or by reckless riding in any part of a race, the horse shall on an objection under Rule 114(d) be disqualified and placed last.
  - (ii) By irresponsible riding in any part of a race the horse shall on an objection under Rule 114(d) be placed behind the horse or horses with which it has interfered (“the sufferer(s)”) or placed

last, except that the Stewards may order that the placings shall remain unaltered if:-

- (aa) the Judge has placed the horse behind the sufferer(s), or
  - (bb) the Stewards are of the opinion that the interference was of a minor nature and are not satisfied that the sufferer(s) would have obtained a better placing in the race.
- (iii) By careless riding or by accident in any part of a race the horse shall on an objection under Rule 114(d) be placed behind the sufferer(s), except that the Stewards may order that the placings shall remain unaltered if:-
- (aa) the Judge has placed the horse behind the sufferer(s), or
  - (bb) the Stewards are not satisfied that the sufferer(s) would have placed Fourth (4<sup>th</sup>) or better (or lower for any placing for which there is prize money)
- (b) For the purpose of the application of sub-rules (a)(ii) and (iii) of this Rule, the placing (before the consideration by the Stewards of any objection) of a horse interfered with shall be that decided by the Judge;
- (c) The jockey of any horse who, in the opinion of the Stewards, or the Stewards of the Club,

has been guilty of foul riding, reckless riding, irresponsible riding or careless riding, misuse of the whip or improper riding (any one being severally considered a punishable riding breach) at any time whilst on the racecourse and whether before or after any race, shall be guilty of an offence;

- (d) When in the opinion of the Stewards a jockey has committed or been guilty of a punishable riding breach the Stewards may impose such punishment on the jockey whether in accordance with their powers under Rule 6(e) or otherwise in these Rules contained as they shall think fit. Provided that if the jockey has been guilty of foul riding, reckless riding or irresponsible riding, or where a jockey either hits a horse to the extent of causing it injury, or hits or appears to hit a horse with unreasonable force or frequency or strikes another jockey or horse the Stewards shall fine such jockey an amount not less than the minimum prescribed fine as is specified in Appendix 1B, and this fine may be in addition to any other action which the Stewards may deem fit.
- (e) When, in the opinion of the Stewards:
- (i) a jockey is guilty of misusing the whip in circumstances set out in Rule 104(f)(v)(A)(i-iv) inclusive,
  - (ii) an apprentice jockey is guilty of careless riding or misuse of the whip and he has not previously committed a similar breach of or been guilty of any offence under paragraph (c) of this

Rule, other than an offence relating to misuse of the whip

the Stewards may, in lieu of punishment, caution him as to his future conduct in races;

(f) For the purpose of this Rule, a jockey is guilty of:

(i) "Careless Riding" if he fails to take reasonable steps to avoid causing interference or causes interference by misjudgement or inattention;

(ii) "Irresponsible Riding" when he causes interference by some action or manoeuvre and where it ought to have been obvious to the jockey that interference would be the result, regardless of the absence of any physical danger to the jockey or horse;

(iii) "Reckless Riding" if as a result of showing no regard to the consequences of his actions he causes serious interference to any horse or jockey.

(iv) "Foul Riding" if he intentionally, wilfully or with malice interferes with any horse or jockey;

(v) "Misuse of the Whip" a rider misuses the whip if:

(A) he hits a horse which is:

(i) out of contention;

(ii) showing no response;

- (iii) clearly winning;
- (iv) past the winning post; or
- (B) he hits a horse to the extent of causing it injury;
- (C) he hits or appears to hit a horse with unreasonable force or frequency; or
- (D) he strikes another jockey or horse.
- (v) "Improper Riding" if he commits any other form of misconduct in the course of riding not giving rise to interference.

## **Void Races**

- 105.** (a) A race shall be void in any of the following circumstances:-
- (i) if all the horses have run at the wrong weights;
  - (ii) if all the horses have run over the wrong course;
  - (iii) if it has been started in front of the starting post unless the Starter has exercised his authority under Rule 25A(e);
  - (iv) if, when the recall flag has been raised, no horse has returned to the start;
  - (v) if the event described in Rule 29(a) occurs and the Stewards are

unable to determine the result from the photograph;

- (vi) if no horse finishes; or
- (vii) if the race is started when the Stewards are not in the designated Stewards' rooms;

- (b) If no qualified horse runs the course in accordance with these Rules, the race may be declared void;
- (c) The Stewards shall, if practicable, in any of the events of (a)(i),(ii),(iii),(iv),(v) or (vii) of this Rule happening, order the race to be run again.

## **Walking Over**

- 106.** In walking over for a race, in no case shall it be necessary for a horse to "walk over" the entire course, but if only one horse shall have been declared a runner under Rule 86(a), that horse shall be ridden past the Judge's box and shall then be deemed the winner, and incur the usual winning penalties.

## **PART XVII**

### **Dead Heats**

- 107.** When horses run a dead heat, for first or any lower place, the owners shall divide the prize, and the dead heat shall not be run off, but on a dead heat for a match, the match is off.

- 108.** When two horses run a dead heat for first place, all prizes to which each category of persons connected with the first and second horses would have been entitled shall be divided equally between such persons and this principle shall be observed in dividing the prize(s) whatever the number of dead heaters and whatever place for which the dead heat is run. Each horse that divides a race for first place shall be deemed a winner.
- 109.** (a) When a dead heat is run for second place, and the winner of the race is subsequently disqualified or its placing altered, the horses which ran the dead heat shall be deemed to have run a dead heat for first place. When a dead heat is run for any lower place and placings are subsequently altered the horses which ran the dead heat will be deemed to have run that dead heat for the new placing;
- (b) When under Rule 104 two or more horses are placed last in a race by the Stewards those horses will be regarded as having dead heated for last place.
- 110.** If the dividing owners cannot agree as to which of them is to have a cup or other prize which cannot be divided, the question shall be determined by lot by the Stewards, who shall decide what sum of money shall be paid by the owner who takes such cup or other indivisible prize, to the other owners or owner.

## **PART XVIII**

### **Weighing In**

- 111.** (a) Immediately after pulling up (and in the case of a horse placing first after leading in), the jockeys of the horses placed first, second, third and fourth in each race must ride their horses to the place appointed for unsaddling, except as provided for by paragraph (b) of this Rule. The other jockeys may dismount within a reasonable distance. Every jockey must present himself to be weighed by the Clerk of the Scales at once and if he fails to complete the course, report the reason to the Stewards. If a jockey is prevented from riding back to weigh in by reason of accident or illness, by which he or his horse is disabled, he may walk or be carried to the scales;
- (b) In cases where the Judge, in consulting the photograph, has not announced his decision before the jockeys return to weigh in, they may dismount either inside or within a reasonable distance of the place appointed for unsaddling. In such cases, the Clerk of the Scales shall weigh in all jockeys until such time as the Judge's decision is announced.
- 112.** (a) In weighing in, a jockey shall include in his weight everything that the horse has carried in the race, except as provided for in Rule 89(a);

- (b) If a jockey does not present himself to be weighed in, his horse shall, on an objection under Rule 114(d), be disqualified unless the Stewards are satisfied that he was justified in not doing so by reason of illness, accident or other extraordinary circumstances, that he weighed out at not less than his correct weight and that the proper weight was carried by the horse throughout the race;
- (c) If a jockey touches, except accidentally, any person or thing other than his own equipment before weighing in, or dismounts before reaching the place appointed for that purpose, his horse may, on objection under Rule 114(d), be disqualified if the Stewards determine that a disqualification is in the circumstances warranted;

**Note:** In determining whether a disqualification is warranted the Stewards should give consideration to the instructions prescribed with respect to "Procedures prior to weighing in" and all other relevant circumstances.

- (d) If a jockey cannot draw the weight at which he weighed out the Clerk of the Scales shall allow him One pound (1 lb), if he cannot then draw the weight, his horse shall on an objection under Rule 114(d) be disqualified,
- (e) If a jockey weighs in at 2 lbs. or more over the weight at which he weighed out, the matter shall be reported to the Stewards who shall not disqualify the horse, but may punish the jockey or trainer in their discretion. The

matter shall also be reported by the Stewards to the handicapper;

- (f) If during the race a horse carries less than the weight it should carry in accordance with the conditions of the race and these Rules, it shall, on an objection under Rule 115, be disqualified by the Stewards of the Club.

**113.** (a) When the jockeys have weighed in to the satisfaction of the Clerk of the Scales at not less than the weight at which they weighed out, except as provided under Rule 112(d), the Stewards shall authorise the appropriate signal to be displayed upon the later of the following occurring:-

- (i) the period allowed for objecting under Rule 114(d) has elapsed and there are no objections;
- (ii) the conclusion of any enquiry under Rule 117(b) has been made; or
- (iii) any objection which may have been lodged on any of the grounds mentioned in Rule 114(d) has been decided;

(b) No objection on any grounds other than those mentioned in Rule 114(d) shall be entertained prior to the display of the signal;

(c) After the signal has been given, except for the purpose of correcting an error, no alteration shall be made on the board or screen to the numbers of the winner or placed horses.

## **PART XIX**

### **Disputes and Objections.**

- 114.** (a) If an objection to a horse engaged in a race be made not later than 10:30 a.m. of the day of the race, the Stewards may require his qualification to be proved before the race, and in default of such proof being given to their satisfaction, they may declare it disqualified;
- (b) An objection to the distance of a course officially designated must be made to the Stewards before 8.30 a.m. of the day of the race in question.
- (c) An objection to any decision of the Clerk of the Scales must be made at once;
- (d) An objection to a horse on any of the following grounds, viz:
- (i) causing interference, or any act on the part of his jockey; or
  - (ii) the race having been started from the wrong Start unless the Starter has exercised his authority under Rule 25A(e).
  - (iii) the jockey did not present himself to weigh in, or
  - (iv) the jockey could not draw the weight allowed by Rule 112(d), or

- (v) of any other matter occurring in the race, or before weighing in,

must be made within One (1) minute after the winner has weighed in, or within One (1) minute of the time the Judge has made his decision on all placings, whichever is the later.

The Stewards may extend the above period if they are satisfied that the objection could not have been made within the time but in no event shall an objection under this Rule be made after the signal has been displayed in accordance with Rule 113. No objection on any other ground than these shall be made within this time.

- 115.** (a). An objection on any other ground than those laid down in Rule 114(d) shall be received within Fourteen (14) days of the day of the race;
- (b) In cases of fraud, or wilful mis-statement, there shall be no limit to the time for objecting, provided the Stewards of the Club are satisfied that there has been no unnecessary delay on the part of the objector;
- (c) No objection under this Rule may be heard until the signal has been displayed in accordance with Rule 113;
- (d) Nothing in this Rule shall restrict the operation at any time of Rules 6, 7, 8, 9, 10, 11 or 12;

- (e) Where the Stewards of the Club correct a mistake of the Judge and declare the winner and placed horses pursuant to their powers under Rule 10(k) they may permit an objection on any of the grounds set out in Rule 114(d) provided it is made within Forty eight (48) hours of the published correction. Such objection shall be made in accordance with the requirements of Rule 116 save that it shall be made to the Stewards of the Club at the Registry Office.

- 116.** (a) Every objection shall be in writing and must be signed by the owner of a horse engaged in the race, or by its registered agent, authorised agent, trainer or jockey, and must, in cases coming under Rule 114(d) be made to or by, as the case may be, the Clerk of the Scales. Objections otherwise than in accordance with Rule 114(d), must be made to the Stewards of the Club. The objector shall, at the time he makes his objection, sign the objection form and deposit the prescribed fee. If the objection is subsequently withdrawn or the Stewards find that there were no good and reasonable grounds for the objection, the objector may be fined the minimum prescribed fine. In the event that the objection is found by the Stewards to be frivolous or vexatious, the Stewards may fine the objector such additional sum as they may determine, which shall be partially offset by the deposit. Where the objection has been decided against the objector, his deposit shall be forfeited to the Jockey Accident Fund, unless the Stewards or the Stewards of the Club as the case may be shall be of the opinion that

there were good or reasonable grounds for the objection, in which case the Stewards or the Stewards of the Club as the case may be may in their discretion refund the deposit to the objector;

- (b) A jockey who fails to lodge an objection against another jockey in circumstances where the Stewards, after viewing a tape of the race, are satisfied that an objection ought to have been lodged, is guilty of an offence and may be liable to a fine or such other punishment as the Stewards deem fit.

**117.** (a) An objection may also be made, without deposit, by one of the Stewards, the Clerk of the Scales or Official of a meeting appointed by the Stewards of the Club in his official capacity or by an Official of the Club. Such objection shall be in writing and signed by the person making the objection;

- (b) The Stewards may call an enquiry on any matter contained in Rule 114(d) within the time prescribed by Rule 114(d) and such enquiry shall have the force and consequences of an objection and all relevant Rules shall operate as if an objection had been lodged.

**118.** Every objection under Rule 114(d) shall be decided by at least three Stewards, whose decision shall, subject to Part XXIII of these Rules, be final.

**119.** Every objection in cases coming under Rule 115 shall be decided by not less than three Stewards of the Club (neither of whom shall be a Steward of the Meeting from which such objection arises), who may call in any

other Steward or member of the Board to their assistance, or may, if they think the importance or difficulty of the case requires such a course, refer it to a meeting of the Board.

- 120.** An objection cannot be withdrawn without leave of the Stewards or the Stewards of the Club as the case may be.
- 121.** No horse shall be disqualified on account of any error or violation of any Rule or regulation relating to entries which might have been corrected on payment of a fine.
- 122.** All reasonable costs and expenses in relation to determining an objection or conducting an enquiry in relation thereto, and any reasonable compensation for outlay incurred shall be paid by such person or persons and in such proportions as the Stewards of the Club, in the exercise of their powers under Rule 12(a), or the Appellate Body in the exercise of its powers under Rule 12(b), may direct.
- 123.** Pending the determination of an objection or an appeal, any prizes which the horses concerned in the objection or appeal may have won or may win in the race, shall be withheld until the objection or appeal is determined. Where a trophy is presented to the winner of a race which is subsequently disqualified, the trophy must be returned to the Registry Office.
- 124.** Where the Stewards or the Stewards of the Club have disqualified a horse which has won or been placed in a race, the horse shall be regarded as having placed last in the race, removed from the placings, shall not be entitled to any prize and the other horses shall take positions accordingly. Where the placing of a horse has been changed by the Stewards of the Club or by the Stewards under the provisions of Rule 104(a) or (b),

including being placed last, the horse concerned will only be entitled to the prize for the position in which it has been placed by the Stewards of the Club or the Stewards and the other horses shall take positions accordingly.

## **PART XX**

### **Offences, Prohibited Practices and Disqualification of Persons**

- 125.** Any person may be declared a disqualified person or otherwise penalised by the Stewards of the Club in accordance with their powers under Rule 10, who:
- (a) is guilty of or conspires with any other person for the commission of any dishonest, corrupt, fraudulent or improper practice or any dishonourable action in connection with racing in this island or any other country;
  - (b) gives or offers or promises directly or indirectly any bribe in any form, to any person having official duties, in relation to a race or race horse, or to any owner, nominator, trainer, jockey, authorised or registered agent, or other person having charge of or access to a racehorse;
  - (c) being a person having official duties in relation to a race or being a nominator, trainer, jockey, authorised or registered agent, or other person having charge of or access to a racehorse, accepts or offers to accept any bribe in any form;

- (d) wilfully enters or causes to be entered for any race, or causes to start in any race, a horse which he knows or believes not to be qualified, or of which he knows or believes the owner, nominator or authorised or registered agent to be disqualified;
- (e) being the owner, nominator, authorised or registered agent, or trainer of a horse, enters causes to be entered or runs such horse in any race or trial under a fraudulently false description;
- (f) gives, allows or causes a blood transfusion to be given to a horse for the purpose of enhancing its racing performance in a race;
- (g) being an owner, nominator, lessee, member of a syndicate, trainer, jockey, authorised or registered agent, apprentice, stablehand, person having official duties in relation to racing, persons attendant on or connected with a horse, or any other person refuses to attend or give evidence at any enquiry or appeal when requested to do so by the Stewards or the Stewards of the Club or the Appellate Body;
- (h) gives at any enquiry or appeal any evidence which in the opinion of the Stewards or the Stewards of the Club or the Appellate Body is false or misleading in any material particular and any person who makes any false or misleading statement or declaration in respect of any matter in connection with the administration or control of racing;

- (i) is convicted of any criminal offence in relation to racing in this island or any other country;
- (j) uses or has in his possession on the premises of the Club any improper electric or electronic apparatus, remote device, or other contrivance capable of affecting the racing performance of a horse in a race;
- (k) is guilty of improper, abusive or insulting behaviour at any time towards the Board or any member thereof, or any Steward or any official in relation to their or his duties;
- (l) has committed any breach of these Rules;
- (m) attempts, or conspires with any other person to commit, or connives with another committing any of the above offences; or
- (n) holding a licence or permit under these Rules, combines, or endeavours to combine, with any other person or persons to obstruct, or in any way interfere with, the conduct of any race meeting, race or trial held under these Rules.
- (o) being the trainer groom or jockey of a horse of which a Positive result of Sample or a fraudulent practice is confirmed following the conclusion of a hearing by the Stewards of the Club.

and any such act shall constitute a breach of these Rules.

- 126.** (a) Any person who enters, owns, trains or rides a horse entered or running at any unrecognised meeting, or any person who acts in any official capacity in connection with such a meeting, is liable to be made a disqualified person by the Stewards of the Club for such time as they shall think fit;
- (b) If any person shall assist a disqualified person to enter or be in any stand, stable, paddock or enclosure, such person may be declared a disqualified person by the Stewards of the Club.
- 127.** When a person is warned off by the Stewards of the Club he is, so long as his exclusion continues, a disqualified person.
- 128.** (a) Any person on whom disqualification has been imposed by any Recognised Turf Authority is ipso facto a disqualified person under these Rules, so long as the disqualification continues; subject to any special conditions required by the laws of natural justice;
- (b) So long as the name of a person is in the arrears list, or in the official forfeit list published by the Club or by the Recognised Turf Authority of any country, he is a disqualified person;
- (c) An unpaid forfeit list shall be kept at the Registry Office and shall be published in such manner and at such times as the Board may, in its discretion, decide. It shall include the names of all persons in arrears to the Club, whether under these Rules or

otherwise, and shall state the name or names of the person or company and the horse or horses, if applicable, in respect of which the arrears are incurred. A horse for which amounts are owed by a disqualified person or company is also disqualified and cannot be entered to take part in any race while it is on the forfeit list or it remains disqualified.

Arrears which have been so published must be paid to the Registry Office until which time the name or names of such person(s), company(ies) or horses(s) shall remain on the unpaid forfeit list.

- 129.** A disqualified person, so long as his disqualification lasts, shall not:
- (a) act as a Steward or Official at any recognised meeting;
  - (b) act as authorised agent or registered agent under these Rules;
  - (c) subscribe for, enter, run, train, or ride a horse in any race at any recognised meeting, or ride in trials;
  - (d) enter any racecourse, stands, enclosures, rooms, stables, horsetrainers, vans, vehicles and other places used by or under the control of the Stewards for the meeting;
  - (e) except with the permission of the Stewards of the Club, be employed in any racing stable; or

- (f) deal in any capacity with a racehorse (for example, but without imposing any limitation on the absolute prohibition imposed by this Rule, as manager of or for a syndicate or by selling or placing shares in a horse). Any horse found by the Stewards of the Club to have been dealt with in contravention of this Rule shall be automatically declared to be disqualified from all future racing until such time as the Stewards are satisfied that the horse has been sold on the open market to a purchaser having no previous connection with the disqualified person concerned, whereupon the disqualification of such horse shall be removed.

## **PART XXI**

### **Matches, Agreements, Fines**

- 130.** Matches shall be run at such time as may be appointed by the Stewards, and the prescribed fee shall be paid to the Registry Office for each horse to run in the match.
- 131.** No official notice will be taken of breaches of any agreement not registered with the Club.
- 132.** All fines imposed by the Stewards shall be credited to the Racing Benevolent Fund.

## **PART XXII**

### **Registration Fees**

- 133.** The Registration fees for:
- (a)(i) every annual registration of colours;
  - (ii) registration of colours (Five (5) years); and
  - (iii) registration for life
  - (b) changing the name of a registered horse;
  - (c) registration of an assumed name;
  - (d) a certified copy of a registration certificate;
  - (e) a certificate of performance;
  - (f) registration of any horse foaled in Barbados, if it has not been registered when it is at least a yearling;
  - (g) registration of an agreement between employer and jockey;

shall be such sum as may from time to time be prescribed by the Board. The current registration fees are set out in Appendix 1C to these Rules.

## **PART XXIII**

### **Appeals, Proceedings at Hearings**

- 134.** (a) Any owner, trainer authorised agent, registered agent or jockey of a horse in a race the subject of an objection or enquiry under these Rules who is aggrieved by the Stewards' decision, or any person upon whom any form of fine or other penalty has been imposed by the Stewards shall be entitled to appeal to the Appellate Body;
- (b) For the exercise of the appellate powers of the Stewards of the Club under this part, all appeals shall be heard by the Appellate Body;
- 135.** (a) The Appellant shall lodge a Notice of Appeal with the Registry Office within Seven (7) days (except in the case of an appeal from a decision of the Stewards involving a breach of Rule 103, when an appeal must be lodged within Forty eight (48) hours) of the decision of the Stewards being announced unless a Saturday, Sunday, Public Holiday, Good Friday or Christmas Day intervenes when it must be lodged on the first day thereafter that the Registry Office is open for business. On all occasions when an appeal is lodged a deposit (in the amount prescribed in Appendix 1A of these Rules or as may from time to time be determined by the Board) shall be paid at the same time which shall be forfeited unless the Appellate Body shall decide there were good and reasonable grounds for the appeal;

- (b) Where the appeal concerns the exercise by the Stewards of their powers contained in Rule 9 to suspend a horse, the suspension shall not take effect pending the hearing of the appeal unless and until the Appellate Body shall in any particular case direct otherwise.
- 136.** (a) The Notice of Appeal must be signed by the Appellant, his authorised agent, registered agent, or his Attorney-at-Law and state the grounds of appeal in general terms;
- (b) Where the appeal is from the imposition of a fine of Five hundred dollars (\$500.00) or less the Notice of appeal shall also state whether the Appellant wishes:
- (i) a personal hearing; or
- (ii) the appeal to be decided on a submission of written evidence;
- (c) In the event of an appeal by submission of written evidence the Appellant must, within seven days of the Notice of Appeal being lodged, submit to the Registry Office such written evidence and representations as he wishes to be considered by the Appellate Body. The Appellate Body may request the Stewards whose decision is subject to appeal also to submit written evidence. In the event of the Appellate Body requiring further information for the purposes of considering the appeal they may direct that a written summary of evidence be compiled by such person as is designated by them. The written summary of evidence must be signed

by the Appellant. If the Appellant fails to sign the written summary of evidence the Appellate Body will treat the appeal as one where the Appellant has required a personal hearing;

- (d) Where the appeal is from the imposition of a fine of Five hundred dollars (\$500.00) or less and the Appellant has not requested a personal hearing, the appeal shall be considered in his absence, and the Appellate Body shall communicate its decision to him in writing;
- (e) The Appellate Body may confirm, reverse or otherwise vary the decision of the Stewards including exercising any of the powers given to the Stewards of the Club by Rules 10, 11, 12 or otherwise under these Rules;
- (f) In the event of an appeal against a suspension under Rule 9 being dismissed, any suspension imposed shall commence on the day following that on which a decision on the appeal is given unless the Appellate Body shall at that time otherwise direct. No appeal against a suspension under Rule 9 may be withdrawn without the consent of the Appellate Body which may impose such conditions including the period for which the suspension is to take effect and any orders as to costs and forfeiture of the deposit as they think fit.
- (g) On an appeal, the Appellate Body may exercise the powers of the Stewards of the Club as to costs in accordance with Rule 12(a).

**137.(a)**

Either the Stewards of the Club or the Appellate Body may give any instructions they consider necessary for the proper conduct of any proceedings before them, including but not limited to:-

- (i) any time limit within which the hearing or appeal shall be concluded, and any penalty for the default of any person charged or the Appellant in concluding the hearing or appeal as the case may be within such time limit.
- (ii) stating or defining any procedural steps and at their discretion adapting or dispensing with any procedural steps
- (iii) requiring a record to be made of the proceedings or any part of them.
- (iv) ordering persons bound by the Rules of Racing to attend a hearing.
- (v) holding a preliminary hearing.
- (vi) requiring the provision of written submissions.

The decision of the Stewards of the Club or the Appellate Body as the case may be on such instructions shall be final.

- (b) The Directors may from time to time make such regulations for hearings before the Stewards of the Club or for appeals before

the Appellate Body as they shall consider necessary.

## **PART XXIV**

### **Miscellaneous**

- 138.** (a) No person shall aid or abet the commission of any breach of these Rules;
- (b) No person shall act in any violent or improper manner on any land or premises owned, used, licensed or controlled by the Stewards or by the Stewards of the Club;
- (c) No person shall act in a manner which in the opinion of the Stewards of the Club is prejudicial to the integrity, proper conduct or good reputation of horseracing in Barbados whether or not such conduct shall constitute a breach of any of these Rules;
- (d) No person shall without the permission of the Stewards of the Club associate in connection with horseracing in Barbados with any person known to be disqualified or known to have acted in a manner prejudicial to the integrity, proper conduct or good reputation of horseracing in Barbados, whether or not constituting a breach of these Rules;
- (e) It shall be an offence deliberately to mislead or by an overt act endeavour to mislead the Stewards, the Stewards of the Club, the Appellate Body, any Official of the Club, Official appointed by the Stewards of the Club or the Board or the Clerk of the Scales

either at any enquiry or in connection with the administration or control of racing.

- (f) No person who has been requested by or on behalf of the Stewards of the Club to attend an enquiry or hearing or the hearing of an appeal shall without reasonable cause fail or refuse so to do. This rule shall apply to a person who has ceased to be bound by these Rules where, in the opinion of the Stewards of the Club he has material information concerning events whilst he was subject to these Rules and the powers of the Stewards of the Club shall apply in respect of any breach.

- 139.**
- (a) Facsimiles of prescribed forms of application and registration, together with documents required for the registration of leases, joint ownership, joint ownership names, entries of overseas horses, and sales with contingencies, will be deemed to satisfy the provision of such documents to be in writing provided that the original document in each case is received at the Registry Office within ten days excluding Saturdays, Sundays and Public Holidays of the transmission of such facsimile. If the original documents in each case are not received within this time the Stewards of the Club may, in their absolute discretion, cancel any registration made or withdraw any licence issued or generally to revoke any acts done or executed following the receipt of a document by facsimile transmission;
  - (b) Facsimiles received at the Registry Office between 4:00 p.m. on a Friday and 8:00 a.m.

on the following Monday will be deemed to have been received at 8:00 a.m. on that Monday, or earlier if the Registry Office is open.

- 140.** The Orders and Instructions of the British Horseracing Board and the Rules of Racing and Instructions of the Jockey Club for the time being in force may if the Stewards or Stewards of the Club so determine apply in any case not provided for in these Rules and shall not apply if same are clearly not applicable or clearly inconsistent with the import or intent of these Rules in the particular case.

## **PART XXV**

**Note:** The Rules hereinafter set out in this Part are intended to protect the integrity of horseracing, to safeguard the health of the horse, the interest of the public and all racing participants, through the control and prohibition of all drugs, medications and substances foreign to the horse.

### **Drugs, Prohibited Substances, Authorised Substances and Veterinary Practices**

- 141.** In this section the following words when used shall have the meanings ascribed thereto:

“Authorised Substances” shall mean and include:

- (i) water, feed, including hay, grain and feed supplements that do not contain any Prohibited Substance.

These may be provided or given to a horse at any time prior to the horse entering the Saddling Enclosure, provided that they shall not be given in such quantity so as to affect the racing performance of the horse;

- (ii) topical medications, including antiseptics, ointments, salves, leg rubs, leg paints, hoof dressings, liniments and antiphlogistics which do not contain anaesthetics or any Prohibited Substance.

These may be administered at any time prior to the horse entering the Saddling Enclosure; and

- (iii) injectable vitamins, electrolyte solutions, amino-acid solutions, and tetanus antitoxin or tetanus toxoid (in the event of a horse sustaining a wound).

These may be administered only by a Veterinarian by hypodermic injection not later than twenty-four hours prior to the scheduled post time of the race in which the horse is entered.

“Drug or Drugs” shall include medicine, medication, chemical or like substances of any kind whatsoever, and shall also include the metabolites thereof and the isomers thereof and metabolites.

“Properly labelled” means a label which is securely attached and clearly inscribed to show the following:

- (i) the name of the medication;
- (ii) the name and telephone number of the Veterinarian prescribing or dispensing the medication;

- (iii) the name of each horse for which the medication is intended to be prescribed;
  - (iv) the dose, dosage, duration of treatment, and expiration date of the prescribed dispensed medication; and
  - (v) the name of the trainer to whom the medication was dispensed.
- 142.**
- (a) While on any premises falling under the jurisdiction of the Club no person other than a Veterinarian shall have in his care, custody, control or possession any drug which can be administered to a horse except authorised substances or drugs which have been prescribed by a Veterinarian for a specific horse and for a specific existing condition of such horse and then not unless same is properly labelled;
  - (b) Any trainer or person authorised, permitted or allowed by him, who injects, gives, uses, or administers any drug except authorised substances (according to their administration periods) to a horse within Seven (7) days prior to the running of the said horse in a race, must make a report in writing to the Stewards of the use, injection or administration of the said drug no later than 8.30 a.m. on the day of the race. Any person who fails to comply with the provisions of this Rule shall be liable to have the said horse disqualified from running in any race at the, or any subsequent, meeting and the persons responsible shall be subject to the provisions of Rule 125;

- (c) No person other than a Veterinarian shall administer any drug except authorised substances (according to their administration periods) to a horse within Seventy two (72) hours prior to 6.00 a.m. on the day of the race. Should a Veterinarian consider it necessary to administer any drug except authorised substances (according to their administration periods) to a horse within Seventy two (72) hours prior to 6.00 a.m. on the day of the race he shall make a report in writing to the Stewards as soon as practicable but not later than 8.30 a.m. on the day of the race;
- (d) For the purposes of sub-clauses (b) and (c) of this Rule the report in writing to the Stewards shall be on such form as may be approved by the Stewards of the Club, and shall contain the name of the horse treated, the drug administered and/or prescribed, the name of the trainer of the horse, the date and time of treatment and the reason for so doing and any other information requested by the Stewards, and shall be appropriately signed;
- (e) No drug shall be administered internally or by hypodermic or other method, no body-wash or liniment containing a Prohibited Substance, no freezing agent or irritant shall be applied and no electrical, mechanical or other appliances (other than an approved whip or spurs) shall be used for the purpose of affecting the racing performance of a horse in a race;
- (f) No horse participating in a race shall carry in its body any drug or its metabolites or

analogues foreign to the horse except as herein expressly provided;

- (g) No Prohibited Substance shall be administered to a horse which is entered to race. Any person who administers or attempts to administer or allows or causes to be administered or connives at the administration to a horse of a Prohibited Substance with the intention of affecting the racing performance of that horse in a race or with knowledge that its racing performance in a race could be affected, shall be guilty of a breach of these Rules and may be declared a disqualified person or otherwise penalised by the Stewards of the Club under Rule 10;
- (h) A finding of a Positive result of Sample of a horse is prima-facie evidence that the Prohibited Substance was administered to the horse and in the case of a post-race test was present in the horse's body while it was participating in such race.
- (i) When any horse has been the subject of any examination under these Rules and there is a finding of a Positive result of Sample and the trainer of such horse satisfies the Stewards of the Club that the Prohibited Substance was administered whilst the horse was not under his care, if the Stewards of the Club are satisfied that the Prohibited Substance was administered whilst the horse was under the care of another person that person may be declared disqualified or otherwise penalised by the Stewards of the Club in accordance with their powers under Rule 10 of these Rules.

- (j) Where, following the finding of a Positive result of Sample, a person shall be found guilty of a breach of these Rules, the Stewards of the Club shall consider the classification level of the drug as listed in the Classification of Prohibited Substances contained in Appendix 2 to these Rules, so as to determine the nature and seriousness of the breach and shall take such disciplinary action and/or impose such fine or other penalty as is consistent with the nature and seriousness of the breach, in accordance with their powers under Rule 10 of these Rules.

## **PART XXVI**

### **Claiming and Selling Races, Scale of Weight for Age, Amendment to Rules**

- 143.** (a) The Rules and regulations contained in Appendices 6 and 7 respectively relating to claiming and selling races, are subject to the following provisions:-
- (i) If an objection be made under Rule 114(d), and the winner of a selling race is disqualified, or his placing altered, the time for selling and claiming shall be fixed by the Stewards;
  - (ii) Where an objection is made on any other ground than those mentioned in Rule 114(d) and sustained or an appeal

is lodged under Rules 134 through 137 inclusive against a decision of the Stewards on an objection under Rule 114(d) or an enquiry under Rule 117(b) and is sustained, the sale of the winner of a selling race, the disposal of the surplus, and any claims under Appendix 6 shall not be affected, and in a selling race the horse to whom the race is awarded shall not be offered for sale, nor shall the original winner be liable to be claimed, but in cases where an objection has been lodged on the ground of fraud or substitution, or on the ground that the winner of a selling race or any horse claimed in a selling or claiming race has run at any unrecognised meeting, and is sustained, the Stewards of the Club may order the sale buying-in or claim to be annulled;

- (iii) Where the winner of a selling race has been sold or bought in or any horse claimed has been the subject of an examination under Rule 6(l) and the result of an analysis of any sample of its tissue, body fluid or excreta is positive the Stewards of the Club may order the sale, buying-in or claim to be annulled. For the purposes of this sub-rule a Positive result of Sample is as defined in Rule 1;
- (iv) When a horse which has been bought or claimed is the subject of an examination under Rule 6(l) or 6(f) the trainer who ran the horse is responsible

for the horse until the examination is completed when he or his representative must hand the horse to its new owner.

- (b) (i) No horse which is the subject of a lease may be entered or run in a selling or claiming race unless the lessee has written consent from the lessor. If such consent has not been given it will be the responsibility of the lessee to so advise his trainer in writing;
- (ii) Any horse which has run in a selling or claiming race in contravention of sub-rule (i) of this Rule shall, on an objection under Rule 115(a) be disqualified and the Stewards of the Club may order the sale, if the horse won the race, or any claim, to be annulled.

**144.** The Scale of Weight for age is annexed to these Rules as Appendix 3.

**145.** (a) Save as herein expressly stated, these Rules may not be altered amended or repealed except by Resolution passed at a Special General Meeting of the Club. Provided However that the Appendices may at anytime be made, varied or amended in whole or in part by the Stewards of the Club or the Board as the case may be;

(b) The Board may make, enact, adopt and amend such regulations from time to time as they may think fit.



## APPENDIX 1

### A

#### PRESCRIBED FEES

<b>RULE NO:</b>		<b>PRESCRIBED FEE</b>
37(a)	name registration	\$ 50.00
37(g)	name change of horse	\$100.00
45(a)	provisional registration of horse imported to Barbados	\$ 50.00
47(a)	registration of owner	\$100.00
60(a)	assumed name for one year	\$ 25.00
60(a)	assumed name for life	\$100.00
63B(e)	registration of recognized company	\$500.00
63C(f)	jockey's registered agent	\$ 50.00
64(d)	jockey licence	\$100.00
64(d)	apprentice jockey licence	\$ 70.00
67A	jockey/employer agreements	\$ 1.00
67A	jockey/agent agreements	\$ 10.00
67B	authorised jockey's agent registration	\$ 30.00
69B(a)	amateur jockey's fee	\$ 25.00
69B(b)	amount at time of entry to be paid to Racing Benevolent Fund or jockey's insurance, etc	(to be prescribed by the Board from time to time)
70(b)	exercise lads licence fee	\$ 50.00
71(a)	grooms licence fee	\$ 20.00
72	trainers licence fee	\$500.00
	Trainer's assistant	\$ 20.00
75 (e) (i)	annual registration of racing colours	\$ 20.00
75 (e) (ii)	five years registration of racing colours	\$ 80.00
75 (e) (iii)	lifetime registration of racing colours	\$480.00
116(a)	objection, occurrence in race	\$ 20.00
130	Match race	\$500.00
135(a)	deposit to lodge Notice of Appeal	\$350.00

## APPENDIX 1

### A.1

<b>Rule</b>	66(b)	Basic Fee	100.00
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### A.2

<b>Rule</b>	66(c)	Basic apprentice jockey fee	70.00
	66(d)	Fee payable to jockey riding Winner	10% of prize money awarded for first place plus the basic fee
	66(d)	Fee payable to apprentice riding winner	10% of prize money awarded for first place plus basic apprentice Jockey fee
	66(d)	Fee payable to jockey riding placed horse (or any place down to whatever place prize money is payable)	10% of the placed prize money plus the the basic fee
	66(d)	Fee payable to apprentice jockey riding place horse (or any place down to whatever place prize money is payable)	10% of the placed prize money plus the basic apprentice jockey fee

## APPENDIX 1

### B

#### PRESCRIBED FINES

<b>RULE NO:</b>		<b>MINIMUM PRESCRIBED FINES</b>
37(c)	where name not claimed before 2 <sup>nd</sup> February of 2.y.o year	\$ 250.00
58(a)	correction of error or omission at entry	\$ 20.00
66(f)	where jockeys fees not deposited	\$ 100.00
76(a)	owner runs in colours not registered to that owner	\$ 50.00
76(c)	trainer – horse runs in colours not registered	\$ 25.00
90A	trainer not declaring colours, if different on program	\$ 25.00
90A	trainer not declaring weight, if different on program	(such fine as Stewards may from time to time prescribe)
91(f)(ii)	tongue strap not declared	\$ 200.00
104(d)	jockey guilty of foul reckless or irresponsible riding or misuse of whip etc – minimum prescribed fine	\$ 80.00
116(a)	no reasonable grounds for objection – minimum prescribed fine	\$ 20.00

<b>RULE NO:</b>		<b>MAXIMUM PRESCRIBED FINES</b>
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6(e)	Racecourse Stewards	\$ 1,000.00
10(a), 12(c)	Stewards of Club	\$10,000.00

## APPENDIX 1

### C

#### INITIAL REGISTRATION FEES

<b>RULE NO:</b>		<b>PRESCRIBED FEE</b>
133(a) also (75(e)	(i) one year	\$ 20.00
	(ii) five years	\$ 80.00
	(iii) life	\$480.00
133(b) also (37(g))		\$100.00
133(c) also 60(a)	one Year	\$ 25.00
	life	\$100.00
133(d)		\$ 50.00
133(e)		\$ 25.00
133(f)		\$ 50.00
133(g)		\$ 1.00

Note: All fees and fines may be prescribed by the Stewards of the Club, the Stewards or the Board as the case may be from time to time.

## APPENDIX 1

### D

#### APPOINTED TIMES

##### RULE NO:

##### APPOINTED TIME

4(a)

One (1) hour before the scheduled start of the first race in which the jockey is declared to ride unless otherwise prescribed by the Stewards. Immediately upon arrival it is the duty of the jockey to report to the Clerk of the Scales.

66(e)

At the time of declaration of jockey under rule 50(c)(i)

#### EFFECTIVE DATE FOR RULES LISTED BELOW

##### RULE NO:

##### PRESCRIBED TIME

46(a)

Such date as shall be determined by the Directors

46 (b)

Such date as shall be  
determined by the  
Directors

75(a)

31<sup>st</sup> December, 2009

85B.

Such date as shall be  
determined by the  
Directors

## APPENDIX 1

### E.1

(Rule 31) Minimum allotted  
top weights in  
Handicap

2yo (1000 or 1100m)	126 lbs
2yo 1570m	126 lbs
3 yo 1000 or 1100m	130 lbs
3yo 1570m	128 lbs
3yo 1800m or more	128 lbs
4yo or over 1000 or 1100m	133 lbs
4yo or over 1570m	130 lbs
4yo or over 1800m	130 lbs

### E.2

(Rule 77(a)) Minimum weight in handicap  
which horse may carry (except  
where apprentice allowance claimed ) 108 lbs

### E.3

(Rule 80(a)) weight (or less) horse must be handicapped  
to carry for handicapper to be able to  
exceed minimum allotted top weight 108 lbs

## APPENDIX 2

### **CLASSIFICATION OF PROHIBITED SUBSTANCES**

1. This classification describes the types of Prohibited Substances and places same in specific categories. The list shall be published on the Club's Notice Board.

#### **CLASS I**

Drugs that have the greatest pharmacological potential to affect the racing performance of a horse and which have no accepted medical use in a racehorse. These include substances which are potent stimulants of the Central Nervous System (CNS).

Examples of drugs in this Class include, but are not limited to:

Amphetamines, fentanyl, etorphine, cocaine, morphine, meperidine, opiates, opium derivatives, synthetic opioids, psychoactive drugs.

#### **CLASS II**

Drugs that have a high pharmacological potential for affecting the racing performance of a horse. These include:

- (i) substances which are pharmacologically active in altering the cons
- (ii) substances which are not generally accepted as therapeutic agents in the racing horse; and
- (iii) substances, some of which may have legitimate use in equine medicine but should not be found in the racing horse, such as injectable local anaesthetics.

Groups of drugs in this Class include, but are not limited to:

- (a) Opiate partial agonists, or agonistsantagonists;

- (b) Non-opiate psychotropic drugs, which may have stimulant, depressant, analgesic or neuroleptic effects;
- (c) Miscellaneous drugs which might have a stimulant effect on the CNS;
- (d) Drugs with prominent CNS depressant action;
- (e) Antidepressant and antipsychotic drugs, with or without prominent CNS stimulatory or depressant effects;
- (f) Muscle blocking drugs which have direct neuromuscular blocking action;
- (g) Local anaesthetics which have a reasonable potential for use as nerve blocking agents (except procaine); and
- (h) Snake venom and other biologic substances which may be used as nerve blocking agents.

Examples of drugs in this Class include, but are not limited to:-

Chlorpromazine, Ephedrine, Ketamine  
and Lidocaine.

### **CLASS III**

Drugs that although having some pharmacological potential of affecting the racing performance of a horse have less potential to affect racing performance than those in Classes I and II.

These include substances that affect the cardiovascular, pulmonary, respiratory and/or autonomic nervous systems (ANS).

Groups of drugs in this Class include, but are not limited to:

- (a) Drugs affecting the ANS which do not have prominent CNS effects, but which do have prominent cardiovascular or respiratory system effects (Bronchodilators are included in this class);

- (b) A local anaesthetic which has nerve blocking potential but also has a high potential for producing urine residue levels from a method of use not related to the anaesthetic effect of the drug (procaine);
- (c) Miscellaneous drugs with mild sedative action, such as the sleep inducing antihistamines;
- (d) Primary vasodilating hypotensive agents; and
- (e) Potent diuretics affecting renal function and body fluid composition.

Examples of drugs in this Class include, but are not limited to:-

Xylazine, Theophylline, Antihistamines, Procaine and Bronchodilators.

#### **CLASS IV**

Drugs that may have some pharmacological influence on affecting the racing performance of a horse but have less potential to affect racing performance than those in Class III. These include drugs primarily of therapeutic medications routinely used in race horses.

Groups of drugs in this Class include, but are not limited to:

- (a) Non-opiate drugs which have a mild central analgesic effect;
- (b) Drugs affecting the ANS which do not have prominent CNS, cardiovascular or respiratory effects:
  - (i) Drugs used solely as topical vasoconstrictors or decongestants.
  - (ii) Drugs used as gastrointestinal antispasmodics.
  - (iii) Drugs used to void the urinary bladder.
  - (iv) Drugs with a major effect on CNS vasculature or smooth muscle of visceral organs.
- (c) Antihistamines which do not have a significant CNS depressant effect (This does not include HI blocking agents, which are listed in Class 5).

- (d) Mineralocorticoid drugs;
- (e) Skeletal muscle relaxants;
- (f) Anti-inflammatory drugs – those that may reduce pain as a consequence of their anti-inflammatory actions, which include:
  - (i) Non-Steroidal Anti-inflammatory Drugs (NSAIDs) – aspirin- like drugs;
  - (ii) Corticosteroids (glucocorticoids); and
  - (iii) Miscellaneous anti-inflammatory agents.
- (g) Anabolic and or androgenic steroids and other drugs.
- (h) Less potent diuretics.
- (i) Cardiac glycosides and antiarrhythmics including:
  - (i) Cardiac glycosides;
  - (ii) Antiarrhythmic agents (exclusive of lidocaine, bretylium and propranolol);
  - (iii) Miscellaneous cardiotoxic drugs.
- (i) Topical Anaesthetics – agents not available in injectable formulations.
- (k) Antidiarrheal agents; and
- (l) Miscellaneous drugs including:
  - (i) Expectorants with little or no other pharmacological action;
  - (ii) Stomachics; and
  - (iii) Mucolytic agents.

Examples of drugs in this Class include, but are not limited to:-

Isoxsurpine, Flunixin, Dexamethasone.

## **CLASS V**

Drugs that may have some pharmacological influence on affecting the racing performance of a horse but have less potential to affect racing performance than those in Class IV. These include drugs primarily of therapeutic medications routinely used in race horses, but for which concentration limits or threshold levels have been established as well as certain miscellaneous agents.

Groups of drugs in this Class include but are not limited to:

- (a) certain antiallergic drugs;
  - (b) anticoagulant drugs;
  - (c) antifungal agents (excluding griseofluvin, miconazole);
2. Drugs and the categories listed above may from time to time be added to, reclassified or otherwise amended by the Stewards of the Club in consultation with the Veterinary Officer of the Club.
3. Drugs and their respective classifications as contained in the International Federation of Horseracing Authorities (IFHA) and Association of Racing Commissioners International (ACRI) for the time being in force shall apply in any case not provided for in this Classification of Prohibited Substances.
4. (a) The Stewards of the Club shall from time to time insofar as same can be ascertained establish certain concentration limits or threshold levels in relation to any Prohibited Substance for the purposes of a positive result of sample.
- (b) The following threshold levels are for the time being hereby established with respect to the following Prohibited Substances. Prohibited Substances below such threshold levels are not actionable:

<b>Prohibited Substance</b>	<b>Threshold level</b>
1. Total Arsenic	0.3 microgram per millilitre in urine
2. Dimethyl Sulfoxide (DMSO)	15 micrograms per millilitre in urine or 1 microgram per millilitre in plasma
3. Hydrocortisone	1 microgram per millilitre in urine
4. Boldenone	0.015 microgram free and conjugated Boldenone per millilitre in urine from male horses (other than geldings)

5.	Estranediol in male horses (other than geldings)	The mass of free and conjugated $5\alpha$ – estrane – $3\beta$ , $17\alpha$ – diol to the mass of free and conjugated $5(10)$ – estrane – $3\beta$ , $17\alpha$ – diol in urine from male horses (other than geldings) at a ratio of 1
6.	Methoxytyramine	4 micrograms free and conjugated 3 - methoxytyramine per millilitre in urine
7.	Nandrolone	Free and conjugated $5\alpha$ -estrane - $3\beta$ $17\alpha$ - diol to $5(10)$ – estrane - $3\beta$ , $17\alpha$ - diol in urine at a ratio of 1
8.	Salicylic Acid	750 micrograms per millilitre in urine or 6.5 micrograms per millilitre in plasma
9.	Theobromine	2 micrograms per millilitre in urine
10.	Acepromazine	25 nanograms per millilitre in urine
11.	Mepivacaine	10 nanograms per millilitre in urine
12.	Promazine	25 nanograms per millilitre in urine
13.	Albuterol	1 nanogram per millilitre in urine
14.	Atropine	10 nanograms per millilitre in urine
15.	Benzocaine	50 nanograms per millilitre in urine
16.	Procaine	10 nanograms per millilitre in urine
17.	Testosterone	0.02 micrograms free and conjugated testosterone per millilitre in urine from geldings; or 0.055 microgram free and conjugated testosterone per millilitre in urine from fillies and mares (unless in foal).
18.	Carbon dioxide	36 millimoles available carbon dioxide per litre in plasma

Note: The conjugated substance is the substance that can be liberated from conjugates.

(c) Official blood test samples shall not contain any of the drug substances or their metabolites or analogues or isomers listed in 10 to 18 above.

(d) Prohibited substances and their threshold levels listed above may from time to time be added to or otherwise amended by the Stewards of the Club in consultation with the Veterinary Officer of the Club.

5. The following substances are considered to have no effect on the physiology of a racing animal except to improve welfare and nutrition or treat or prevent infections or

parasitic infestations, and are hereby declassified with effect from 20<sup>th</sup> January, 2007, namely:-

#### Sulphonamides and trimethoprim

Antibiotics:	Penicillins Chloramphenicol Tetracyclines Metronidazole	Cephalosporins Aminoglycosides Nitrofurans
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Anthelmintics:	Avermectins Piperazines Tetramizole	Benzimidazoles Pyrantel
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Antifungals:	Griseofulvin Miconazole	
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#### Antiparasitic Blood Parasite

Preparations:	Imidocarb Quinuronium Sulphate	
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Antacids:	Bismuth Sulphate Kaolin, Pectin Aluminum Hydroxide	
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#### Anti Ulcer

Preparations:	Ranitidine Cimetidine Omeprazole	
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Vitamins:	A, D, E, K, B Vitamins Vit C	
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Bufotenine:	1.	Product not commercially available in any form.
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2. It is a metabolite of 3-methyl-N-N dimethyltryptamine, found in reed canary grass (and potentially other food source plants). It may be found in the urine of horses eating this grass and potentially other plant foods), and has been reported as a positive finding.

Findings of bufotenine in equine urine should not be considered for regulatory action.

6. Publication of the addition, reclassification or amendment by the Secretary at the direction of the Stewards of the Club on the Club's Notice Board shall be sufficient to effectuate an amendment to this Appendix.

**APPENDIX 3***Scale of Weight for Age*

Distance	Age	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
5 Furs. & 5-1/2 Furs.	2 years	lbs											
	3 years	-	-	-	-	-	98	100	104	107	110	113	115
	4 years	117	118	119	120	121	122	124	126	127	128	129	130
	5,6 & aged	130	130	130	131	131	131	132	132	132	132	132	132
7 – 8 Furs.	2 years	132	132	132	132	132	132	132	132	132	132	132	132
	3 years	-	-	-	-	-	-	-	98	100	102	104	106
	4 years	110	110	111	112	115	117	119	121	122	123	124	125
	5,6 & aged	126	126	127	127	127	127	128	128	128	128	128	128
9 & 10 Furs.	2 years	130	130	130	130	130	130	130	128	128	128	128	128
	3 years	-	-	-	-	-	-	-	96	100	100	102	104
	4 years	108	108	109	109	112	115	117	119	120	121	122	123
	5, 6 & aged	125	125	125	126	126	126	127	127	127	127	127	127
11 & 12 Furs.	2 years	129	129	129	129	129	129	129	127	127	127	127	127
	3 years	-	-	-	-	-	-	-	-	-	-	-	-
	4 years	106	106	107	107	110	113	115	117	118	119	120	121
		124	124	124	125	125	125	126	126	126	126	126	126

	5, 6 & aged	129	128	128	128	128	128	126	126	126	126	126	126
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*This appendix may be varied or amended by the Stewards of the Club from time to time.*

## APPENDIX 4

### PART I

The Barbados Turf Club adopted the World Anti-Doping Code (Code) and in particular the Guidelines for Urine Sample Collection (Guidelines) issued by the World Anti-Doping Agency (WADA) (presently contained in Version 4 June 2004 as adapted by the Barbados Turf Club).

The Code and Guidelines as adapted will become effective on such date as shall be advised by the Directors, meanwhile the Regulations for testing for Banned Substances and Notifiable Medications as contained in the former Appendix 4 to the Rules of Racing (sometimes referred to herein as this Appendix) shall continue in full force and effect.

Note: The following forms shall be used once the Guidelines become effective, but may in the meantime be used:

- Doping Control Form (with instructions)
- Supplementary Report Form (with instructions)
- Chain of Custody Form (with instructions)
- Doping Control Officer Report Form (with Instructions).

### REGULATIONS FOR TESTING FOR BANNED SUBSTANCES AND NOTIFIABLE MEDICATIONS.

Former Appendix 4 of Rules of Racing remains in effect, until WADA Code and Guidelines become effective. (Former Appendix 4 attached).

**FORMER APPENDIX 4**  
**PART I**

**REGULATIONS FOR TESTING FOR  
BANNED SUBSTANCES AND NOTIFIABLE MEDICATIONS**

**NOTE:** This Appendix remains in effect until the WADA Code and Guidelines come into force as shall be determined by the Stewards of the Club or the Directors.

**1. Definitions:**

"Banned Substances" Shall include cannabis sativa (marijuana), its preparations, derivatives and similar synthetic preparations and cocaine its preparations and derivatives from coca and similar synthetic preparations, amphetamines, alcohol, the substances listed as Banned Substances in Parts 1 and 2 of Appendix 5, and such other drugs or substances as the Stewards of the Club may from time to time include by way of addition or amendment as the need arises to these regulations; and shall include any isomer or homologue or diagnostic metabolite of any of the

aforesaid substances.

"Independent  
Sampling Officer  
(ISO)"

Is a person authorised by the Racecourse Stewards or the Stewards of the Club to collect urine samples in accordance with the sample collection procedures prescribed in these regulations. The duties of an ISO under these regulations may be undertaken by a Medical Practitioner.

"Laboratory"

Means such accredited testing Laboratory as may from time to time be approved by the Stewards of the Club.

"Licensee

Shall include a trainer, jockey, an apprentice jockey, an exercise lad, an amateur rider, a groom and starting gate personnel (attendants).

'Medical  
Practitioner"

Where used in this Appendix shall mean any person registered under the Medical Registration Act Cap. 371.

'Notifiable  
Medications'

Shall include the substances listed in Part 3 of Appendix 5 and such other drugs or substances as the Stewards of the ('Club may from time to time include by way of addition or amendment to these regulations.

'Positive Result"

Means the report of the Laboratory indicating that a Banned Substance or

Notifiable Medication was present in the sample of urine analysed by it.

"Random Basis"

Shall be the primary method for determining those Licensees which shall be subject to testing and the Racecourse Stewards shall determine the method by which the Licensees shall be selected at random, which may include the drawing of lots. However, in cases of urgency or expediency or where the Racecourse Stewards have reason to believe that probable cause exists, or where a Licensee has previously provided a positive result, the Racecourse Stewards may at their discretion require a Licensee to submit himself to provide a urine sample in manner hereinafter appearing on a non-random basis.

"Sampling"

means the procedure for collecting samples for analysis.

"Sampling Control Station"

means the place designated by the ISO for carrying out sampling procedures and may consist of a room adopted for the purposes necessary, or a mobile van.

## **2. General Principles**

- 2.1** The Stewards of the Club are cognisant of the dangers associated with the abuse of certain substances. This protocol is designed to prevent the dangers associated with using these substances both to the individuals themselves and to others participating in racing. The misuse of such substances referred to herein as Banned Substances which include marijuana, cocaine, amphetamines alcohol and other substances listed in Appendix 5, is therefore forbidden under these Rules.
- 2.2** The Stewards of the Club may require the Laboratory to report the finding of substances other than Banned Substances or Notifiable Medications, which may adversely affect a Licensee's health or performance (e.g. ephedrines).

- 2.3** The chosen body fluid for sampling will be urine sweat or saliva. However, the Stewards of the Club or the Directors may prescribe a protocol or regulations for the testing of any Banned Substances and Notifiable Medications as they shall from time to time determine.
- 2.4** A failure by a Licensee to conform with the provisions of these regulations in relation to Banned Substances and Notifiable Medications is prima facie a breach of these Rules in particular Rules 64(j), 71(g) and 73(c).
- 2.5** Guidelines for penalties for breaches of Rules 64(k), 71 (h), 73(d) and Appendix 4 Part 2 which may be imposed are as follows:-
- (a) First Offence -  
suspension for up to Six (6) months
  - (b) Second Offence -  
suspension for up to Two (2) years
  - (c) Third Offence -  
suspension for up to Five (5) years

The imposition of penalties within these guidelines is not mandatory and the Stewards of the Club may impose at their discretion a greater

or lesser penalty as they see fit which may include the imposition of a fine either on its own or in addition to any other penalty, if appropriate.

- 2.6 Sampling may be carried out at any time at the Club's premises or "off-course".
- 2.7 The ISO will identify himself to the Clerk of the Scales (or other person so authorised by the Racecourse Stewards) on arrival at the racecourse.
- 2.8 When a Licensee is prescribed by any Medical Practitioner any Notifiable Medication as listed in Part 3 of Appendix 5 to these Rules the Licensee must inform the Club's Medical Officer of that fact immediately.

### **3. Breath Testing for Alcohol Sampling Procedures and Other Sampling Procedures**

- 3.1 Breath testing for alcohol shall take place on a non-selective basis, which is to say an ISO will conduct breath testing at such meetings as the Racecourse Stewards may require them to attend for that purpose and every rider present at the meeting and engaged to ride will be required to provide a breath sample. Each Rider's identify must be established to the reasonable satisfaction of the Clerk of the Scales. Where an ISO is present at a meeting to administer breath tests, a rider will not be permitted by the Clerk of the Scales to weigh out for his first riding engagement of the meeting until he completes

the procedures for breath testing, including where this Protocol so requires, giving a second sample. However, where there is insufficient time for him to provide a sample the Clerk of the Scales will decide whether or not the foregoing requirement may be waived. In such cases, the rider will be required to provide a sample immediately after weighing-in after the race. Where time only permits some but not all riders to be tested before a race the Clerk of the Scales will decide the selection based on age and seniority i.e. younger riders will be required to provide a sample first and older or more senior riders after weighing-in.

- 3.2** When a rider is required to report to the sampling station in order to give a breath sample for alcohol the procedure will be explained to him. A failure to provide a breath sample when required shall be deemed to be a refusal to provide a sample and therefore a contravention of Rule 64(k)(i), 71(h) and 73(d) unless the rider can establish that it was impossible to provide a breath sample (or some other similarity good reason why he should not be found in contravention of Rule 64(k)(i), 71(h) and 73(d). Exemption from testing will not normally be granted by the Stewards in respect of breath sampling. A breath sample which indicates the presence of alcohol at or above the limit specified in Schedule 5 will result in a further breath sample being taken within 15 minutes of the first. If the second breath sample confirms

the result of the first breath sample (a failed breath test) the rider will be suspended from riding for that day forthwith and the Racecourse Stewards will refer the matter to the Stewards of the Club.

- 3.3** The results of these two tests will be recorded and the rider will be informed of the results. The lower of the two readings shall be used to determine the level of seriousness of the Rule contravention which may have been committed.
- 3.4** When the lower of the two readings is between 17 and 50 micrograms per 100 milliliters in breath, the rider may elect to provide a urine sample of not less than 30 ml. If the rider fails to provide such a urine sample within 30 minutes of the second breath test, disciplinary action will proceed on the basis of the lower of the readings given by the breath testing equipment.
- 3.5** The taking of a urine sample will follow as closely as the circumstances reasonably allow the urine sampling procedure set out in this Protocol. If a rider is unable to provide such a sample within the prescribed period, such sample if any, as he is able to provide will be destroyed. Where a urine sample has been provided in the required amount within the prescribed time, any disciplinary action will proceed on the basis of the result of the urine sample without reference to the result of the breath tests unless there is cause to doubt the validity of the analysis of the

urine sample or its identification in which event the lower of the two breath tests may be relied upon.

- 3.6** A hearing of the Stewards of the Club will not be convened for cases involving only alcohol. The Racecourse Stewards will notify the rider of the result of an analysis of any urine sample. In cases not involving a urine sample steps to convene a hearing before the Racecourse Stewards into a possible contravention of the Rules will be taken promptly following the result of a failed breath test. In cases where a urine sample is involved, steps to convene a hearing will be taken shortly after the rider is notified of the result of the 'A' sample analysis.
- 3.7** A list of equipment approved by the Stewards of the Club for determining alcohol levels by analysis of exhaled air will be published from time to time in The Racing Calendar or on the Club's Notice Board. Sampling procedures will confirm generally with the procedures prescribed by the manufacturer of the equipment in question.
- 3.8** When a rider is required to provide sweat or saliva samples the procedures for taking swabs (which shall have been approved by the Stewards of the Club and published in The Racing Calendar or the Club's Notice Board) will be explained to him.

#### **4. Notification of Licensees**

- 4.1** A Licensee selected for sampling on a random basis (and in certain circumstances on a non-random basis) shall be so notified by the Clerk of the Scales (or other person so authorised by the Racecourse Stewards) as soon as practically convenient who shall deliver to the Licensee a Notice herein referred to as the Sample Collection Form - Licensees Notification - ("SCF") red copy in the form shown as Exhibit A to this Appendix stating that he is required to report to the Sampling Control Station.
- 4.2** Delivery of the SCF to the Licensee should be carried out as discreetly as possible and the Licensee should acknowledge receipt of the SCF by signing the copy of the same.
- 4.3** A Licensee may be accompanied by a representative of his choice or an interpreter.
- 4.4** A Licensee who refuses to sign a copy of the SCF and/or fails to report to the Sampling Control Station for the taking of the urine sample immediately or within a reasonable time of having been so notified and/or fails or refuses to comply with the request to provide a urine sample or fails to provide a urine sample of the quantity required within a reasonable time after a demand therefor, shall be deemed to have refused to submit to a test for Banned Substances or Notifiable Medications and shall

be liable to such penalties as may be imposed by the Stewards of the Club.

- 5.** The following procedure will operate:
  - 5.1** The time of arrival of the Licensee at the Sampling Control Station will be noted on the SCF.
  - 5.2** The identity of the Licensee and any accompanying person will be confirmed and noted on the SCF.
  - 5.3** Sample collection procedures will be explained to the Licensee, if requested, or to a Licensee who has never previously been selected to provide a sample.
  - 5.4** When ready to provide the urine sample the Licensee will select a plastic collecting vessel in a sealed wrapper. The collecting vessel will be disposed of after use and new collecting vessels will be used to obtain partial samples.
  - 5.5** The Licensee will accompany an ISO to the room/place where the sample is to be given.
  - 5.6** The Licensee will urinate into the collecting vessel under the direct observation of the ISO. A minimum of approximately 30 ml is normally required.
  - 5.7** To ensure authenticity of the sample, the ISO may require such disrobing as is necessary to

confirm the urine has been correctly produced by the Licensee. This means exposure of the body from the middle of the back to below the knees. If the ISO has reason to believe that the sample given is not a true sample, a further sample will be required and both samples will be sent to the Laboratory in accordance with the procedures set out below.

- 5.8** The Licensee shall return to the administration area of the Sampling Control Station and shall choose a pair (A and B) of empty bottles from a standard sampling control kit. These kits will have been delivered to the Sampling Control Station by the ISO and will remain unopened until the bottles are required for use. The bottles will be labelled and bear unique identification (e.g. BTC A7071, B7071) as shown on Exhibit B to this Appendix.
- 5.9** Either the Licensee (or, if requested, the ISO) shall divide between the A and B bottles approximately 15 ml of the sample into the A bottle and approximately 15 ml of the sample into the B bottle. This procedure shall take place in the presence of the ISO, Licensee and any accompanying person as applicable. The pH and SG (specific gravity) of the sample may also be measured at this time depending on availability of measuring kits.
- 5.10** The bottles shall be tightly sealed and tested by the Licensee or the ISO by inverting them in the

presence of the Licensee and any accompanying person.

- 5.11** The bottles shall be placed into their corresponding containers and sealed and their unique identification will be noted on the SCF. The Licensee and the ISO shall check the container seal and the unique identification against that recorded on the SCF. Any accompanying person shall be invited to do likewise.
- 5.12** The Licensee will be asked to identify and declare (to the best of his ability) any drugs or medications taken within the last seven days. The names of such medications and/or treatments and the approximate dosage(s) shall be noted on the SCF.
- 5.13** The Licensee and the ISO must sign the SCF and any accompanying person shall be invited to do likewise. Any irregularities in the sample collection procedures identified by the Licensee or any accompanying person shall be indicated on the SCF before signature otherwise the signature will certify that the Licensee is satisfied with the sample collection procedures.
- 5.14** The SCF consists of one original (white) and four copies (coloured blue, yellow, green, red). The original (white) shall be sent to the Secretary of the Club with the results. The (blue) copy shall be retained by the ISO. the (yellow) copy shall be sent with the collected samples to

the approved Laboratory and the (green) copy shall be handed to the Licensee. (The red copy is the Licensee's notification of selection which is handed over at the time of selection).

**5.15** If the Licensee provides insufficient urine, the partial sample shall be stored in a temporary bottle and sealed in the corresponding container. The temporary bottle and container will also bear unique identification which shall be confirmed by the Licensee and noted on the SCF. When the Licensee is ready to provide more urine, a further collecting vessel shall be selected by the Licensee and the sample provided under observation. The Licensee shall return to the administration area and check the seal on the temporary container before the ISO breaks it and pours the additional urine from the collecting vessel into the temporary bottle. If the sample is still insufficient, the sealing and recording procedures are repeated. However, when sufficient urine has been provided (approximately 30 ml), the procedure will continue as hereinbefore contained.

**5.16** The Licensee shall remain in the Sampling Control Station until the sample collection procedures are complete and he has signed the SCF. If, however, the Licensee needs to leave the Sampling Control Station before such time (e.g. to ride in a race at the meeting or to attend an enquiry) he may do so. In these circumstances the Licensee shall be

accompanied by the ISO or a person so delegated by the ISO to the Weighing Room and the Clerk of the Scales informed by the ISO that further attendance by the Licensee at the Sampling Control Station will be required. During the Licensee's absence, any partial urine sample in the sealed temporary bottle and container will be handled as if it were a complete sample and the Licensee's absence noted on the SCF. The Licensee shall return to the Clerk of the Scales and be met by the ISO or his delegate to return to the Sampling Control Station as soon as practicable. In any event if the Licensee has produced approximately 30 ml of urine before the race, the sample provision procedure will be considered as complete.

- 5.17** If a Licensee refuses to give a sample or urine or otherwise refuses to comply with any aspect of these measures that fact shall be noted on the SCF. The form shall be signed by the Licensee and the ISO and any accompanying person shall be invited to do likewise and the form then distributed as in Section 5.14.
- 5.18** The sealed containers carrying the A and B samples will be placed in an outer transfer bag together with a sealed envelope containing the Laboratory copies of the SCF. The envelope shall be labelled "Confidential" and contain details of the number of A and B samples enclosed. Seal numbers for the return journey to the Laboratory will be documented.

- 5.19** The number of A and B samples collected will be recorded on the Chain of Custody Form ("CCF") and a copy forwarded in the same envelope.
- 5.20** The ISO shall complete an ISO report form indicating the number of A and B samples collected, the selection procedure, and details of any matters which might need to be brought to the attention of the Medical Officer of the Club relating to facilities, unusual circumstances or any other relevant matter.
- 5.21** The samples shall be delivered to the Laboratory by the ISO as soon as possible after collecting, utilising a secure chain of custody arranged by the Stewards of the Club.

## **6. Notification of Results**

- 6.1** The results of the analyses of all A samples shall be passed to the Secretary of the Club by hand and shall not be disclosed by him to any third party other than the Licensee as in Section 6.2. below and his Personal Assistant.
- 6.2** These results will, wherever possible be notified to the relevant Licensee(s) within seventy two hours of receipt by the Secretary of the Club in such a way as may reasonably be expected to protect the Licensee(s) from identification.
- 6.3** Wherever possible, the B sample will be analysed within twenty one working days of notification of a positive result. The Licensee concerned, and/or a representative, is entitled to

be present during analysis of the B sample at the Laboratory upon production of appropriate identification, or to request that the B sample is analysed at another accredited Laboratory approved by the Stewards of the Club. Whenever the B sample is analysed, a representative of the Club must be present during the course of the analysis.

**6.4** The result of the B sample will, wherever possible, be notified to the Licensee within seventy two hours of receipt by the Secretary of the Club in accordance with Section 6.2 above.

**6.5** Should the analysis of the B sample confirm a positive result (the presence of a Banned Substance or Notifiable Medication), the Stewards the Club shall conclude that the Licensee has used a Banned Substance or Notifiable Medications and has consequently failed the test. Such finding of a positive result shall be prima facie evidence that the Banned Substance or Notifiable Medication was present in the Licencee's body and if the sample was taken on the day of, or within 48 hours before a race meeting) was present in the Licencee's body whilst the Licencee was participating at such race meeting. The Stewards of the Club shall where appropriate immediately suspend the Licensee's licence pending an enquiry into the matter. The Licensee concerned must attend upon the Stewards of the Club at such times and on such occasions as they require him to do so.

**6.6** If the analysis of the B sample does not confirm a positive result the Licensee will not be in breach of Rules 64(k), 71 (h), 73(d) or Appendix 4 Part 2 as the case may be.

**7. Sampling procedures for testing otherwise than on a random basis which may involve "off-course" testing:**

**7.1** Where a Licensee is required by the Racecourse Stewards to submit himself to testing for the presence of Banned Substances or Notifiable Medications otherwise than on a random basis (in accordance with their powers contained in Rule 6 u(i) or otherwise) or where the Licensee for a reason satisfactory to the ISO may not have provided a sample at a meeting after having been selected to do so; he may be required to undergo "off course" testing and the procedure hereinafter outlined will operate.

**7.2** An ISO will contact the Licensee by telephone or in person, without prior notice, to notify the Licensee of his selection for "off course" testing. The ISO will carry appropriate authorisation naming the individual, together with his own appropriate identification.

**7.3** The ISO will make a minimum of three attempts to contact the Licensee over a period of 5 days. The ISO shall not identify the purpose of the contact to anyone other than the Licensee. If the Licensee is unavailable or absent throughout this time from the address provided by the

Secretary of the Club, the ISO shall forward a report of nonavailability to the Secretary.

- 7.4** When the ISO contacts the Licensee by telephone, a time and place for collection of the sample will be arranged within 12 hours of the contact (i.e. before a maximum of twelve hours has elapsed). The Licensee will be invited to select a close and easily accessible meeting point and his reasonable expenses will be reimbursed by the Club. The ISO will wait for one hour at this meeting point beyond the time agreed. Thereafter the Licensee shall be declared as absent from testing and a report made to the Secretary of the Club.
- 7.5** The Licensee shall attend at the time and place selected. Any Licensee who fails to agree a reasonable time and place and/or who fails without reasonable cause to attend the agreed meeting point shall prima facie be in breach of Rule 64(k), 71(h), 73(d) or Appendix 4 Part 2 as the case may be.
- 7.6** The Licensee will be advised to bring means of identification and of his right to be accompanied by one other person during the sample collection procedure. Any accompanying person should also bring means of identification. Details of the means of identification will be recorded on the SCF and ISO report form.
- 7.7** If the ISO notifies the Licensee in person without prior notice, sample collection procedures may

begin immediately if both parties are agreeable. In the event of the Licensee disagreeing he is to provide a reason, which will be recorded by the ISO, and agree a time and place for collection of the sample within twelve hours.

- 7.8** Sample collection procedures will as far as practicable follow those laid down in Regulation 5. above.
- 8.1** Sampling for alcohol (and for such other Banned Substances or Notifiable Medications for which the procedure herein referred to can be used) where considered desirable or appropriate may be carried out by means of a breathalyzer or other approved test under the supervision of an Independent Sampling Officer.
- 8.2** The Stewards of the Club or the Racecourse Stewards may direct that breathalyzer testing procedures be carried out at the Club's premises or "off- course".
- 8.3** A Licensee whose license has been so suspended shall have the right within Twenty-eight days to a hearing by the Stewards of the Club prior to a decision being taken by the Stewards of the Club on any penalty to be imposed on the Licensee as a consequence of failing such test. The Stewards of the Club may at their discretion in addition to any penalty which may be imposed require the Licensee to provide under such conditions and such supervision as the Stewards of the Club may

reasonably require a negative urine sample at his sole expense indicating the absence of a Banned Substance or Notifiable Medication prior to his licence being reinstated.

- 9.** A Licensee who has failed a test in accordance with these regulations shall at anytime at the discretion of the Stewards of the Club be required as and when requested to provide a urine sample in the manner and subject to such supervision as hereinbefore provided.

**EXHIBIT "A" to APPENDIX 4**

**SAMPLE COLLECTION FOLLOWING  
LICENSEE'S NOTIFICATION**

**THE BARBADOS TURF CLUB**

NAME OF LICENSEE :

DATE:

TIME OF NOTIFICATION:

PLACE OF NOTIFICATION :

TIME OF ARRIVAL :

(at Sampling Control Station)

IDENTITY OF LICENSEE :

-----

(Confirmed by)

IDENTITY OF ACCOMPANYING :

(Confirmed by)

-----

You are hereby required to report to  
at (Sampling Control Station) for the purpose of a urine sample being  
taken.

Failure to report shall be deemed a refusal to submit to the same and  
your being liable to such penalties as may be imposed by the Stewards of  
the Barbados Turf Club.

You may be accompanied by a person of your choice.

Your urine sample will be taken under supervision and you are therefore  
requested not to pass urine until you report to

-----  
Signed Clerk of the Scales for Stewards

The copy of this notice is hereby signed to acknowledge receipt of the  
same and as my agreement to attend as set out above.

-----  
Licensee's signature

## EXHIBIT "B" to APPENDIX 4

URINE SAMPLE 'A'

Number : "e.g. BTC A7071"

.....

URINE SAMPLE 'B'

Number : "e.g. BTC B7071"

.....

---

Name of Licencee

.....

Date:.....

A sample of Urine was taken from the above mentioned Licencee at .....a.m./p.m. by.....in our presence and we were also present when the containers with the said sample therein were sealed.

Signature:.....

Licencee

Signature:.....

Representative of Licensee (if applicable)

Signature:.....

Independent Sampling Officer

## PART 2

### **(Offences (rules 64(k); 71(h); 73(d)))**

1. Where the result of an analysis of any urine sample of a Licencee shows the presence of a Banned Substance listed in Part I of Appendix 5 so as to indicate a positive result as defined by Part I of Appendix 4 the Licencee shall have committed an offence under these Rules **unless** in the case of a Jockey the samples were taken “off-course”, the Banned Substance in question is alcohol and the Jockey had no forthcoming professional riding engagements of any description on the day on which the sample was taken.
  
2. Where the result of an analysis of any urine sample of a Licencee shows the presence of any Banned Substance listed in Part 2 of Appendix 5 so as to indicate a positive result as defined by Part I of Appendix 4, the Licencee shall have committed an offence under these Rules if the presence thereof was not attributable to any one or more of the following:-
  - (a) the injection or consumption of medical compounds or proprietary medicines in normal or recommended quantities, entirely for recognised medical purposes other than the treatment of drug addiction or dependency;

- (b) the ingestion of food or other nutritional substances in the ordinary course of dietary nourishment; and in the absence of any satisfactory explanation by the Licencee accounting for the presence of any Banned Substance the Stewards of the Club shall be entitled to infer that the presence of the Banned Substance was not so attributable.

**Note:** These Rules mean that if a Licencee who has tested positive (the presence of a Banned Substance or Substances) may still be in breach and have committed an offence even where he can establish that the source of the substance was a course of treatment prescribed or administered by a Medical Practitioner. All Licencees are advised to inform their Medical Practitioners of the substances which are banned under these Rules.

3. A Licencee being a Jockey who is prescribed by a Medical Practitioner any Notifiable Medication listed in Part 3 of Appendix 5 must inform the Club's Medical Officer forthwith and obtain his consent in writing to continue to ride in races whilst taking such a substance or substances. Such prescription must be produced if required by the Club's Medical Officer. Where the result of an analysis of any sample of a jockey shows the presence of a Notifiable Medication and/or it is established that a Jockey failed to obtain the required consent he shall have committed an offence under these Rules.
4. An analysis shall not have any result for the purposes of Sections 1 and 2 of Part 2 of this Appendix unless at

least Two (2) portions of urine taken on the same testing occasion are subjected to analysis and the result of the second analysis confirms any relevant findings of the first.

5. When any jockey fails a second breath sample taken in accordance with the breathalyzer sampling procedures from time to time approved by the Stewards of the Club or the Directors, the Stewards of the Club shall in addition to their powers under Rule 10 have power to suspend him from riding from that day forthwith.
6. Licencee herein means any person subject to these rules who has obtained a licence from the Barbados Turf Club and includes an "Athlete" for the purposes of the Code and Guidelines for Urine Sample Collection as issued by WADA.

## APPENDIX 5

### LIST OF BANNED SUBSTANCES AND NOTIFIABLE MEDICATIONS

The Stewards of the Club and the Directors give notice that the following are Banned Substances and Notifiable Medications under the Rules of Racing.

#### **PART I – BANNED SUBSTANCES**

**Alcohol** at a threshold in the 'A' sample at or above 54 milligrams (mg) per 100 millilitres (ml) in urine, or 17 micogrammes per 100 millilitres in breath.

#### **Barbiturates, Thiobarbiturates and related compounds**

**Cannabinoids (or Cannabis Metabolites)** – at or above a screening threshold in the 'A' sample of 25 nanograms (ng) per ml,

as immunoreactive cannabinoids by immuno-assay, OR

at or over a threshold of 15 nanograms per milliliter 11-nor-delta-9-tetrahydrocannabinol-9-carboxylic acid by gas chromatography/mass spectrometry, OR

whatever other analytical techniques or technologies, hybrid or otherwise, which may be used by the Laboratory for determining these threshold levels.

Any synthetic, semi synthetic or naturally occurring compound that has been shown to have agonist or partial agonist activity at the CB1 or CB2 receptors in the central nervous system.

Rimonabant or any other Cannabinoid receptor antagonist whether used as an appetite suppressant or for any other purpose

**Gamma – Hydroxybutyrate (GHB)** and pro-drugs of GHB (1, 4 – Butanediol, Gammabutyrolactone) at or above a threshold of 10 microgrammes per millilitre.

**Dissociative Anaesthetics** and related substances e.g. Ketamine, Phencyclidine, Tiletamine.

**Lysergic Acid Diethylamide (“LSD”)**

**Sibutramine and related compounds**

**Stimulants** excluding Caffeine, Phenylpropanolamine, Pseudoephedrine. N.B. Salbutamol, Salmeterol, Formoterol and Terbutaline may be taken by inhaler only (For Ephedrine, see below)

Cocaine metabolites to be screened at 300 nanograms per millilitre and confirmed at 150 nanograms per millilitre.

(Substances in this group include, but are not exclusively restricted to, Amphetamines, Cocaine, and the “Ecstasy” group i.e. methylenedioxyamphetamine (“MDA”) methylenedioxyethylamphetamine (“MDEA”), and methylenedioxymethylamphetamine (“MDMA”).

L – metamphetamine (levo – metamphetamine) is excluded.

Other prohibited stimulants – Clenbuterol, Benzylpiperazine and its derivatives, Ketoamphetamines, Cathinone and its derivatives, whether naturally occurring, synthetic or semi-synthetic.

Ephedrine at or above a threshold in the  
A sample of 10 micrograms per milliliter in urine.

Diuretics – substances in this group include but are not exclusively restricted to Furosemide, Glycerol, Acetazolamide, Spironolactone, Thiazides, Amiloride, Triamterine, Canrenone, Diclofenamide, Chlorthalidone, Bumetanide, Etacrynic acid and Piretanide.

Methylphenidate

Modafinil, Armodafinil and related compounds,

Opiates and Opioids- excluding Codeine, Dextromethorphan, Ethylmorphine, Loperamide and Pholcodine.

Substances in this group are not exclusively restricted to Heroin, Methadone, Morphine, Oxycodone, Fentanyl, Tramadol, Tapapentadol, Buprenorphine, Dihydrocodeine and Pethidine, Morphine to be screened at a threshold of 2 micrograms per milliliter in urine.

**NOTE: Included in this list of Banned Substances are all Prohibited Substances presently listed in S1, S2, S3,**

S4, S6, S7, S8, S9 and P1 of the Prohibited List published annually by the World Anti-Doping Agency (WADA) as contained in the World Anti-Doping Code. For the purposes of the Rules and Regulations of the Barbados Turf Club such Prohibited List shall for each subsequent year become effective immediately as such list is published by WADA.

## PART 2 - BANNED SUBSTANCES

This list is not intended to be exhaustive. The use, deliberate or otherwise of any substance that may convey an advantage to the rider, or impair his capability, judgement, coordination or alertness is banned.

**Note:** Included in this List of Banned Substances are all Prohibited Substances presently listed in S5 of the Prohibited List published annually by the World Anti-Doping Agency (WADA) as contained in the World Anti-Doping Code. For the purposes of the Rules and Regulations of the Barbados Turf Club, such Prohibited List shall for each subsequent year become effective immediately as such List is published by (WADA).

## PART 3 – NOTIFIABLE MEDICATIONS

**Anti-Depressants**, including but not exclusively restricted to,

Monoamine Oxidase Inhibitors (“MAOIs”)

Tetracyclic Anti-depressants  
Tricyclic Anti-depressants  
5HT Reuptake Inhibitors  
Lithium Salts

**Benzodiazepines** e.g (Diazepam, Lorazepam, Nitrazepam, Oxazepam and Temazepam) and substances with similar structure or pharmacological activity – Benzodiazepine receptor agonists (Zaleplon, Zolpidem, Zopiclone).

**Sedative Medications** including the H1 receptor antagonists (e.g. Diphenhydramine, Promethazine and Trimeprazine) as well as medications such as Chloral Hydrate and Meprobromate.

**Anti-Psychotic Drugs** including Chlorpromazine, Clozaril, Haloperidol, Olanzapine, Phenothiazines and related drugs and new atypical anti-psychotic drugs.

Note: Substances without thresholds will be declared positive at the limit of detection using appropriate hybrid analytical techniques eg. Gas chromatography/mass spectrometry or other techniques used by any local reputable testing laboratories.

## **APPENDIX 6**

1. Any horse which has come under Starter's Orders in a claiming race may be claimed for its entered claiming price by a trainer on behalf of a person who is either registered as an owner or is the holder of a "certificate of eligibility to claim".
  
2. The procedure for obtaining a certificate of eligibility to claim shall be as follows:
  - (i) The applicant shall, prior to causing a claim to be made on his behalf, submit an application for a certificate of eligibility to claim to be accompanied by all the information required to be submitted on an original application for registration as an owner, together with the name of the licensed trainer who will assume care and responsibility for the horse claimed and who is authorised to make a claim on behalf of the applicant. The application shall be accompanied by a payment of \$50.00 to the Registry Office, of which eighty (80%) percent will be refunded if the applicant is denied or if the applicant does not acquire a horse through claim before expiry of the certificate.
  
  - (ii) The Directors shall have power to accept, or refuse to accept an application for a

certificate of eligibility to claim or revoke an applicant's certificate of eligibility to claim under the Rules of Racing.

- (iii) A certificate of eligibility to claim will be valid for Ninety (90) days from the date of issue, or until the person in whose name the certificate is issued claims a horse, prior to the expiry date of the certificate.
  - (i) No horse may be claimed by or on behalf of its owner or part owner or any of their spouses or by or on behalf of the authorised agent of its owner or part owner or any of their spouses or by or on behalf of the trainer of such horse, and no such person such as is mentioned in this rule shall claim or cause such horse to be claimed directly or indirectly for his own account or on behalf of a principal.
  - (ii) Claims made by Recognised Companies are acceptable provided the claim is made by the registered agent of the Recognised Company or a person holding a written authorisation signed by a registered agent of the Recognised Company and available only for the day of the race.
4. No trainer shall claim a horse on behalf of an owner from a stable in which the said owner has a horse.

5. Every claim must be made in writing on the appropriate prescribed form (“claim form”) and signed by the person making it and his address must also be given. The claim must sufficiently establish the identity of the horse on the claim form, from that of any other in the race. The claim form must be placed in an envelope on which must be written the race number for which the claim is being made. If these details are omitted from the claim form or envelope or the incorrect claim form is completed the claim may be void.
6. Any trainer who makes a successful claim of a horse shall, upon title to the said horse becoming vested in the successful claimant, become the trainer of the horse.
7. No claimed horse shall, unless it is re-claimed, remain in the same stable or under the care or management of the owner from whom it was claimed or the trainer under whose care or management it was at the time when the claim was entered for a period of thirty clear days from the date of the claim.
8. No person shall claim more than one horse from any one race. When a stable consists of horses owned by more than one person trained by the same trainer, not more than one claim may be entered on behalf of such stable in any one race. The trainer may claim on behalf of more than one persons or group of persons on a single claim form and if the claim is successful, the claimant shall be determined amongst such persons or group of person by lot, under supervision

of one or more of the Stewards or their designated representative.

9. A claimed horse which has won a claiming race shall not be entered in a claiming race for thirty days after being claimed in a race unless the entered claiming price is twenty (20%) percent more than the price for which the horse was claimed. The day claimed shall not count, but the following calendar day shall be the first day, and the horse shall be entitled to enter whenever necessary so it may start on the thirty-first calendar day following the claim at any claiming price. A claimed horse shall not be eligible to start in any race held within four days of the claim.
10. No horse claimed in a claiming race shall be sold or transferred, wholly or in part, to anyone within thirty days after the date it was claimed, except in another claiming race.
11. The claiming price of each horse in a claiming race shall be the entered claiming price, plus tax, if any. The entered claiming price shall be printed on the day's official card or programme of the races. Prior to the scheduled post time of the first race of the day of racing, the Secretary/Racing Operations Manager shall issue and deliver to the Stewards a certificate setting out the correct claiming price of each horse declared as a starter in a claiming race.
12. Claims forms must be signed, placed in a sealed envelope and deposited in a locked box provided for

that purpose in the Registry Office by 10 a.m. on the day of the race for which the claim is being made. No money or its equivalent must be put with the claim form in the box. For a claim to be valid, the claimant must have at the time of filing a claim on deposit with the Registry Office the amount of the claim, plus any tax applicable. The details of each deposit account shall be given by the Secretary/Racing Operations Manger to the Stewards before the scheduled post time of the first race together with the certificate referred to in regulation 11 hereof.

13. (i) The Stewards or their designated representative shall open the envelope containing the claim form for each claiming race ten minutes before post time of the race for which the claim is being made or as soon as the horses leave the parade ring en route to the starting post, whichever shall occur first. The Stewards shall thereafter check the details of the claimant's deposit to ascertain whether the proper credit balance has been lodged with the Registry Office. The owner, trainer and jockey of any horse claimed shall not be informed that a claim has been made until after the race has been run.
- (ii) In the event of a cheque tendered in payment of the entered claiming price being dishonoured on presentation:-
  - (a) The Stewards of the Club shall have the power to declare the claim null and void. Upon and from the date of such

declaration the sale shall be regarded as rescinded without prejudice to any claim or rights in law any person may have against the claimant; or

- (b) The Stewards of the Club may exercise their power under Rule 10(d) to refuse to accept entries for the horse until the payment has been honoured; and/or
- (c) The Stewards of the Club shall exercise their power under Rule 10(o) to refuse to allow the horse to run in any race until the payment has been honoured.

It shall be an offence for any person to take or cause to be taken any action which contravenes the exercise of the powers stated in Sub-Paragraph (b) and (c) above.

For the avoidance of doubt the powers exercised by the Stewards of the Club under the provisions of this regulation are in addition, and without prejudice, to any other action whatsoever that the Stewards of the Club may take against any person who has failed to honour payment of the entered claiming price in accordance with the provisions of the regulation.

14. If more than one valid claim is filed for the same horse, title to the horse shall be determined by lot under supervision of the Stewards or their designated representative. In the event of

more than one ballot being necessary for one race, the ballot for each horse will be conducted in racecard order.

15. Any horse that has been claimed shall, after the race has been run, be delivered to the trainer by whom the successful claim has been made. Such trainer must present written authorisation from the Stewards. Horses, which are sent to the area where post race samples for analysis are taken, shall be delivered at that point. Others are to be delivered in the paddock but must remain on the premises until thirty-five minutes after the race has been declared official. No person shall refuse to deliver to the person legally entitled thereto a horse claimed out of a claiming race. Any horse claimed out of a claiming race shall be disqualified from further racing until delivery is made. Any person refusing to deliver a claimed horse to the person legally entitled thereto shall be suspended and the case referred to the Stewards of the Club.
  
16. Claims are irrevocable and are at the risk of the claimant. Subject as hereinafter mentioned title to a claimed horse shall be

vested in the successful claimant from the time when the horses in the said race come under Starter's Orders and the said claimant shall become the owner of the horse whether it be alive or dead, sound or unsound, or injured during or after the race. A claimed horse shall run in the interest of and for the account of the owner from whom claimed, and the owner shall be entitled to receive any trophy prize or other money due under the conditions of the race.

17. No person shall offer or enter into an agreement to claim or not to claim or attempt to prevent another person from claiming any horse in a claiming race. No person shall attempt by any improper means to prevent any one from running a horse in a claiming race. No owner or authorised agent or trainer shall make an agreement with another owner or authorised agent or trainer for the protection of each other's horses in a claiming race. Any person acting in breach of this regulation may be fined or suspended by the Stewards, or warned-off by the Stewards of the Club for such period as they shall determine.
18. A claim shall in all cases represent a bona fide by the claimant to buy and on the owner to sell the horse in question at the entered claiming price. The Stewards shall be at liberty to fully enquire into any and all circumstances surrounding a claim and may at any time in their discretion require any person to make a declaration on oath in writing in regard to any claim and the circumstances in which any claim is made. The Stewards shall be the judges of the validity of the claim.
19. Any person who shall enter or allow to be entered in a claiming race, a horse which is the subject of a lease or one against which any third party interest is held, either by way of mortgage, bill of sale, or lien of any kind may be fined and/or warned-off, unless when or before entering the horse the written consent of the lessor or holder of the third party interest shall be filed with the Registry Office.

20. The engagements of a claimed horse pass automatically to the successful claimant at the time when he becomes the owner of the horse.
21. Notwithstanding any information appearing on the day's official card of the race or in any racing publication, the claimant of a horse shall be solely responsible for determining all pertinent information with regard to the horse claimed.
22. In the event that a claiming race is abandoned or postponed or declared void for any reason, any claim lodged for any horse in the said claiming race shall be null and void.

## **APPENDIX 7**

The following regulations relating to selling races apply to all selling races:-

1. (i) In selling races with stakes and money added to stakes of up to and including Five thousand dollars (\$5,000.00) the selling price shall be Five thousand dollars (\$5,000.00).
- (ii) In selling races with stakes and money added to stakes of more than Five thousand dollars (\$5,000.00) up to and including Seven thousand five hundred dollars (\$7,500.00) the selling price shall be equal to the stakes and money added to stakes.
- (iii) In selling races with stakes and money added to stakes of more than Seven thousand five hundred dollars (\$7,500.00) the selling price shall be at least Seven thousand five hundred dollars (\$7,500.00).
2. In all selling races the winner shall be offered for sale by auction immediately after the race.
3. In the case of a dead-heat, each of the horses dividing is a winner for the purposes of the regulations relating to selling, and if a selling race, both shall be put up to auction.
4. If a horse walks over (or there be no second horse placed) for a selling race, the winner is still liable to be sold.
5. No person shall prevent or seek to prevent any other person from bidding for the winner of a selling race, whether by offering any

consideration or guarantee or by means of a threat or otherwise, and no person shall accept or offer to accept, any consideration or guarantee or other inducement to refrain from bidding. Any person so offending shall be reported to the Stewards of the Club.

6. If sold, or bought-in, the horse shall not leave the place of sale without permission of the auctioneer, and a written order given for his delivery to the actual bidder who alone shall be responsible for the price; and if the horse be not paid for, or the price secured to the

satisfaction of the auctioneer within thirty minutes he shall put the horse up a second time, and the purchaser at the first sale shall be responsible for any deficiency arising from the second and shall be treated as a defaulter until it is paid. Whoever issues the delivery order for a horse sold or bought in, is responsible for the money and shall pay it over and lodge it at the Registry Office for payment in accordance with these regulations to the person or person entitled.

7. It shall be a term of any sale by auction of the winner of a selling race that in the event of a cheque or other negotiable instrument tendered in payment being dishonoured on presentation, the Stewards of the Club shall have the power to declare the sale null and void, and that upon and from the date of such declaration the sale shall be regarded as rescinded without prejudice to any claim or rights in law any person may have against the auctioneer or bidder, or the Stewards of the Club may exercise their powers under Rule 10(d) to refuse to accept entries for the horse or to refuse to allow the horse to run in any race until the payment has been honoured.

8. From the moment the winner has weighed in until the conclusion of the sale, the auctioneer shall give such instructions relating to the control of and showing of the horse being auctioned as he may deem necessary, which may include the order to remove bandages.
9. Any surplus over the selling price shall be divided equally between the Club and owner and in the case of a dead-heat any surplus in either case shall be divided equally between the Club and respective owners.
10. The regulations relating to claiming races insofar as they are not inconsistent with these regulations apply to all claiming and selling races.

**APPENDIX 8**  
**CLEARANCE FORM**





## **APPENDIX 9**

### **FORMS FOR STANDARD SCHEMES FOR OWNERS COLOURS AND JACKET CAP DESIGNS**

Take from former Appendix 9.

## APPENDIX 10

### BARBADOS STUD BOOK

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#### Rules and Requirements

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#### 1. PRELIMINARY

- 1.1 The Authority for the administration management and regulation of these Rules and Requirements shall be vested in the Stewards of the Barbados Turf Club.
- 1.2 The Stewards of the Barbados Turf Club shall appoint a "Registrar" of the Barbados Stud Book who shall manage direct and regulate all day to day arrangements necessary for the performance of these Rules and Requirements and the procedures thereof.

The Stewards of the Barbados Turf Club may appoint a "Deputy Registrar" of the Barbados Stud Book who shall have such duties and functions as the Stewards of the Barbados Turf Club shall determine.

- 1.3 These rules apply to horses foaled in Barbados and also to horses foaled outside of Barbados (except where express provisions apply specifically to horses not foaled in Barbados).

#### 2. ELIGIBILITY

- 2.1 Any horse claiming admission to the Barbados Stud Book, *should be able:*
- 2.1.1 *to be traced* in all lines of its pedigree to horses already appearing in earlier volumes of the Barbados Stud Book (Volume 1 of which was published in 1972) or the General Stud Book.

Horses so appearing therein shall be designated “Thoroughbred”; or

- 2.1.2** *to prove* satisfactorily eight recorded “thoroughbred” crosses consecutively including the cross of which it is the progeny and show such performances on the Turf in all sections of its pedigree as to warrant its assimilation with “thoroughbreds”.

Note: This requires the approval of the International Stud Book Committee on presentation from the Club.

- 2.1.3** *to prove* that it is the produce of a mating between sire and dam; (namely, the result of a stallion’s natural service with a broodmare which is the physical mounting of a broodmare by a stallion, and a natural gestation must take place in, and delivery must be from, the body of the same broodmare in which the foal was conceived. As an aid to the natural service, a portion of the ejaculate produced by the stallion during such cover may immediately be placed in the uterus of the broodmare bred (reinforcement).

**2.2** In addition to the documentary evidence of a foal’s parentage the Barbados Stud Book shall require further evidence by the use of parentage testing based on either:-

**2.2.1** Blood Typing; or

**2.2.2** DNA Typing

**2.3** The Foal Crop of 1999 will be the last Foal Crop for which Blood Typing for parentage verification will be required.

- 2.4 Commencing with the 2000 Foal Crop and thereafter DNA Testing will be required for Parentage Verification.
- 2.5. Notwithstanding the above qualifications, the Stewards of the Barbados Turf Club however, reserve to themselves the sole right to decide what foals can be registered in the Barbados Stud Book.
- 2.6 A dead horse unless previously registered is not eligible for registration.
- 2.7 Any foal that is the produce of either Artificial Insemination or Embryo Transfer (see Glossary of Terms) is not eligible for registration.
- 2.8 If a broodmare is bred to two or more stallions during the same breeding season, the Barbados Stud Book will make every effort to eliminate the incorrect stallion or stallions by such means including:
- 2.8.1 DNA Typing or Blood Typing and parentage qualification;
  - 2.8.2 Calculation of gestation period; and
  - 2.8.3 Applying the principles of two-coat colour inheritance, that is, a chestnut sire and a chestnut dam must produce a chestnut foal; and a grey/roan foal must have a least one grey/roan parent.

***In the case of more than one qualifying sire, the name of each sire must be recorded as the sire of the foal in the same order that***

***they were bred to the dam.  
A valid Service Certificate  
must be supplied with  
respect to the services of  
each qualifying sire.***

**2.9** A foal is not eligible for registration unless all requirements to register that foal as set forth in Rules 3 and 4 are met within one year of the actual foaling date; provided however, under certain circumstances, a foal may be eligible for late registration providing the applicant completes all registration requirements, submits the appropriate late registration fee (see Fee Schedule) and the Barbados Turf Club determines that the applicant has sufficiently demonstrated mistake, inadvertence, excusable neglect or other circumstances which justify late registration.

**2.10** A foal is not eligible for registration unless its sire and dam have been either DNA typed or Blood Typed, as required under Rule 9, provided however, if the sire or dam died prior to being DNA Typed or Blood Typed, as the case may be, a foal may still be eligible for registration if a statement on oath (duly notarised if the deponent is not in Barbados) is submitted by the stallion owner or breeder reporting the death, explaining why the sire or dam was not DNA Typed or Blood Typed, and requesting that the Stewards of Barbados Turf Club waive this requirement for the registration of the resulting foal.

The deceased sire or dam's Certificate of Foal Registration must also be returned to the Barbados Turf Club (see Rule 19). If a foal's sire or dam has been Blood Typed and dies before being DNA Typed, it may be necessary to DNA Type and Blood Type the foal in order to qualify its parentage.

**2.11** When an application is made to register a foal by an

unnamed but registered sire or out of an unnamed but registered dam, the unnamed sire or dam must be named before the registration of the foal can be completed. A fee is required to claim a name for an unnamed, but registered, sire or dam (see Fee Schedule).

### **3. STALLION REPORTS (REPORT OF MARES BRED)**

- 3.1** All stallion owners must report each Thoroughbred broodmare that was bred to their stallion(s) on the Report of Mares Bred Form, which must be signed by the stallion owner (or his duly authorised representative) and sent to the Barbados Turf Club no later than August 1<sup>st</sup> of each breeding year. If a stallion owner shall fail to submit the Report of Mares Bred Form by such date he shall be liable to pay the prescribed fine.
- 3.2** If the stallion has died since the last stallion report, a Report of Death Form should be obtained from the Registry Office and should also be submitted.
- 3.3** Upon receipt of the Report of Mares Bred Form, the Barbados Turf Club will provided that the stallion has been either DNA Typed or Blood Typed as is appropriate, forward to the stallion owner (or owner's authorised representative) a pre-printed Service Certificate for each broodmare bred, which will make provision for the following information viz; the name of the stallion, the name of the broodmare, the name of the dam of the broodmare, and the first and last dates on which the mare was covered. Upon receipt by the stallion owner (or owner's authorised representative) of the pre-printed Service Certificate, the stallion owner (or owner's authorised representative) must check the information therein for accuracy and complete any information thereon required to be completed by him and thereafter sign such Certificate and forward same to the breeder of the foal.
- 3.4** A foal will not be registered unless the breeder produces a

Service Certificate to the Barbados Turf Club.

- 3.5** A duplicate Service Certificate will be issued upon request of the stallion owner (or owner's authorised representative) and upon payment of the prescribed fee, if the original certificate has been misplaced, lost or destroyed.

**4. LIVE FOAL/NO FOAL REPORTS (MARE REPORTS)**

- 4.1** The breeding status of all Thoroughbred broodmares must be reported yearly to the Barbados Turf Club.
- 4.2** The Barbados Turf Club will send to the owner of each broodmare listed on a Report of Mares Bred Form a pre-printed Live Foal/No Foal Report Form which must be completed by the broodmare owner and submitted to the Barbados Turf Club.
- 4.3** In case of a live foal, the fully completed and signed Live Foal Report Form must be submitted to the Barbados Turf Club within thirty days of the birth of the foal.
- 4.4** In the case of no foal the fully completed and signed No Foal Report Form must be completed signed and returned to the Barbados Turf Club within thirty days of the intended birth of the foal.
- 4.5** If the mare was not bred the No Foal Report Form must be completed signed and returned to the Barbados Turf Club no later than January 31<sup>st</sup>, of the year in which the broodmare should have produced a foal had she been bred.
- 4.6** If for any reason a broodmare owner does not receive a Live Foal/No Foal Report Form from the Barbados Turf Club by the time the foal is born (or at all) it is the responsibility of the broodmare owner to so inform the Registry Office and obtain such a form direct from the Barbados Turf Club.

## **5. REGISTRATION, DNA TYPING, BLOOD-TYPING AND PARENTAGE VERIFICATION OF FOALS**

- 5.1** Upon receipt of a Live Foal Report Form the Barbados Turf Club will within one hundred and eighty days of foaling date send to the person specified in the Live Foal Report Form (broodmare owner) a DNA Typing kit and a pre-printed Registration Application. If the DNA Typing kit and the Registration Application are not received by the broodmare owner within such time the broodmare owner shall inform the Registry Office immediately and request a DNA Typing kit and Registration Application.
- 5.2** The broodmare owner shall within forty-five days of the receipt of the DNA Typing kit cause same to be used by a Veterinary Surgeon in order that a sample might be obtained from the foal for analysis.
- 5.3** After obtaining the sample the Veterinary Surgeon shall have custody of same and shall make all necessary arrangements for the safe delivery of the sample to the Barbados Turf Club.
- 5.4** The fully completed Registration Application signed by the Veterinary Surgeon and countersigned by the broodmare owner together with a set of four colour photographs of the foal signed by the Veterinary Surgeon for identification purposes showing (front, both sides and rear views) and clearly showing the colour and the markings (or lack of markings) on the head, legs and body, should be submitted to the Barbados Turf Club along with a valid Service Certificate and the prescribed fee (see Fee Schedule).
- 5.5** If either of these requirements is not met, the broodmare owner or the foal owner (as the case may be) may be required to restart the process by obtaining a new DNA Typing kit from the Barbados Turf Club and an additional restart fee shall be payable (see Fee Schedule).

- 5.6** To correctly identify the foal, a Registration Application must be fully completed and signed each time a DNA sample or blood sample is submitted.
- 5.7** In the case of twins, each twin must be Registered separately. The fact that the foal is the product of a twin birth must be reported on the Live Foal Report Form. If both twins are alive, the birth of each twin must be reported separately on a Live Foal Report Form. When submitting the Registration Applications for twins, a photocopy of the Service Certificate should be attached to the second Registration Application.
- 5.8** Upon receipt by the Barbados Turf Club from the broodmare owner of the sample, the fully completed Registration Application signed as aforesaid, the set of four colour photographs signed as aforesaid, the valid Service Certificate and the prescribed fee, the Barbados Turf Club shall make all necessary arrangements for the delivery of the sample to an approved Genetic Laboratory for the testing of such sample.
- 5.9** Upon completion of the hereinbefore mentioned requirements and procedures, and upon receipt by the Barbados Turf Club of the results of the analysis of the sample from the Genetic Laboratory establishing and verifying parentage of the foal, the Registry Office of the Barbados Turf Club shall issue a Certificate of Foal Registration.
- 5.10** Horses which are not foaled in Barbados are required to comply with the registration requirements set out in Regulation 16 hereof – “Registration of Horses Imported into Barbados – Import Requirements”.

## **6. VACCINATION REQUIREMENTS**

- 6.1** All horses foaled in Barbados shall be vaccinated against

the following diseases:

- Equine influenza types A1 and A2
  - Equine Rhinopneumonitis types EHV1 and EHV4
  - Tetanus
- and such other diseases or illnesses as the Board may from time to time prescribe.

**6.2** The programme of Vaccination shall be in accordance with the following schedule:

- a) First inoculation at six (6) months of age
- b) Second inoculation at seven (7) months of age
- c) A booster inoculation every four (4) months throughout the racing career of the horse
  
- d) A booster inoculation every six months for stallions and mares
- e) A booster inoculation of Tetanus at sixteen (16) months of age and thereafter every 3 years

This schedule may be varied or amended from time to time and if so varied or amended notification will duly be given to horse owners.

**6.3** In the event that any of the booster inoculations are missed for either horses in racing or at stud, the process will have to be re-started by administering the primary and secondary inoculations not more than one month apart.

**6.4** No horse shall enter any racecourse property under the control of the Barbados Turf Club unless it has been vaccinated in accordance with this Rule and for which has been issued a Vaccination Certificate by the attending Veterinary Surgeon and provided that it has not received the secondary inoculation within seven days prior to such entry including the day of entry

**6.5** Having entered any racecourse property, horses shall

receive booster injections at intervals not more than Four (4) months apart and every trainer is required to submit to the Barbados Turf Club the certificate issued by the attending Veterinary Surgeon certifying that the booster was given. Such Certificate to be submitted to the Barbados Turf Club, within Seventy-two (72) hours of the administration of the said booster injection

- 6.6.** No horse imported into Barbados shall be allowed entry to any racecourse property under the control of the Barbados Turf Club without showing evidence of having been vaccinated against the diseases and illnesses mentioned in 6.1 hereof in the country from which it was exported within Thirty (30) days prior to the date of export. Where an imported horse has been resident in Barbados for a period longer than four (4) months since importation it will have to show evidence of having received a booster inoculation, failing which the process of vaccination will have to be re-started. No application for Registration of any horse imported into Barbados will be accepted without proof of vaccination in accordance with this Rule.

## **7. RACING PERMIT**

- 7.1** The Stewards of the Barbados Turf Club, in their discretion and for good cause, may issue a Racing Permit for any horse, which has been DNA Typed or Blood Typed and parentage analyzed, and whose dam qualified, but whose sire did not qualify. The Stewards may consider any other relevant factors in their determination and may require the owner and breeder to provide additional information, which the Stewards deem necessary.
- 7.2** A horse issued a Racing Permit cannot be considered a Thoroughbred for breeding purposes and cannot be entered into the Barbados Stud Book.
- 7.3** The term "Racing Permit" must always accompany the

name of the horse in any trade journal or racetrack program.

**7.4** A horse issued with a Racing Permit will not be entitled to receive a Certificate of Foal Registration.

**7.5** An application for a Racing Permit must be signed by the owner (or his authorised agent) and must be submitted to the Stewards of the Barbados Turf Club.

**8. FEES TO REGISTER, DNA-TYPE AND VERIFY PARENTAGE OF A FOAL**

**8.1** Fees are assessed according to a Registry Office Fee Schedule which is attached hereto. Copies of this Fee Schedule are available from the Barbados Turf Club Registry Office. These fees may be varied or amended from time to time.

**8.2** The Foal Registration Fee as stated in the current Fee Schedule will also include:-

**8.2.1** Naming, provided a valid name claim is received prior to February 1 of the Two-Year-Old year;

**8.2.2** The correction of a Certificate of Foal Registration, within six months of the date of issue, if necessary.

**8.2.3** Subsequent transfers of ownership; and

**8.2.4** Re-issuance of a DNA Typing kit for inconclusive or un-testable samples.

**8.3** Except where a foal dies and the death is reported prior to the issuance of a Certificate of Foal Registration, Fees are not refundable. In such a case, a fee will be charged for the DNA Typing kit and processing, and upon written request,

the remainder of the fee will be refunded (see Fee Schedule).

**9. DNA TYPING OR BLOOD TYPING REQUIREMENTS FOR STALLIONS, MARES AND EXPORTED HORSES**

**9.1** The following horses must be DNA Typed or Blood Typed (as may be required by the Barbados Turf Club):-

**9.1.1** All stallions and broodmares of Foal Crops for year 2000 and thereafter must be DNA Typed, either from DNA samples extracted from a blood sample already on file with a Genetic Laboratory or, if no such sample or samples are available, from DNA samples submitted in accordance with this Rule;

**9.1.2** Foals of year 2000 and thereafter that enter stud for the first time as stallions must be re-DNA Typed; and

**9.1.3** Any horse applying for an Export Certificate that has not been previously DNA Typed or Blood Typed must be DNA Typed or Blood Typed as may be required by the Barbados Turf Club.

**9.2** A DNA Typing kit, or Blood Typing kit may be obtained by the owner of the horse completing a Request for a DNA Typing kit Form or Blood Typing kit Form and submitting it to the Barbados Turf Club, together with:

**9.2.1** The prescribed fee (see Fee Schedule); and

**9.2.2** The Certificate of Foal Registration (copies are not acceptable).

**9.3** The owner of the horse shall within forty-five days of receipt of the DNA Typing kit or Blood Typing kit cause same to be

used by a Veterinary Surgeon in order that a sample might be obtained from the horse for analysis.

- 9.4** After obtaining the sample the Veterinary Surgeon of the horse shall have custody of same and shall make all necessary arrangements for the safe delivery of the sample to the Barbados Turf Club.
- 9.5** A fully completed DNA Typing Application Form or Blood Typing Application Form signed by the Veterinary Surgeon and countersigned by the horse owner together with a set of four colour photographs of the horse signed by the Veterinary Surgeon for identification purposes showing (front, both sides and rear views) and clearly showing the colour and markings (or lack of markings) on the head, legs and body, must be submitted to the Barbados Turf Club together with the prescribed fee (see Fee Schedule).
- 9.6** If either of these requirements is not timely met, the owner may be required to restart the process by obtaining a new DNA Typing kit or Blood Typing kit from the Barbados Turf Club, and a restart fee shall be payable (see Fee Schedule).
- 9.7** To correctly identify a horse, a DNA Typing Identification Form or Blood Typing Identification Form must be fully completed each time a DNA sample or Blood sample is submitted.
- 9.8** Upon receipt by the Barbados Turf Club from the horse owner of the sample, the fully completed DNA Typing Application Form or Blood Typing Application Form signed as aforesaid, the set of four (4) colour photographs signed as aforesaid and the prescribed fee, the Barbados Turf Club shall make all necessary arrangements for the delivery of the sample to an approved Genetic Laboratory for the testing of such sample.
- 9.9** Upon completion of the hereinbefore mentioned requirements and procedures, and upon receipt by the

Barbados Turf Club of the results of the sample from the Genetic Laboratory establishing and identifying the horse, the Registry Office of the Barbados Turf Club shall issue a Certificate of Identification (DNA Typing) or a Certificate of Identification (Blood Typing) as the case may be.

**9.10** The Barbados Turf Club shall have the right to require that any horse be DNA Typed or Blood Typed or re-DNA typed or re-Blood Typed at any time to establish or investigate a horse's identity or pedigree. If at any time the Barbados Turf Club determines that the DNA Type or Blood Type of a horse is inconsistent with the DNA Type or Blood Type of either or both of its reported parents, the Barbados Turf Club will notify the owner and the Certificate of Foal Registration (if issued) will be revoked unless the owner provides an explanation satisfactory to the Registry Office (or to such person designated by the President of the Barbados Turf Club) within thirty days of notice. In the event that a timely explanation from the owner is received which establishes parentage to the satisfaction of the Barbados Turf Club, a corrected Certificate of Foal Registration may be issued.

**9.11** The Barbados Turf Club shall be under no obligation to respond to inquiries, other than pursuant to legal process, Court Order, approved Foreign Stud Book Authorities or Race Track Authorities with respect to DNA Typing or Blood Typing information as to specific horses, and except upon written request, from a person whose name appears in the Barbados Turf Club records as having an ownership interest in that horse, stating why this information is needed. In such cases, the Barbados Turf Club will state in writing, if requested, based upon information in its possession, whether the horse qualifies as an offspring of its reputed parents.

## **10. NAMING OF FOALS**

**10.1** A name may be claimed on the Registration Application Form or on a Name Claiming Form. Name selections

should be listed in order of preference. Names will be assigned based upon availability and compliance with the naming rules as stated herein. Names may not be claimed or reserved by telephone. When a foreign language name is submitted, an English translation must be furnished to the Barbados Turf Club. An explanation must accompany "coined" or "made-up" names that have no apparent meaning. Horses that were born in Barbados and currently reside in another country must be named by the Barbados Turf Club through the Stud Book Authority of their country of residence.

**10.2** If a valid name claim in the appropriate form is submitted to the Barbados Turf Club by February 1 of the foal's Two-Year-Old year and such a name is determined not eligible for use, no additional fee is required for a subsequent claim name for that foal. If a name claim is not submitted to the Barbados Turf Club by February 1 of the foal's Two-Year-Old year, the owner will be required to pay a further prescribed fee (see Fee Schedule) when making such name claim.

**10.3** A foal's name may be changed at any time prior to starting in its first race. No name change will be permitted after a horse has started in its first race or has been used for breeding purposes. The prescribed fee (see Fee Schedule) and the Certificate of Foal Registration must accompany any request to the Registry Office for a change of name.

**10.4** The following classes of names are not eligible for use:

- Names consisting of more than 18 characters (including signs, spaces or punctuation marks) or more than four words;
- Initials such as C.O.D., F.O.B., etc.;
- Names ending in "filly," "colt," "stud," "mare," "stallion," or any similar horse-related term;

- Names consisting entirely or partly of numbers, except numbers above thirty may be used if they are spelled out.
- Names ending with a numerical designation such as "2nd" or "3rd," whether or not such a designation is spelled out;
- Names made up of initials or figures
- Names not starting with a letter.
- Names of persons unless written permission to use their name is on file with the Barbados Turf Club;
- Names of "famous" people no longer living unless approval is granted by the Stewards of the Barbados Turf Club;
- Names of "notorious" people;
- Names of race tracks or graded stakes races;
- Recorded names such as assumed names or stable names;
- Names clearly having commercial significance, such as trade names; copyrighted material, titles of books, plays, motion pictures, popular songs, etc., unless the applicant furnishes the Barbados Turf Club with proof that the copyright has been abandoned or that such material has not been used within the last five years;
- Names that are suggestive or have a vulgar insulting or obscene meaning pronunciation or spelling,
- Names that are currently active either in the stud or on the turf, and names similar in spelling or pronunciation to such names; and

- Names on the International List of Protected Names and Celebrated Horses (names denoted by asterisks in Weatherby's Registered Names of Horses;)
- Names which in spelling are identical to, and in pronunciation identical or similar to, a protected name or a name registered for a horse whose year of foaling is within ten years of that of the horse in question.
- Names of stallions, broodmares and progeny in the Barbados Stud Book with the exception of geldings 20 years old and over;
- Names of the winners of the Barbados Derby, the Barbados Guineas, the Barbados St. Leger, the Barbados Derby Trial, (the Midsummer or Classic), the Trumpeter Cup/Trumpeter Trophy/British American Tobacco Trophy/Benson & Hedges Premium Stakes & Trophy/Caribbee Beach Hotel 2 Y.O. Classic, the Cockspur Gold Cup/Cockspur Cup/Sandy Lane Barbados Gold Cup and such other races as the Stewards of the Barbados Turf Club may from time to time determine.

**10.5** In addition to the hereinbefore provisions of this Rule the Barbados Turf Club reserves the right in its absolute discretion of approval on all name claiming requests, and may for any reason refuse or cancel a name.

**11. TRANSFER AND REPORT OF OWNERSHIP: OWNERSHIP DISPUTES**

- 11.1** The transfer of ownership for all registered Thoroughbreds shall be reported to the Barbados Turf Club by completing a Transfer of Ownership form.
- 11.2** If a Thoroughbred stallion or broodmare is sold or otherwise transferred after submitting a Report of Mares Bred Form or Live Foal/No Foal Report Form, the new ownership must be reported by submitting a Transfer of Ownership Form.
- 11.3** If the Barbados Turf Club becomes aware of conflicting information with respect to the ownership of a horse, or other rights in or related to a horse ("Ownership Issues"), the Barbados Turf Club may request additional information and it may defer any action matter or thing with respect to the horse until the interested parties have resolved the Ownership Issues. The Barbados Turf Club may take action based upon court order (see Rule 24.4) or other factors it deems appropriate in its discretion.
- 11.4** The Barbados Turf Club shall have no obligation to any party arising out of its decision to defer action or to take action.
- 11.5** The failure of an owner to submit a valid Service Certificate may be considered evidence of an Ownership Issue and in the event action is deferred by the Barbados Turf Club it will process but not issue the Certificate of Foal Registration until a valid Service Certificate is submitted to the Barbados Turf Club and all other requirements are satisfied.
- 11.6** The Barbados Turf Club shall be under no obligation to respond to inquiries, other than pursuant to legal process, Court Order, recognized Foreign Registries or recognized Race Track Authorities with respect to ownership information as to a specific horse, and except upon written request, from a person whose name appears in the Barbados Turf Club records as having an ownership interest in that horse.

**Note:** It is advisable that no one completes the purchase of a Thoroughbred until the Certificate of Foal Registration has been transferred by the previous owner. Before completing the sale, the new owner should compare the description on the Certificate of Foal Registration with the actual markings, including whorls, found on the horse.

## **12. CORRECTION OF CERTIFICATE OF FOAL REGISTRATION**

**12.1** To obtain a Corrected Certificate of Foal Registration, the following must be submitted to the Registry Office:

**12.1.1** Payment of the prescribed fee (see Fee Schedule).

**12.1.2** A set of four color photographs of the horse signed by the Veterinary Surgeon for identification purposes showing (front, both sides, and rear views) and clearly showing the color, markings (or lack of markings) on the head, legs and body, and showing any discrepancies, if possible, with the existing Certificate of Foal Registration;

**12.1.3** A completed and signed Corrected Registration Application Form containing the written description of the markings as they now appear on the horse, including the exact location of the head and neck whorls; and

**12.1.4** The original Certificate of Foal Registration.

**12.2** Nothing in this rule shall preclude the use of DNA Typing or Blood Typing information for the purpose of re-identifying any horse at any time. If the identity or pedigree of any horse is in question, the Barbados Turf Club may require DNA Typing or Blood Typing to ensure proper identity or

pedigree.

### **13. DUPLICATE CERTIFICATE OF FOAL REGISTRATION**

**13.1** If a Certificate of Foal Registration has been lost or destroyed, a Duplicate Certificate of Foal Registration may be issued by the Registry Office upon submission of the following:

- 13.1.1** Payment of the prescribed fee (see Fee Schedule);
- 13.1.2** A set of four colour photographs of the horse signed by the Veterinary Surgeon for identification purposes (front, both sides, and rear views) and clearly showing the colour, and the markings (or lack of markings) on the head, legs and body;
- 13.1.3** A completed and signed Duplicate Certificate Application Form containing the written description of the markings on the horse, including the exact location of the head and neck whorls;
- 13.1.4** A statement on oath (duly notarised if the deponent is not in Barbados) from the owner or his authorized agent, describing how the Certificate of Foal Registration was lost or destroyed;
- 13.1.5** Proof of ownership of that specific horse (for example, a bill of sale or cancelled cheque including the name or pedigree of the horse, date of sale and the name of the new owner); and
- 13.1.6** Any further evidence and assurances as the Barbados Turf Club may require, such as DNA Typing and Blood Typing for parentage

verification.

- 13.2** Except as expressly provided in this Rule, a Duplicate Certificate of Foal Registration will not be issued as long as the original Certificate of Foal Registration is known to be in existence;
- 13.3** Once a Duplicate Certificate of Foal Registration is issued, the original Certificate of Foal Registration becomes null and void, and if located, must be returned to the Registry Office.

#### **14. EXPORT REQUIREMENTS**

- 14.1** When a horse is to be exported, an Export Certificate and Passport must be obtained from the Barbados Turf Club within ninety days of exportation. If for any reason the requirements are not completed within ninety days of exportation, the Export Certificate may be obtained only after approval by the Stewards of the Barbados Turf Club and the payment of an additional prescribed fee (see Fee Schedule).
- 14.2** To obtain an Export Certificate and Passport, a horse must be DNA typed or Blood Typed, whichever may be required by the Barbados Turf Club, or be in the process of being DNA Typed or Blood Typed (samples must have arrived at the laboratory in a testable condition) unless the horse was DNA Typed or Blood Typed previously. If DNA Typing or Blood Typing is required, a DNA Typing kit or Blood Typing kit must be obtained in accordance with Rule 9.2 and the following must be completed and submitted to the Barbados Turf Club by the time of the horse's departure:
- 14.2.1** Payment to the Barbados Turf Club of the prescribed fee (see Fee Schedule);
- 14.2.2** A set of four colour photographs of the horse signed by the Veterinary Surgeon for

identification purposes showing (front, both sides and rear views) and clearly showing the color, and the markings (or lack of markings) on the head, legs and body;

- 14.2.3** A completed Export Identification Form. This form must be signed by the owner (or owner's authorized agent) and must also indicate the country of destination, name of broker (if any), date of shipment, and ownership of the horse.
  - 14.2.4** The Certificate of Foal Registration or 30-Day Racing Permit (see Rule 17);
  - 14.2.5** A valid Service Certificate for all mares in foal. If a Service Certificate is not available at the time of shipment, it must be submitted to the Barbados Turf Club as soon as it is received by the owner.
- 14.3** The Barbados Turf Club will forward directly to the appropriate Foreign Stud Book Authority, the Export Certificate and any other necessary documents as may be reasonably requested by that Stud Book Authority.
- 14.4** If a horse is exported to a country whose Stud Book is not recognized, the owner (or owner's authorized agent) must nevertheless satisfy all of the requirements of Rules 14.1 and 14.2 above, and the horse must be DNA Typed or Blood Typed and identified within 60 days of its return to Barbados. No progeny foaled or conceived in a country whose Stud Book is not recognized by the Barbados Turf Club will be eligible for registration in the Barbados Stud Book.
- 14.5** Any horse exported without receiving an Export Certificate, which returns to Barbados, must be re-identified, DNA Typed or Blood Typed as may be required, obtain an Export Certificate and pay an additional prescribed fee (see Fee Schedule) before the Barbados Turf Club will reissue a

Certificate of Foal Registration.

**14.6** Any horse imported into Barbados whose sire or dam was not exported properly in accordance with the provisions of this Rule will not receive a Certificate of Foreign Registration until that sire or dam has fulfilled the export requirements.

**14.7** If a horse is imported into Barbados and its sire or dam was not exported in accordance with the provisions of this Rule, and has since died, the Barbados Turf Club will issue a Certificate of Foreign Registration only upon written application and approval of the Stewards of the Barbados Turf Club to waive the export requirements.

**15. PASSPORTS**

**15.1 General instructions for Owners, Trainers, Appointed Agents, Breeders and Shippers:**

**15.1.1 Identification document**

***The Passport is issued to ensure that a horse can be identified at all times and as such must always accompany the horse. The Passport is not a deed of ownership. It is the property of the Racing/Stud Book Authority as the case may be (Issuing Authority) of the country of foaling***

***and may be recalled at any time.***

**15.1.2 Issuance**

***A Passport must be issued by the Issuing Authority and can only be altered or amended by such Issuing Authority.***

**15.1.3 Contents**

*A Passport shall contain, in addition to the horse's name and the name of its sire and dam, its age, sex, date and place of foaling, its breed, colour and the fact that there is entered in the Stud Book of the Issuing Authority; the following:-*

- 15.1.3.(1)** a written and diagrammatical description duly certified by a Veterinary Surgeon which shows the markings (or lack of markings) of the horse and the exact position of these markings and any changes that have since occurred.

**15.1.3.(2)** evidence that the horse has been DNA typed or Blood Typed as the case may be for parentage verification.

**15.1.3.(3)** brand number (if applicable)

**15.1.3.(4)** colour photographs (if applicable)

**15.1.3.(5)**

a record of all  
mandatory  
vaccinations

administered to the horse

**15.1.3.(6)** such other documents or information as the Stewards of the Barbados Turf Club may from time to time require

**15.1.3.(7)** where the Passport is issued in accordance with the Rules and Requirements of this Barbados Stud Book, the Passport shall also contain a set of four colour photographs of the horse signed by a Veterinary Surgeon for identification purposes showing the front, both sides and rear views of the horse and clearly showing the colour, the markings (or lack of markings) on the head legs and body.

#### **15.1.4.1.**

#### **Checking of Passport**

**15.1.4(1)** On receipt of the Passport each recipient must immediately check the identity of the horse from the markings contained therein and any other relevant information. This must be undertaken on each occasion that the Passport is received. The Passport must be signed on the appropriate page certifying that the records are in order. Discrepancies must be reported immediately to the Barbados Turf Club.

**15.1.4(2)** On receipt the Passport should also be checked to ensure that the vaccination records are in order and that a Veterinary Surgeon has recorded all relevant vaccinations on the appropriate pages.

#### **15.1.5 Registration of Name**

The Passport must be issued in the Registered Name of the horse. Where a Passport is issued by or on behalf a Foreign Stud Book Authority or Foreign Racing Authority without the name of the horse, it must be returned to the Issuing Authority with an application for Registration of Name. The Passport should be checked and endorsed to confirm acceptance upon return.

#### **15.1.6 Change Of Markings/Report Of Death**

The Passport of a horse either in or out of training must be returned to the Barbados Turf Club when the horse:-

- 15.1.6(1)** is castrated
- 15.1.6(2)** acquires new markings (e.g. scars)
- 15.1.6(3)** changes appearance in any other way (coat, colour, etc.)
- 15.1.6(4)** dies or is destroyed along with notification of the relevant change.

**Note:** The Issuing Authority is the only authority that can amend a Passport.

### **15.1.7 Damage, Loss**

- 15.1.7(1)** The Passport is invalidated if the seal (spine) is damaged or broken. In this event the Barbados Turf Club shall be immediately notified in order that further action might be taken.
- 15.1.7(2)** In the event that the Passport is lost the Barbados Turf Club shall immediately be notified in order that further action might be taken.

**Note:** The Issuing Authority is the only Authority that can issue a Duplicate Passport.

### **15.1.8 Foreign Travel**

When the horse is to travel abroad the Passport

must be sent to the Barbados Turf Club together with the appropriate application form detailing the name of the horse and its owner, the date of travel, the country of destination and evidence of all vaccinations administered to the horse. The Passport will be endorsed and returned in order to accompany the horse on its journey. Such endorsement will be valid for one month from the date of endorsement and one journey only (although the horse may visit more than one country during such journey).

Should the horse not travel to the country of destination within one month of the endorsement, the endorsement should be cancelled by returning the Passport to the Racing/Stud Book Authority.

Separate conditions apply to broodmare or stallion travel and are hereinafter set out.

## **15.2 Special Instructions For Trainers**

### **15.2.1 Attending Race Meetings**

It is the Trainer's responsibility to ensure that the Passport accompanies the horse when it attends a race meeting.

### **15.2.2 Debutants**

Trainers must present the Passport to the Veterinary Officer on duty on the occasion of the horse's first run in Barbados.

### **15.2.3 Standard Inspections**

Trainers must present the Passport on any other occasion when it is requested by the Veterinary Officer.

**Note:** That inspection by such official does not imply any warranty that the information contained therein is in order.

#### **15.2.4. Foreign Travel**

A Trainer wishing to have the Passport, of a horse travelling abroad to race, endorsed by the Secretary or other authorised Officer of the Barbados Turf Club must submit the appropriate application to the Registry Office together with a copy of the vaccinations of the horse in question the name of the destination and the date on which the Passport will be taken.

### **15.3 Special Instructions For Breeders, Bloodstock Or Shipping Agents**

#### **15.3.1 Commencement of Stud Career**

When a broodmare or stallion is to enter stud its Passport must be sent to the Barbados Turf Club. The Passport will be endorsed by the Barbados Turf Club thereby granting acceptance of the Barbados Stud Book. Until the Passport bears such an endorsement, any produce cannot be recorded in the Barbados Stud Book.

#### **15.3.2 Change of Ownership**

When a change of ownership occurs for a breeding animal the Passport must be sent to the Barbados Turf Club accompanied by details of

the new ownership. The Passport will be endorsed with details of the new ownership and returned.

### **15.3.3 Horse Leaving Present Care**

When a horse is consigned for sale, sold or put back into training the person with the then proper custody of the Passport should ensure that the Passport accompanies the horse to its destination.

### **15.3.4 Foreign Travel**

When a broodmare or stallion is to travel abroad its Passport must be sent to the Stud Book Authority of the country in which it is then resident together with the appropriate application form. The Passport will be endorsed and returned in order to accompany the horse on its journey. Such endorsement will be valid for nine months from the date of endorsement.

## **16. REGISTRATION OF HORSES IMPORTED INTO BARBADOS - IMPORT REQUIREMENTS.**

**16.1** Horses bred outside of Barbados must satisfy the eligibility requirements of Rules 2.1 to 2.7 inclusive and must obtain a Certificate of Foreign Registration from the Barbados Turf Club when imported into Barbados.

**16.2** To obtain a Certificate of Foreign Registration, the owner, agent, importer or broker must cause the exporting country's registry to submit directly to the Barbados Turf Club within ninety days of arrival of the horse in Barbados the following:

- 16.2.1** The Stud Book Certificate or Export Certificate containing the written description and diagrams of the markings on the horse including the exact location of the head and neck whorls;
- 16.2.2** A certified copy of the horse's complete racing record; and
- 16.2.3** Such Stud Book Certificate or Export Certificate shall also contain such of the following information, namely:-
- name of the horse and its pedigree to the fifth generation,
  - state the date of foaling, colour sex of the horse,
  - state the place where the horse is foaled,
  - contain a copy of the horse's DNA and/or blood type,
  - state the name of the breeder of the horse as shall be satisfactory to the Registrar of the Stud Book.
- 16.2.4** A valid Service Certificate if the imported horse is a broodmare in foal.
- 16.2.5** The prescribed fee.
- 16.3** In addition, within ninety days of arrival of the horse in Barbados, the owner, agent, importer or broker of the horse must submit the following to the Barbados Turf Club Registry Office:
- 16.3.1.** Payment to the Barbados Turf Club of the prescribed fee (see Fee Schedule);

- 16.3.2.** A completed and signed Foreign Registration Form containing the written description and diagrams of the markings on the horse including the exact location of the head and neck whorls;
- 16.3.3** Four colour photographs of the horse taken in this country signed by the Registered Veterinary Surgeon for identification purposes showing (front, both sides, and rear views) clearly showing the colour, and the markings (or lack of markings) on the head, legs and body; and
- 16.3.4** The Passport Book, if applicable.
- 16.4** If for any reason, the requirements are not completed within ninety days of arrival, the Certificate of Foreign Registration may be obtained only after approval of the Stewards of the Barbados Turf Club and the payment of an additional prescribed fee (see Fee Schedule). A statement on oath (duly notarised if the deponent is not in Barbados) setting forth the reason for the delay must accompany the completed Foreign Registration Form. No horse is eligible for a Certificate of Foreign Registration unless all requirements, including the appropriate prescribed fee (see Fee Schedule), are received by the Barbados Turf Club within one year of the date of arrival in Barbados; provided however,

the Stewards may, under limited circumstances, grant late registration to horses that have been in Barbados for more than one year since their arrival provided the following conditions are met:

**16.4.1** The applicant sets forth in writing to the Barbados Turf Club the reasons the applicant believes he should be relieved from the one year registration requirement; and

**16.4.2** The Stewards of the Barbados Turf Club determine that the applicant has sufficiently demonstrated mistake, inadvertence, excusable neglect or other circumstances which justify late registration; and

**16.4.3** The horse had been DNA Typed or Blood Typed in its country of birth and/or its identity can be established to the satisfaction of the Stewards of the Barbados Turf Club; and

**16.4.4** The applicant completes all registration requirements, including DNA Typing or Blood Typing and submits the prescribed late registration fee (see Fee Schedule).

**17. "30 DAY" RACING PERMIT**

**17.1** These requirements are intended to allow the movement of Thoroughbred horses from a

foreign country into Barbados for the purpose of racing by way of invitation to run in a special race or series of races or otherwise for a period not longer than thirty days. Provided that this period of thirty days may be extended upon application to and at the discretion of the Stewards of the Club.

**17.2** A “30 Day” Racing Permit is a permit issued by the Registry Office of the Barbados Turf Club entitling foreign Thoroughbreds to race in Barbados for a period of not longer than thirty days.

**17.3** To obtain a “30 Day” Racing Permit, the owner, agent, importer or broker must cause the exporting country's registry to submit directly to the Barbados Turf Club:

**17.3.1** The Passport of the horse which shall contain a written description, a drawn description which shows the markings of the horse, the exact position of those markings and such written or other confirmation of the identity of the horse as is required by the relevant Stud Book Authority of the country of foaling.

**17.3.2** The Passport shall also contain an endorsement by the Horseracing Authority of the country where the horse is trained which confirms that the horse is free from restriction.

**17.3.3** A certified copy of the horse's complete racing record.

**17.3.4** Payment to the Barbados Turf Club of the prescribed fee (see Fee Schedule)

- 17.3.5** Where the horse does not have a Passport there shall be submitted to the Registry Office of the Barbados Turf Club such other document(s) of identification of the horse complying with the requirements of 17.3.1 above together with a certificate from such Horseracing Authority confirming the requirements of 17.3.2. above.
- 17.4** The Secretary must be satisfied that the person making the application to obtain a “30 Day” Racing Permit has complied or has made arrangements to comply with all relevant quarantine requirements and that all relevant vaccinations are current, before issuing a “30 Day” Racing Permit.
- 17.5** A “30 Day” Racing Permit shall expire upon the expiration date on the permit or earlier if the Thoroughbred leaves the country prior to the expiration date.
- 17.6** In the event such Thoroughbred remains in Barbados for more than thirty days, the owner (or owner’s authorized agent) must apply for a Certificate of Foreign Registration and all import requirements must be satisfied as stated in Rule 16.
- 17.7** Notwithstanding any rule or requirement herein contained the Stewards of the Barbados Turf Club may in their discretion where they deem appropriate extend the validity of a “30 Day” Racing Permit.
- 17.8** Before the return of the horse to the country from whence it came the Passport (or other document of identification) must be endorsed by the Barbados Turf Club.

## **18. LEASES AND FOAL-SHARING AGREEMENTS**

- 18.1** When a Thoroughbred, through contract or agreement, is leased or entered into foal sharing, it must be reported to the Barbados Turf Club each year. The lease of a stallion should be reported by checking the lease box in the signature section on the Report of Mares Bred Form. For a broodmare, a lease or foal-sharing agreement must be reported by checking the lease or foal-sharing box on the breeder section on the Live Foal Report Form.

**19. DEATH REPORTS**

- 19.1** The death of a registered Thoroughbred, or foal for which registration is pending, must be reported to the Barbados Turf Club Registry Office within thirty days of the death by submitting a completed Report of Death Form, and the Certificate of Foal Registration, if issued.

**20. GELDING AND SPAYED FEMALE REPORTS**

- 20.1** All colts and horses that have been gelded and all fillies and mares that have been "spayed" (i.e. rendered incapable of conception by whatever procedure, including removal of the ovaries) must be reported promptly to the Barbados Turf Club Registry Office in writing, giving the name and registration number (if available) of the horse, the date the horse was altered, the ownership of the respective gelding or filly, the name and signature of the person submitting the report.

**21. SOLD WITHOUT PEDIGREE**

- 21.1** Any owner who desires a horse no longer to be considered a Thoroughbred for racing or breeding purposes must promptly surrender the Certificate of Foal Registration to the Barbados Turf Club within sixty days of the date of sale with an accompanying endorsement (or other notation) that the horse was transferred or sold "without pedigree". The endorsement or notation must be signed by the owner (or owner's authorized agent) and indicate the date of disposition. In the event the owner or his authorized agent surrenders the Certificate of Foal Registration to the Barbados Turf Club in the above manner more than sixty days after the

date of transfer or sale, then the new owner or transferee must also submit a statement that the horse was purchased or received without pedigree.

**21.2** Upon receipt in the Barbados Turf Club Registry Office, the respective Certificate of Foal Registration will be canceled. Once the registration is canceled, the horse cannot be reinstated into the registry, and a Duplicate Certificate of Foal Registration will not be issued.

**21.3** Endorsements upon a Certificate of Foal Registration, which do not clearly indicate transferred or sold without pedigree, including notations such as "not to be raced," shall not result in cancellation of the Certificate of Foal Registration. Such endorsements or notations may be regarded as defacing the Certificate of Foal Registration and, submission to the Barbados Turf Club of any such defaced Certificate of Foal Registration may cause a Corrected Certificate of Foal Registration to be issued.

## **22. DECEPTIVE PRACTICES**

**22.1** The Stewards of the Barbados Turf Club may, in their absolute discretion, for such reasonable time as they deem appropriate having regard to the relevant facts and circumstances, deny any or all of the privileges herein contained to:

**22.1.1** any person who shall have knowingly misrepresented, or knowingly participated in, or aided or abetted the misrepresentation of name, age, appearance, pedigree, DNA Type or Blood Type, eligibility, or any other information in or in connection with, any application for registration or otherwise

requested under these Rules, or in any other communication, written or oral, to the Barbados Turf Club;

- 22.1.2** any person whom a court or an official racing body having jurisdiction in the premises has found or determined to have knowingly misrepresented, or knowingly participated in or aided or abetted the misrepresentation of, a horse's identity, name, age, appearance, pedigree, DNA Type or Blood Type, or any other information in connection with the entry in a race or the racing of any horse;
- 22.1.3** any person who has knowingly misrepresented to another person a horse's identity, name, age, appearance, pedigree, DNA Type or Blood Type, eligibility, or any other information for the purpose of inducing such person to provide such false information in, or in connection with, an application for registration, or in any other communication, written or oral, to the Barbados Turf Club;
- 22.1.4** any person who shall have knowingly altered a Certificate of Foal Registration, Certificate of Foreign Registration, Racing Permit, Export Certificate or any other certificate or document issued by the Barbados Turf Club in connection with the registration of horses or otherwise under these Rules;
- 22.1.5** any person who shall have stolen, counterfeited or forged, or knowingly received a stolen, counterfeited or forged Certificate of Foal Registration, Certificate of Foreign Registration, Racing Permit, Export Certificate or any other certificate or document issued by the Barbados Turf Club in connection with the registration of

horses or otherwise under these Rules;

**22.1.6** any person who shall have intentionally violated any of the Rules and Requirements of the Barbados Stud Book.

**22.1.7** any person whom a court or an official tribunal has found to be guilty of cruelty to a horse or to have killed, abandoned, mistreated, neglected or otherwise abused a horse, whether such court or tribunal is civil, criminal or administrative.

### **23. DISPUTES, OBJECTIONS, APPEALS**

**23.1** The following provisions regarding Disputes, Objections and Appeals shall apply except where they are inconsistent with the Rules of Racing of the Barbados Turf Club.

#### **23.2 Written Objection Without Oral Hearing:**

**23.2.1** Any person wishing to object to any action or decision of any officer ("Officer") of the Barbados Turf Club in the application of these Rules as to such person or such person's horse(s), may, within sixty days of being advised of such action or decision, submit to the Stewards of the Barbados Turf Club, a written objection setting forth in detail:

**23.2.1.(1)** the specific nature and basis of the objection(s):

**23.2.1.(2)** the reason(s) the person believes

the decision of the Officer is incorrect or erroneous; and

- 23.2.1.(3)** any facts, including documents, affidavits or other written material which such person believes will be helpful to the Stewards of the Barbados Turf Club in considering the matter.

If an oral hearing is not requested, the person filing the objection shall receive written notice of the determination of the Stewards of the Barbados Turf Club as well as a brief statement of the reasons for such determination as soon as practicable following submission of the objection and materials described above. An oral hearing shall not be granted subsequent to the Stewards consideration of objections pursuant to this Rule.

### **23.3 Request For An Oral Hearing:**

- 23.3.1** Any person wishing to object to any action or decision of the Officer in the application of these Rules as to such person or such person's horse(s) and desiring an oral hearing with respect to such objection may, within sixty days of being advised of such action or decision, request an oral hearing by submitting to the Stewards at the Barbados Turf Club, the following:

**23.3.1(1)** a written objection setting forth the details described in subparagraphs 23.2.1(1) to 23.2.1(3) inclusive above;

**23.3.1(2)** a written request for an oral hearing; and

**23.3.1(3)** the prescribed administrative fee (see Fee Schedule). Any person filing a written objection with the Stewards of the Barbados Turf Club who also demands an oral hearing and pays the prescribed administrative fee shall be entitled to an oral hearing before a panel of at least three Stewards of the Barbados Turf Club as soon as practicable after filing the request for oral hearing.

Written notice of the time and date and place of the hearing shall be delivered to the person filing the objection at least ten days in advance of the hearing date.

**23.4** Any person demanding an oral hearing may appear either personally or by a representative authorized in writing to act on such person's behalf before the Stewards of the Barbados Turf Club. Any other person having an interest in the subject matter may if requested or permitted by the Stewards of the Barbados Turf Club appear before the Stewards where such person might materially assist in the determination of the matter. Sworn statements or affidavits (duly

notarised if the deponent is out of the Island), as well as other documentation may also be presented in support of or in opposition to the objection.

- 23.5** Parties requested or permitted to be heard may also present their evidence and witnesses provided such evidence is not irrelevant or unduly repetitious.
- 23.6** Any Officer of the Barbados Turf Club or other parties designated by any Officer may testify or present evidence and witnesses and make recommendations, which they believe appropriate.
- 23.7** The Stewards of the Barbados Turf Club shall either immediately if they deem fit, give an oral decision or not later than thirty days after hearing, submit a final determination in writing, briefly stating the reasons therefor. A copy of the final determination in writing shall be promptly delivered to the persons submitting the request for hearing.
- 23.8** A request for postponement of the scheduled hearing must be in writing, showing good cause why postponement should be granted, and must actually be received by the Stewards of the Barbados Turf Club at the aforesaid address at least three business days prior to the scheduled date of the hearing. Only one request for postponement of a scheduled hearing shall be entertained by the Stewards of the Barbados Turf Club. Failure to appear or be represented at a scheduled oral hearing shall result in dismissal of the objection. In addition to all other fees provided for herein and regardless of the outcome, the person requesting an oral hearing

must pay the expenses of a stenographer used during such hearing.

**23.9 Appeal / Final Determination**

**23.9.1** There shall be no appeal with respect to decisions made by the Stewards of the Club and their determinations in cases of objection, whether by written objections or after oral hearing, shall be final.

**24. GENERAL RULES**

**24.1** Owners, breeders and/or their authorized agents or representatives are expected to be familiar with the rules and requirements of the Barbados Stud Book.

**24.2** Notwithstanding any other provisions in these Rules, it is the responsibility of each applicant to obtain from the Barbados Turf Club all necessary forms and to submit all completed forms and other requirements by the applicable deadlines.

**24.3** Certificates of Foal Registration are issued on the basis of information submitted to the Barbados Turf Club by the applicant and are subject to revocation and cancellation if further information is received by the Barbados Turf Club indicating improper or erroneous issuance. In the event of cancellation or revocation of a Certificate of Foal Registration, that Certificate must be promptly returned to the Barbados Turf Club

Registry Office.

- 24.4** Notwithstanding any other provisions in these rules, the Barbados Turf Club may require any party(ies) who requests action or inaction from the Barbados Turf Club arising out of or relating to a dispute or controversy with a third party(ies) to amicably resolve or to settle that dispute in a court of competent jurisdiction and the Barbados Turf Club may defer a decision concerning the matter pending resolution or settlement of the dispute as aforesaid. The Barbados Turf Club shall have no obligation to any party arising out of any decision to defer action or to take action under any provision of these Rules.
- 24.5** The Barbados Turf Club may decline to process any material received from an owner or breeder not in good standing with the Barbados Turf Club. An owner or breeder will be considered not in good standing if the owner or breeder has any outstanding fees owed to the Barbados Turf Club for any horse including, but not limited to, fees related to registration, DNA Typing or Blood Typing, naming, imports, exports, duplicates or corrections.
- 24.6** Subject to the rules requiring payment of late fees, all fees are due at the time of submission of the appropriate applicable form or request to the Barbados Turf Club. The Fee

Schedule sets forth each matter for which there is a fee payable to the Barbados Turf Club and the amount of each fee. In the event any person fails to pay a fee owed to the Barbados Turf Club, then the Barbados Turf Club shall apply any payment subsequently received from that person to the outstanding fees owed by that person to the Barbados Turf Club.

**BARBADOS STUD BOOK  
REGISTRY OFFICE FEE SCHEDULE**

**FOAL REGISTRATION FEES:**

If all requirements are completed within the one-year deadline (includes DNA typing of the foal and parentage verifications, as well as ownership transfers and corrections);

Foals of 1999	NO FEE
Foals of 2000 and thereafter	\$ 150.00
If DNA kit is used and foal is not registered	\$ 140.00
By Dec. 31 <sup>st</sup> of yearling year	\$ 500.00
By Dec. 31 <sup>st</sup> of two-year-old year	\$ 700.00
Beyond Dec. 31 <sup>st</sup> , of two-year-old year	\$2,000.00

**FOAL NAMING FEE:**

After Feb. 1<sup>st</sup> of the foal's two-year-old year (before this date no fee is required)

\$ 100.00

**NAME OF CHANGE FEE:**

\$ 100.00

**DNA TYPING FEES:**

DNA typing, entry into the Ownership Registry

\$ 140.00

Restart/Reactivate blood-typing case

\$ 140.00

**DUPLICATE CERTIFICATE FEE:**

\$ 150.00

**CORRECTED CERTIFICATE FEE:**

(Six months after original certificates issued) \$ 50.00

**CERTIFICATE OF EXPORTATION FEES:**

If all requirements are completed within Sixty (60) days of the horse's departure from Barbados \$ 150.00

If all requirements are completed after Sixty 960) days of the horse's departure from Barbados \$ 400.00

**CERTIFICATE OF FOREIGN REGISTRATION FEES:**

If all requirements are completed within Ninety (90) days of the horse's arrival in Barbados \$ 100.00

If all requirements are completed after Ninety (90) days and up until one year of the horse's arrival in Barbados. \$ 300.00

If all requirements are not completed within one year of the horse's arrival in Barbados, and the horse is eligible for late registration \$ 500.00

**BARBADIAN HORSES RETURNING TO BARBADOS:**

\$ 100.00

**"30 DAY" (FOREIGN) RACING PERMIT FEE** \$ 100.00

**EXPRESS HANDLING FEE:** \$ 50.00

Note: These fees may be amended from time to time by the Directors of the Barbados Turf Club.

## **APPENDIX 11**

### **PROCEDURES PRIOR TO WEIGHING IN**

The Stewards instruct Jockeys, after completion of the race and upon dismounting, and Trainers to comply with the following procedures prior to Weighing-In:-

1. Jockeys must neither accept anything from any person nor discard anything that the horse has carried in the race, which might affect the weight upon Weighing-In.
2. Jockeys must always, subject to Paragraph 4 below, remove their own saddles;
3. Jockeys should then go at once with the saddle to the Weighing Room to report to the Clerk of the Scales.
4. If due to illness, accident or other extraordinary circumstances it is not possible for a Jockey to remove the saddle it may be removed by the Trainer or a representative of the Trainer. Where this occurs and the Jockey is able to present himself to be Weighed In, the saddle must be handed to the Jockey intact before Weighing-In takes place.